

# Chiral spin liquid phase of the triangular lattice Hubbard model

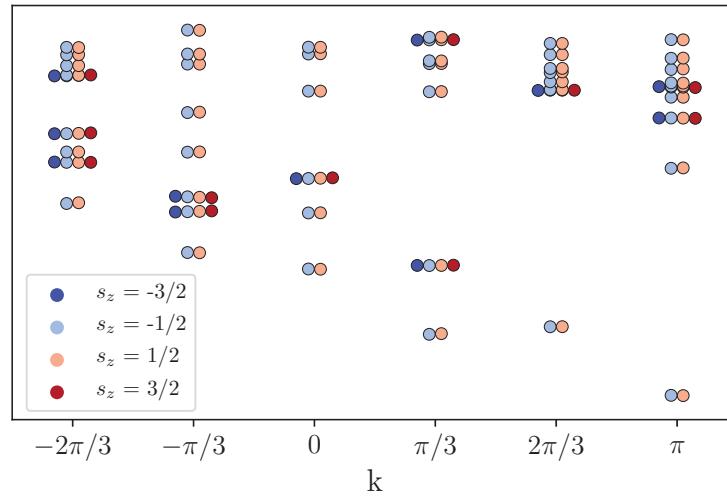
An iDMRG study



**Aaron Szasz**

Berkeley, now Perimeter

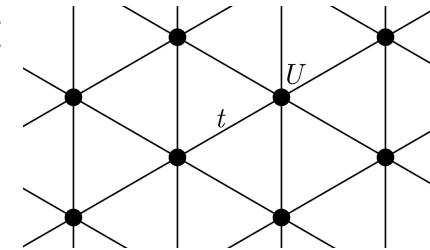
(With Johannes Motruk,  
Michael P. Zaletel, and Joel E. Moore)



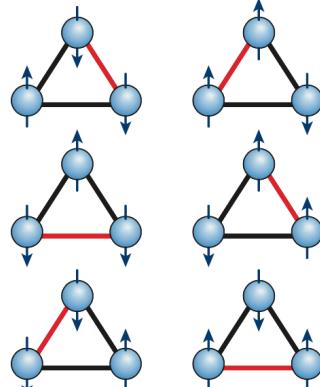
# The model

## Half-filled Triangular Lattice Hubbard model:

$$H = -t \sum_{\langle i,j \rangle, \sigma} (c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.}) + U \sum_i n_{i\uparrow} n_{i\downarrow}$$

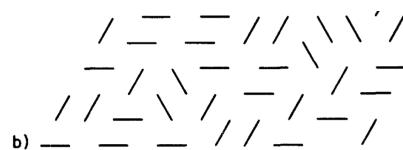


Triangles = frustration



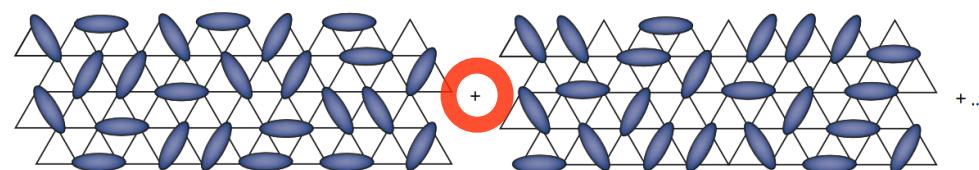
[Anderson 73]

ABSTRACT  
The possibility of a new kind of electronic state is pointed out, corresponding roughly to Pauling's idea of "resonating valence bonds" in metals. As observed by Pauling, a pure state of this type would be insulating; it would represent an alternative state to the Néel antiferromagnetic state for  $S = 1/2$ . An estimate of its energy is made in one case.



"Resonating valence bonds:"  
spin liquid

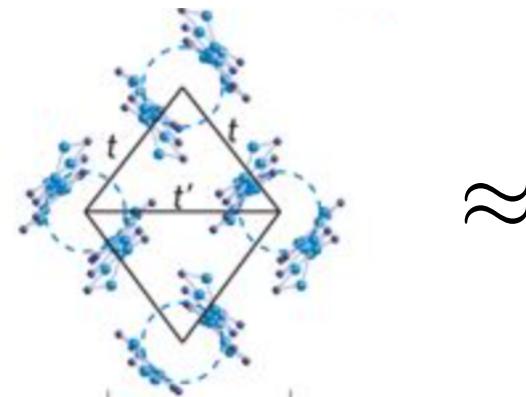
FIG. 3



# Motivation from experiments

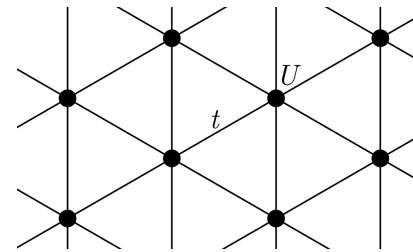
## Possible spin liquids in organic triangular compounds

Eg:  $\kappa - (ET)_2(Cu)_2(CN)_3$  “BEDT” : approximately isotropic triangular lattice  
 $\text{EtMe}_3\text{Sb}[\text{Pd}(\text{dmit})_2]_2$  “dmit-131”



≈

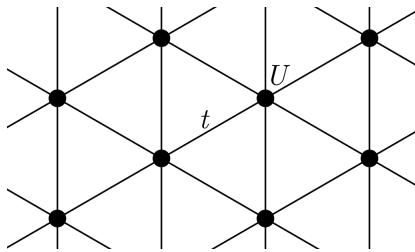
2D triangular layers  
Approximated by Hubbard model



[from Yamashita et al., Nat. Phys. **5**, 44 (2008)]

# Motivation from experiments

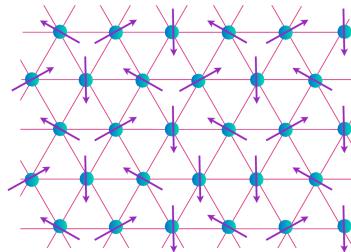
Approximated by  
Hubbard model



Key parameter:  $U/t$

“Weak” Mott insulator:

At ambient pressure,  
material is a Mott insulator:  
spin degree of freedom

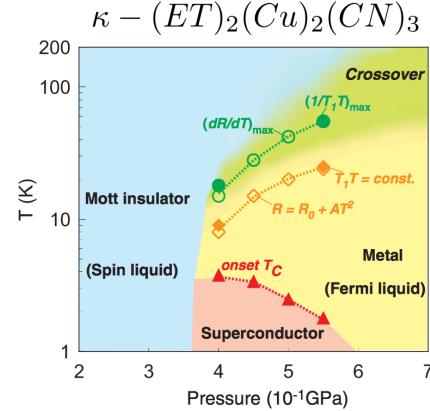


Biggish  $U/t$

$$H \approx 4 \frac{t^2}{U} \sum_{\langle i,j \rangle} S_i \cdot S_j + g \frac{t^4}{U^3} \sum_{\diamond} P_{ijkl} + \dots$$

“ring exchange”

Under 0.5 Gpa pressure transitions  
to metal or superconductor



Smaller  $U/t$

[from Kuroaki et al., PRL (2005)]

# Experiment: absence of magnetic order

Eg:  $\kappa - (ET)_2(Cu)_2(CN)_3$  “BEDT”

Nonmagnetic at low T:

Magnetic susceptibility

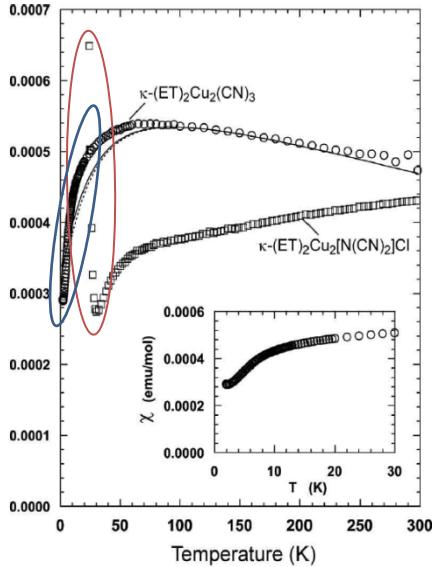


Figure from [1]

Gapless?

Linear-T Heat capacity

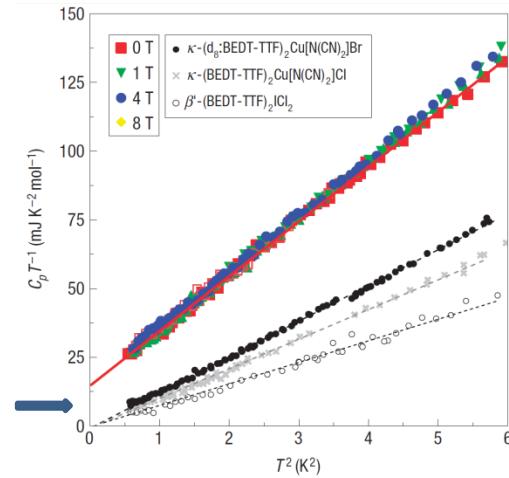


Figure from [2]

Gapped?

Thermal conductivity

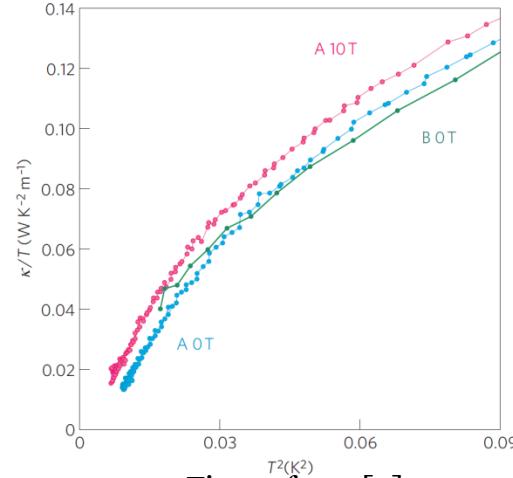


Figure from [3]

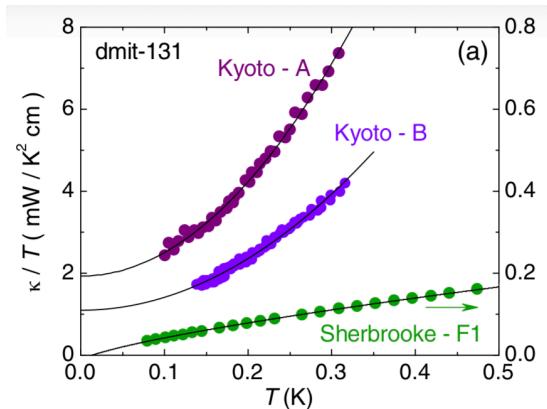
- [1] Shimizu et al., PRL **91**, 107001 (2003)
- [2] Yamashita et al., Nat. Phys. **4**, 459 (2008)
- [3] Yamashita et al., Nat. Phys. **5**, 44 (2008)

# Experiment: thermal conductivity in dmit-131

EtMe<sub>3</sub>Sb[Pd(dmit)<sub>2</sub>]<sub>2</sub> “dmit-131”

First thermal conductivity experiments: linear-T. “spinon-Fermi surface?”

[Yamashita, et al. Science 2010 (Kyoto)]



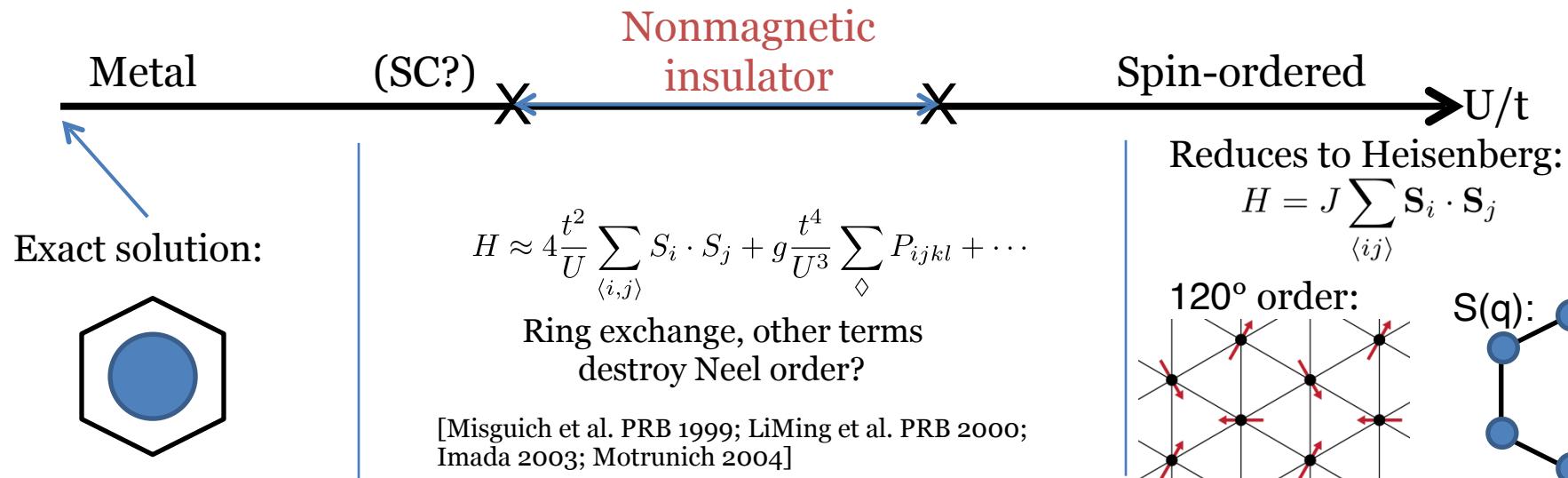
More recently: no linear-T  
(but not activated either)

$$\kappa/T = bT^c + \dots, \quad c \sim 0.7$$

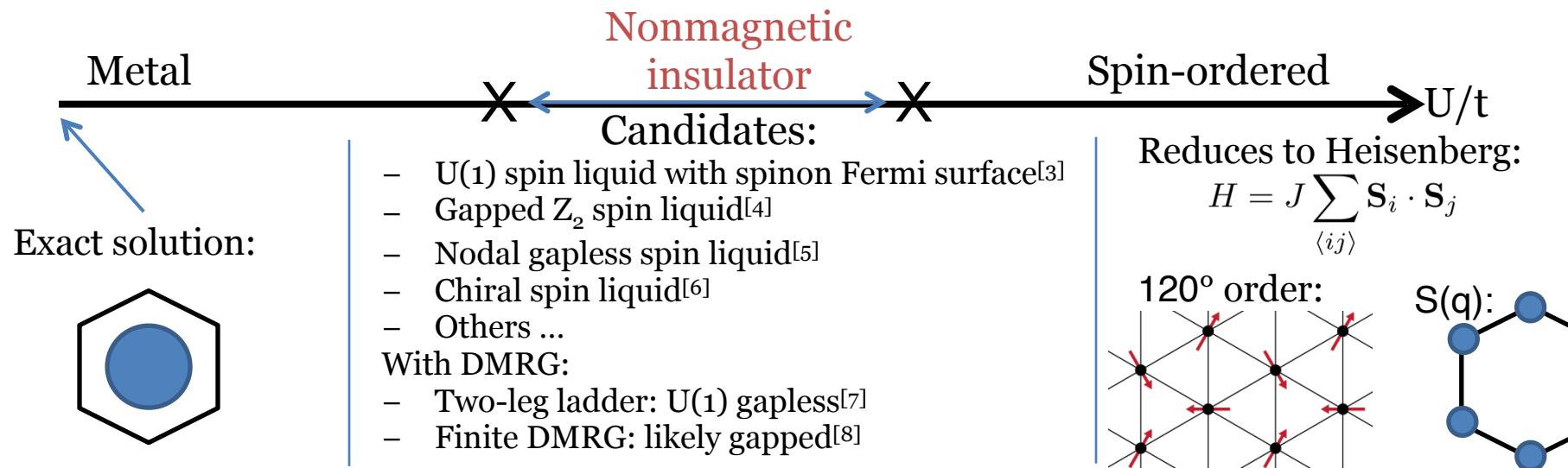
[Bourgeois-Hope et al, PRX 2019 (Sherbrooke); Ni et al. PRL 2019 (Fudan)]

## Phase diagram (expected)

## Single parameter: $U / t$



# Phase diagram (expected)



[3] Motrunich, PRB 72, 045105 (2005)

[4] Zhu & White, PRB 92, 041105 (2015)

[5] Mishmash et al., PRL 111, 157203 (2013)

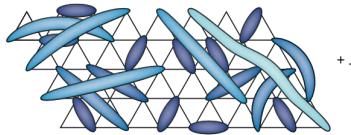
[6] Kalmeyer & Laughlin, PRL 59, 2095 (1987)

[7] Mishmash et al., PRB 91, 235140 (2015)

[8] Shirakawa et al., PRB 96, 205130 (2017)

# Spin liquid candidates

Gapless: “Spinon Fermi surface”



Gutzwiller projected Fermi surface

$$|\Psi\rangle = \prod (1 - n_{i\uparrow} n_{i\downarrow}) |\text{FS}\rangle$$

$$c_\sigma = b^i f_\sigma$$

$b$  = Mott Ins.

$f_\sigma$  = Fermi Sur. (“spinon”)

$$C \sim T^{2/3}$$

Motrunich, PRB 72, 045105 (2005)

Experiment: C “=” T

Gapped spin liquid  
Many possible types!

$Z_2$  spin liquid: preserves time reversal

**Topology of the resonating valence-bond state: Solitons and high- $T_c$  superconductivity**

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Institute for Theoretical Physics, University of California, Santa Barbara, California 93106  
(Received 9 March 1987; revised manuscript received 12 May 1987)

We study the topological order in the resonating valence-bond state. The elementary excitations have reversed charge-statistics relations: There are neutral spin- $\frac{1}{2}$  fermions and charge  $\pm e$  spinless bosons, analogous to the solitons in polyacetylene. The charged excitations are very light, and form a degenerate Bose gas even at high temperatures. We discuss this model in the context of the recently discovered oxide superconductors.

“Chiral spin liquid:” breaks it

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PHYSICAL REVIEW LETTERS

2 NOVEMBER 1987

**Equivalence of the Resonating-Valence-Bond and Fractional Quantum Hall States**

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and

R. B. Laughlin

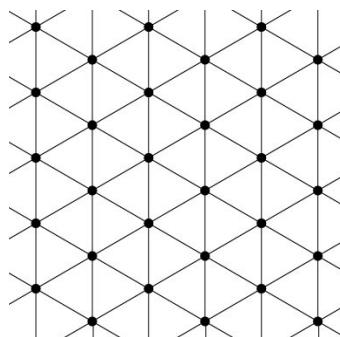
Department of Physics, Stanford University, Stanford, California 94305, and  
University of California, Lawrence Livermore National Laboratory, Livermore, California 94550  
(Received 24 July 1987)

# Calculation methods

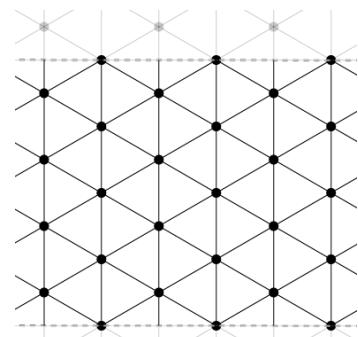
Find ground state with **infinite density matrix renormalization group (iDMRG)**.

- An unbiased variational method for solving infinite **1D** spin and fermion chains
- Efficient for 1D systems: how to do 2D?

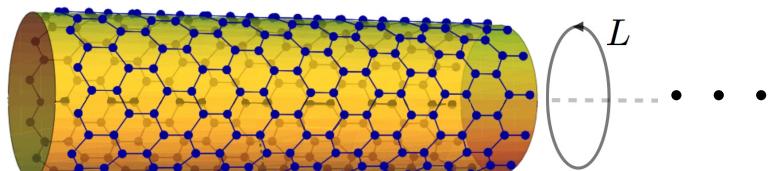
## 1. Convert to cylinder



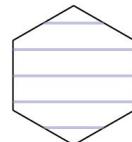
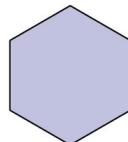
YC  
→



- *Infinite* along the length of the cylinder



- Allowed momenta:

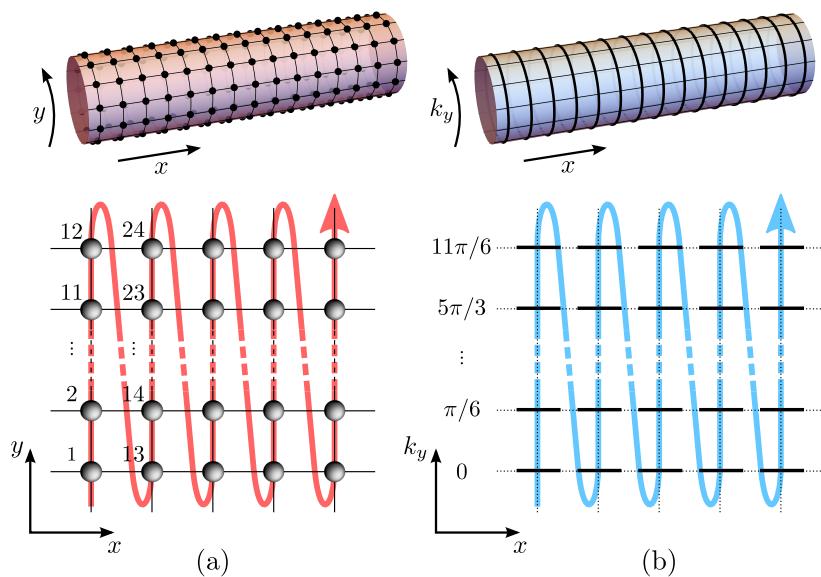


- YCL has conserved momentum with  $L$  eigenvalues

# Different about our DMRG: “mixed” k-space

$$\psi_{x,y,\sigma} \rightarrow \psi_{x,k_y,\sigma}$$

[Motruk et al, PRB 2016;  
Hubig et al. PRB 2017]



## 1. To momentum space **around** the cylinder

- “Mixed real- and momentum-space basis”

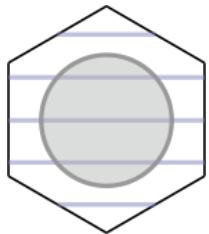
$$c_{xk_y\sigma} = \frac{1}{\sqrt{L}} \sum_y e^{-ik_y y} c_{xy\sigma}$$

- Fixed momentum sector: (a) smaller Hilbert space  $\Rightarrow$  reduced computation time, memory;  
(b) find multiple low-lying states

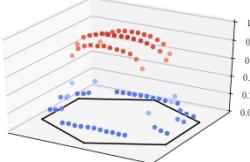
## 2. Use L=4, L=6 cylinders

- 4-dimensional local Hilbert space, vs 2D for spin models  $\Rightarrow$  need smaller circumference

# Summary of Phase diagram



$n_k$



Gapped

Spin analog of FQHE

Spontaneously broken time-reversal

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## Equivalence of the Resonating-Valence-Bond and Fractional Quantum Hall States

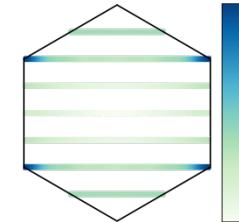
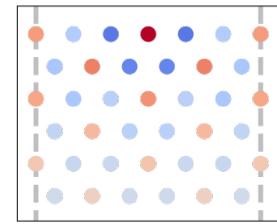
V. Kalmeyer

*Department of Physics, Stanford University, Stanford, California 94305*

and

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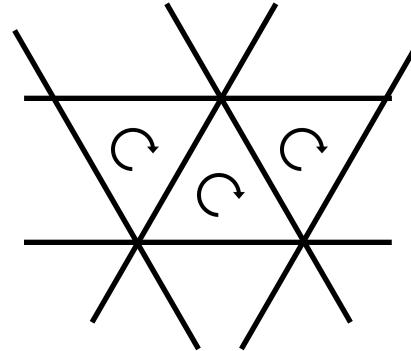
*Department of Physics, Stanford University, Stanford, California 94305, and  
University of California, Lawrence Livermore National Laboratory, Livermore, California 94550*  
(Received 24 July 1987)



# Chiral spin liquid

Preserves spin rotation, but breaks P, T:

$$\langle \mathbf{S}_i \cdot \mathbf{S}_j \times \mathbf{S}_k \rangle_{\Delta} \neq 0$$



Gapped, fractionalized spin liquid:

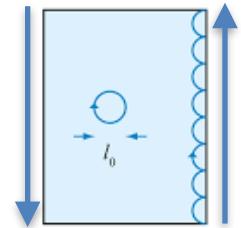
Spin  $\longleftrightarrow$  hard-core boson:  $\uparrow$  = empty,  $\downarrow$  = full

CSL:  $\nu = \frac{1}{2}$  bosonic fractional QHE (Laughlin state).

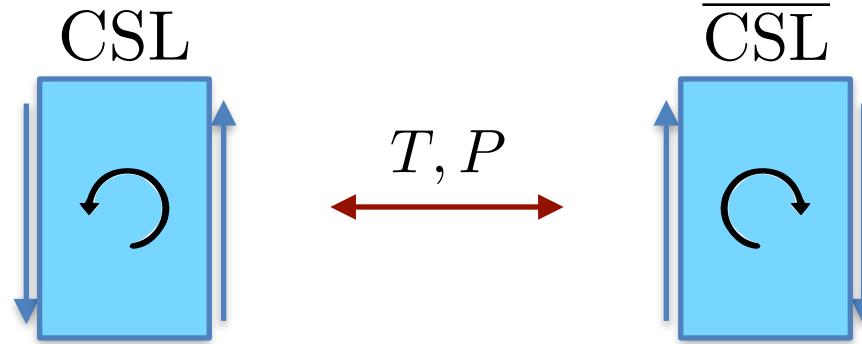
Incompressible FQHE  $\longleftrightarrow$  spin-gap in bulk

Gapless chiral edge states:

Thermal Hall Effect ( $c=1$ ), spin-Hall effect

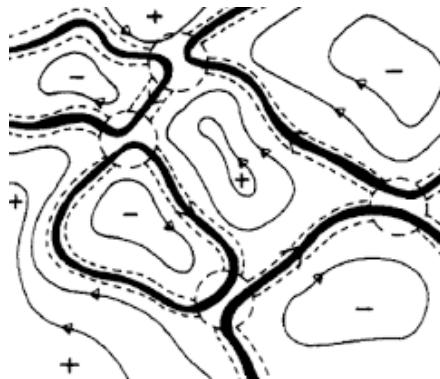


# Spontaneous symmetry breaking



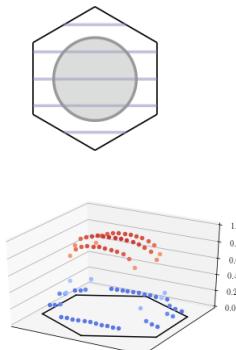
Above Ising  $T_c$  (or T-disorder):  
puddles?

Domain walls have chiral edge  
states: linear-T specific heat

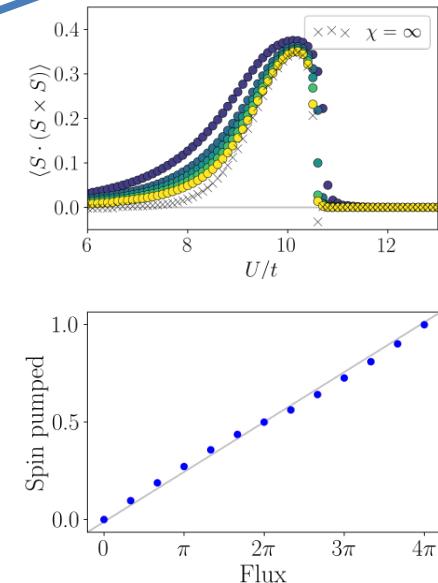


# Phase diagram: numerical evidence

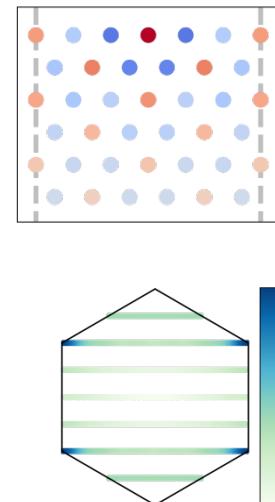
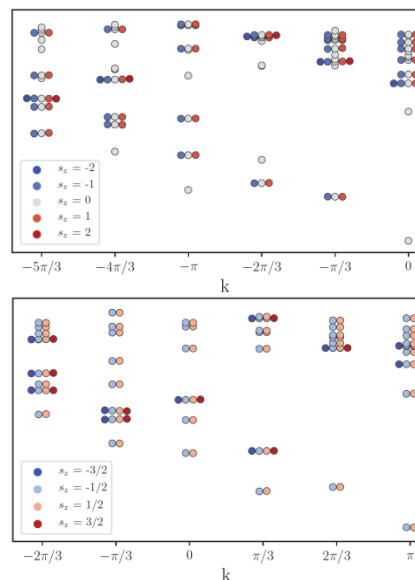
Metal



Chiral spin liquid



Spin-ordered

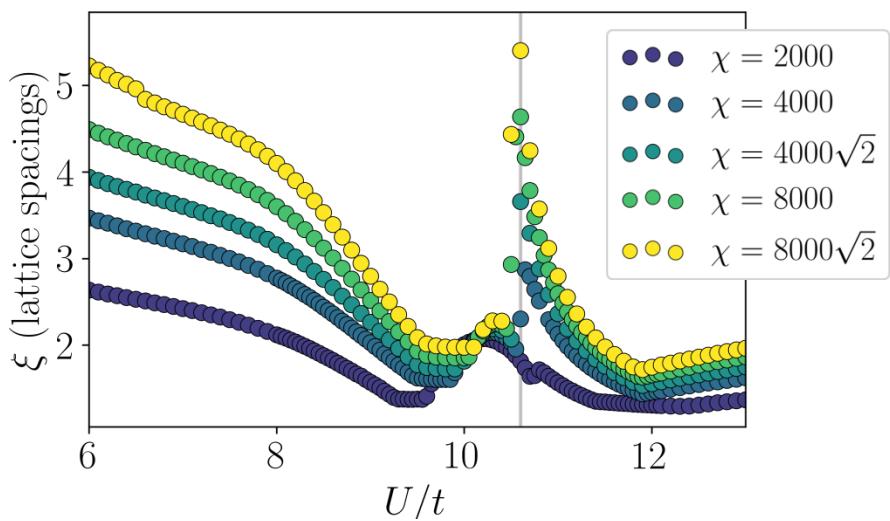


$\rightarrow U/t$

# Phase diagram: L=4 cylinder



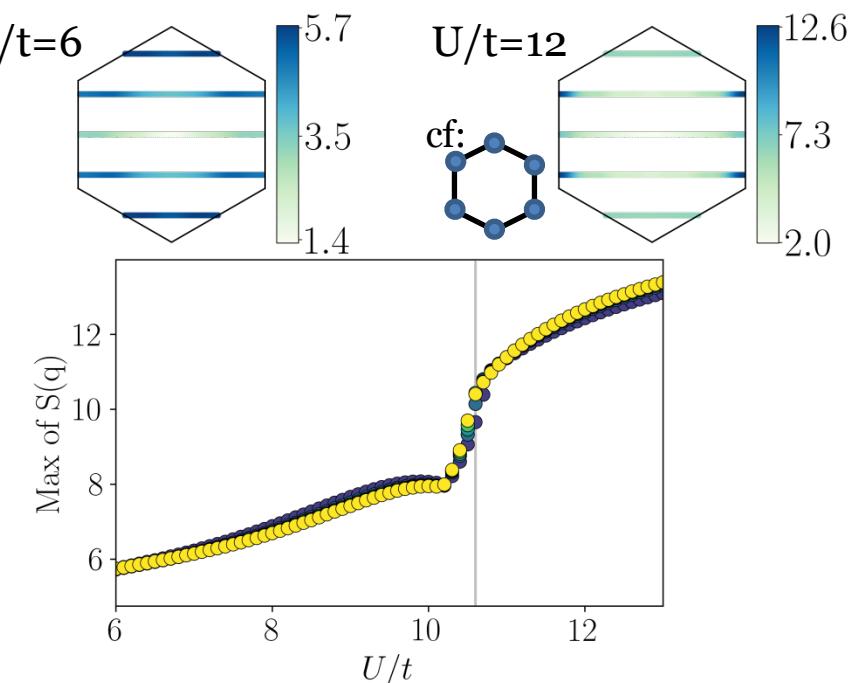
Correlation length



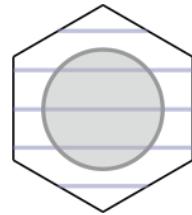
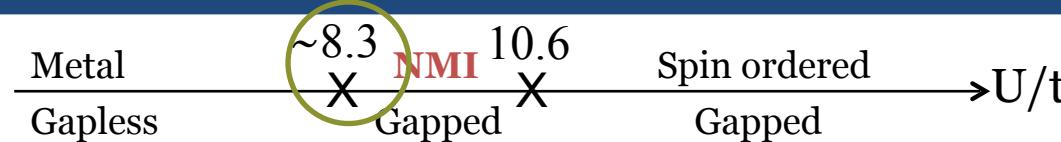
$\chi$ : MPS “bond dimension”

- Controls precision of DMRG

Spin order: structure factor  $S(q)$



# Phase diagram: L=4 cylinder



$$c = 6 = 3 \cdot 2$$

On cylinder, metal characterized by  
# of gapless modes “ $c$ ” (2 per “wire”)

Can be measured from entanglement:

A diagram of a cylinder labeled A and B. A green rectangular block highlights a segment of the cylinder labeled B. This represents a subsystem for entanglement analysis.

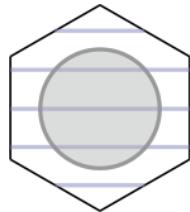
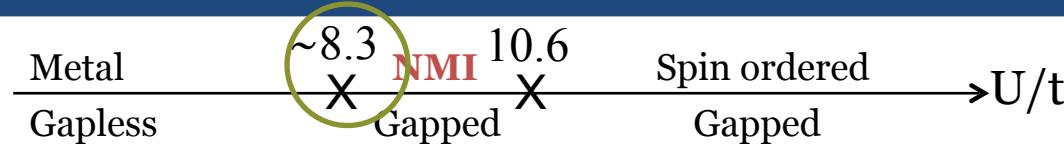
$$A \xrightarrow{\quad} | \psi \rangle = \sum_{i=1}^{\infty} \lambda_i | \psi_i^A \rangle | \psi_i^B \rangle \xrightarrow{\quad} S = \sum_{i=1}^{\infty} -\lambda_i^2 \log(\lambda_i^2)$$

Finite entanglement scaling:[8,9]

[8] Calabrese & Cardy, J. Stat. Mech. 06, Po6002 (2004)

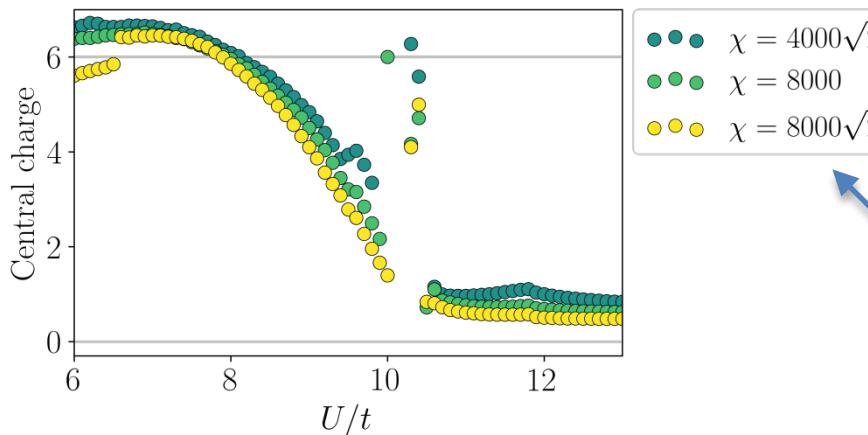
[9] Pollmann et al, PRL 102, 255701 (2009)

# Phase diagram: L=4 cylinder

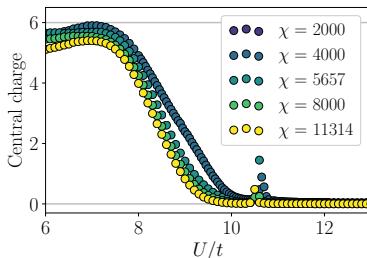


$$c = 6 = 3 \cdot 2$$

Gapped:  $c = 0$



Accuracy of DMRG

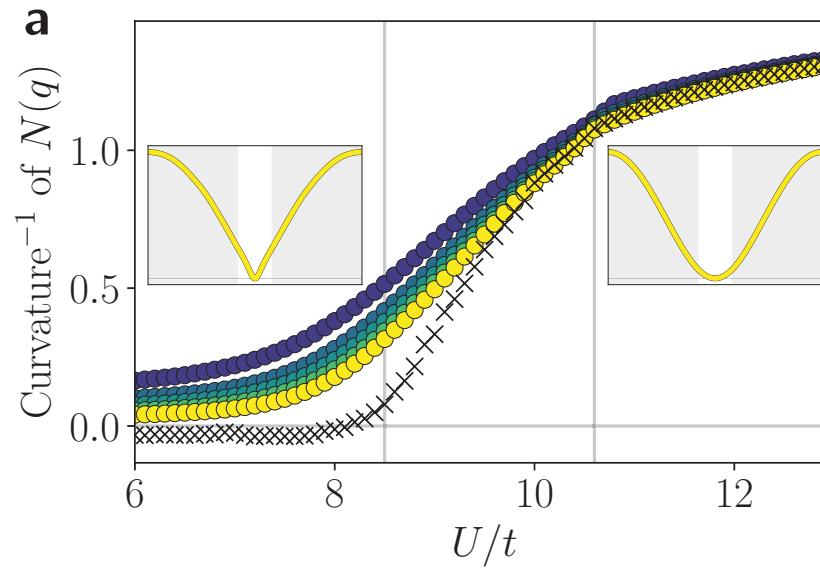


Consistent with metal-insulator transition,  
though rounded out by finite DMRG accuracy  
(give us bigger computers)

# Metal-insulator transition

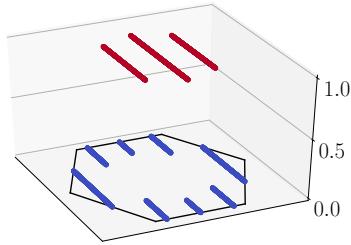
Density-density structure factor (along cylinder):

$$N(q) = \langle n(q)n(-q) \rangle$$

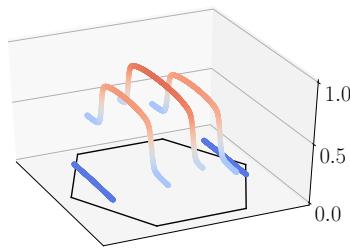


# Destruction of Fermi surface

Electron occupation  $n_k$

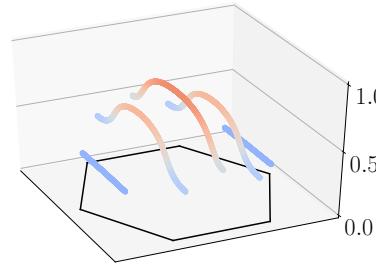


$U=0$



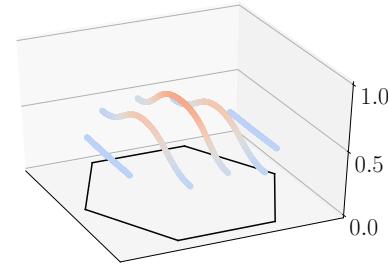
$U=6$

(metal)



$U=9$

(spin liquid)

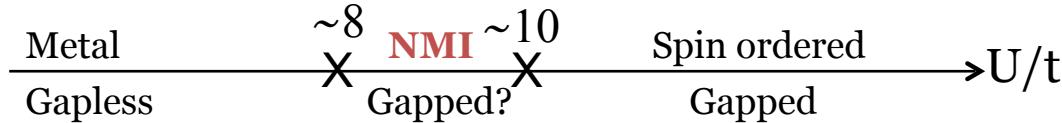


$U=12$

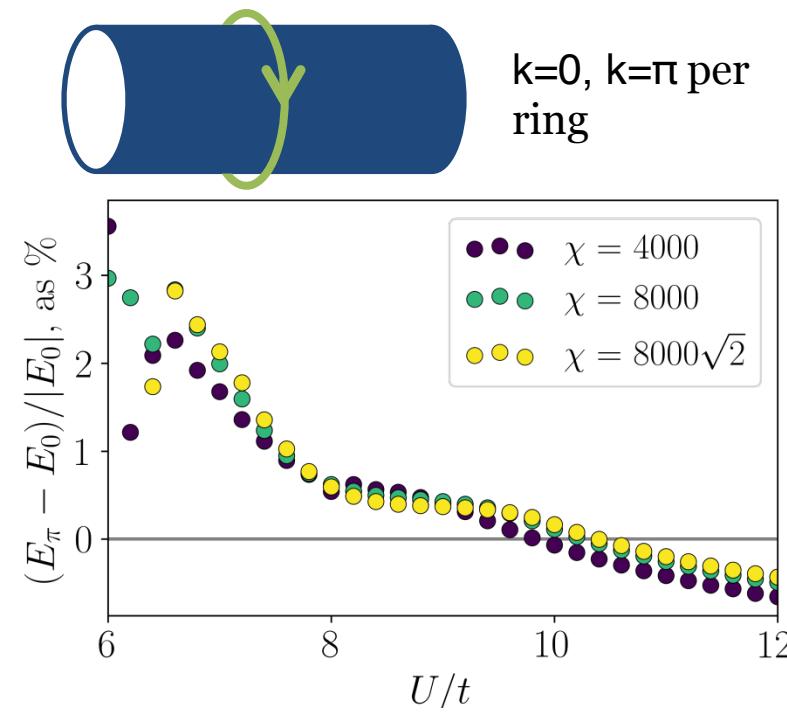
But on 1D cylinder, coupled Luttinger liquids ( $Z=0$ ) for any  $U > 0$   
Metal-insulator  $\dashrightarrow$  BKT transition

*Suggestive* of continuous destruction of Fermi surface (!!)  
But how to infer 2D physics from 1D cylinders?

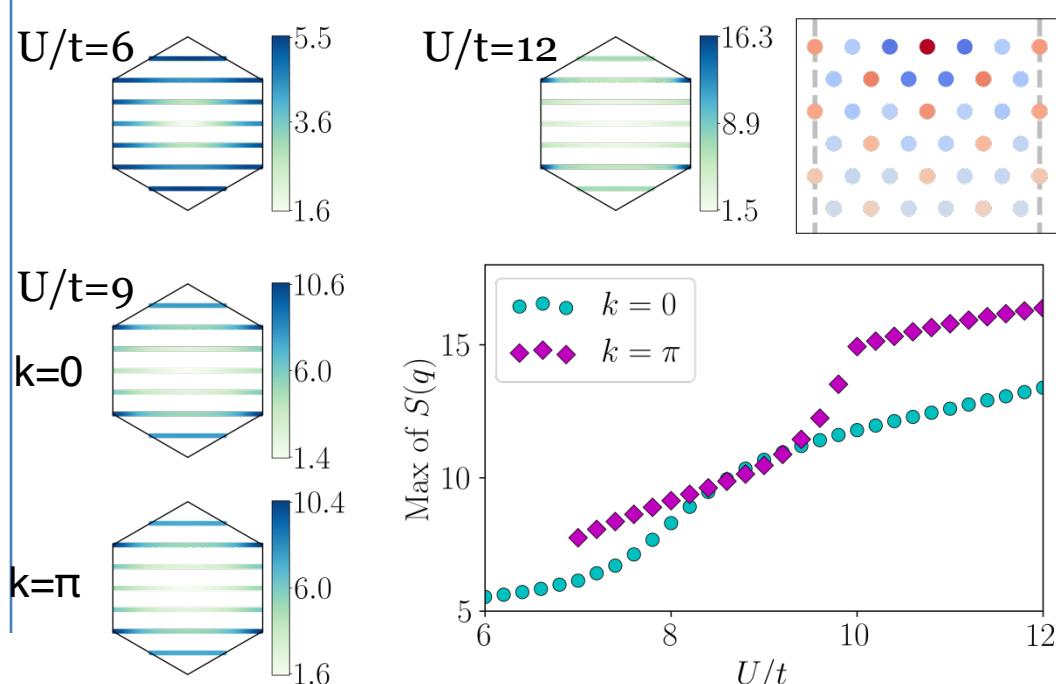
# Phase diagram: L=6 cylinder consistent with 4



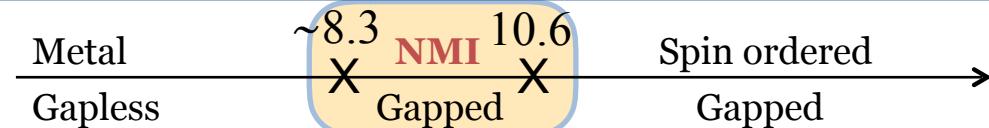
Two low-energy states per chirality:



Spin order:



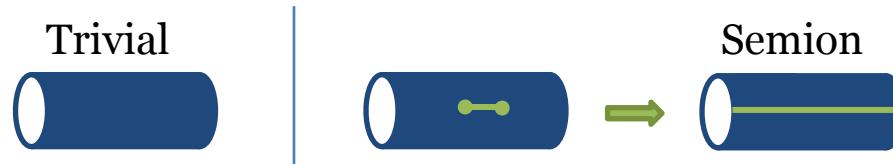
# Identification as a CSL



What is a chiral spin liquid?

- $v=1/2$  fractional quantum Hall effect state for spins<sup>[6,10]</sup>
- Signatures:

- Topological ground state degeneracy and fractionalized quasiparticles (semions)
    - 2x on infinite cylinder (in addition to the symmetry-breaking)



- Time-reversal symmetry breaking
    - eg: scalar chiral order parameter
  - Chiral edge modes in 2D  $\rightarrow$  entanglement spectrum on cylinder
    - Characteristic level counting vs momentum: 1, 1, 2, 3, 5, ...
    - 2x degeneracy for semion sector
  - Quantized spin Hall effect:  $2\pi$  flux insertion  $\rightarrow$  spin  $1/2$  pumping

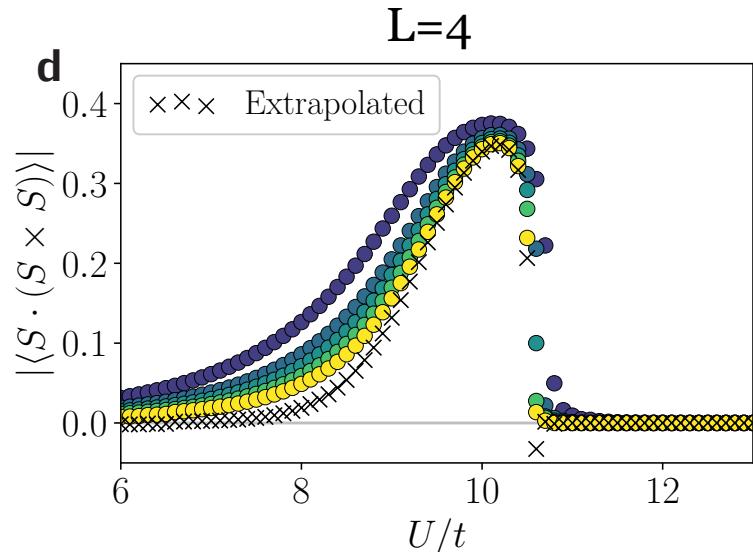
[6] Kalmeyer & Laughlin, PRL 59, 2095 (1987)

[10] Wen et al., PRB 39, 11413 (1989)

# Identification as a CSL

Chiral order parameter:

$$\langle \mathbf{S}_i \cdot (\mathbf{S}_j \times \mathbf{S}_k) \rangle$$



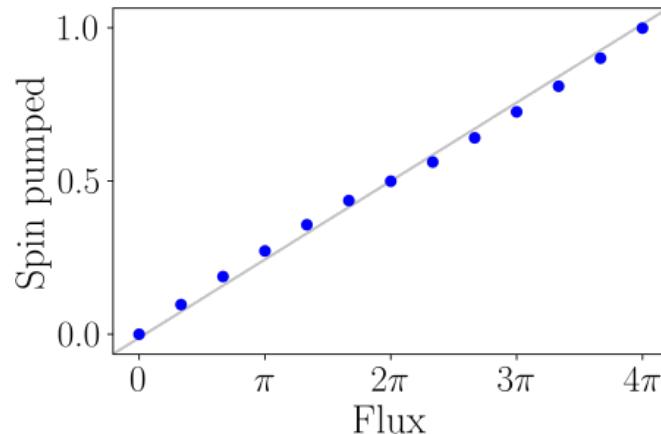
Seems like continuous onset of SSB at metal-insulator transition?  
(uncertainty from finite DMRG accuracy)

# Identification as a CSL

Flux insertion and spin Hall effect:

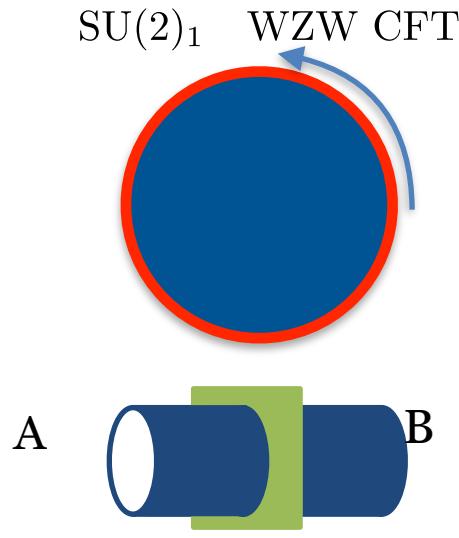
“B”   $\rightarrow H \rightarrow -t \sum_{\langle ij\sigma \rangle} \left( e^{i\sigma\theta/2} c_{i\sigma}^\dagger c_{j\sigma} + \text{H.c.} \right) + U \sum_i n_{i\uparrow} n_{i\downarrow}$

Spin Hall effect:



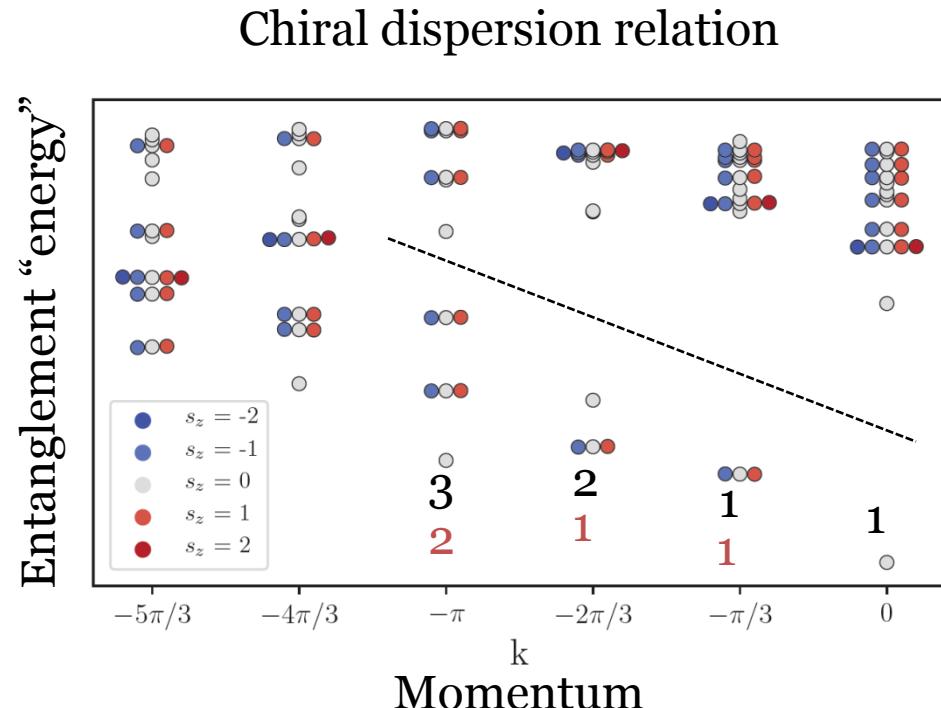
# Identification as a CSL: chiral edge states

Spin- and momentum-resolved entanglement spectrum,  $L=6$ ,  $U/t = 9$ :



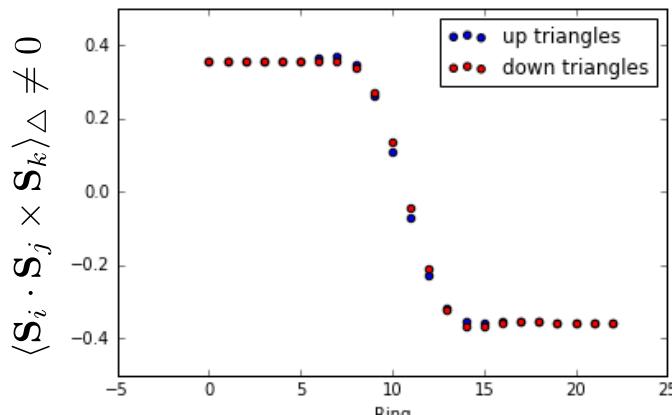
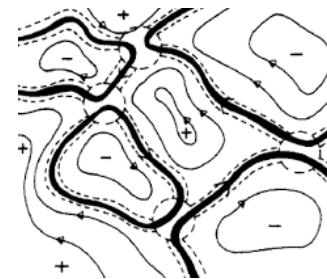
Simulate edge with  
entanglement cut

$$\rho_A \sim e^{-\beta H_E}$$



# CSL, CSL\* domain-wall tension

What's the energy cost per unit length of a domain wall?  
Will determine  $T$  of finite-temperature Ising transition

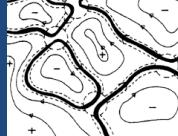


$$5 \cdot 10^{-3} t/a \sim 3\text{K}/\text{unit length}$$

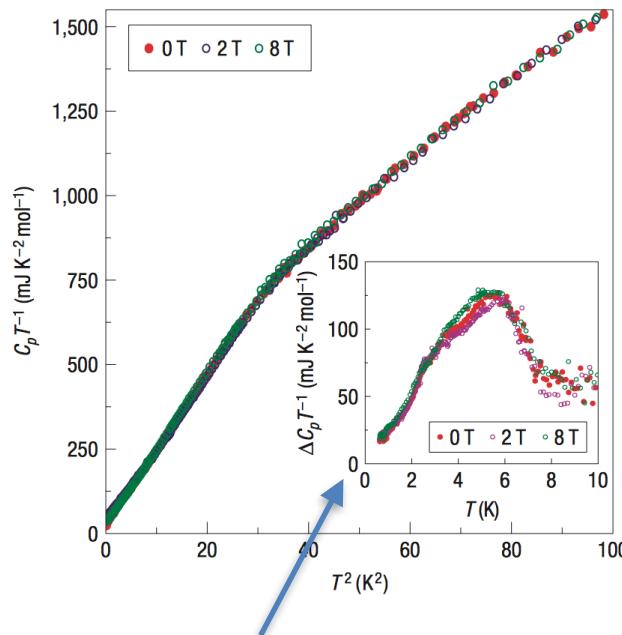
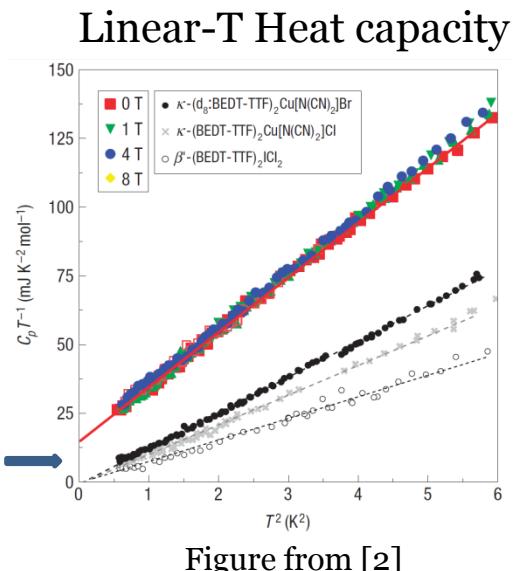
$$T_c \sim 3\text{K} \log(2 + \sqrt{3}) \sim 4\text{K}$$

Expect Kerr effect,  
small thermal-Hall below this

# CSL, CSL\* domains



“Hump” in heat capacity @ 5 - 6K



Magnetic field will split CSL, CSL\* by

$h = 10^{-4}$  meV/T per spin

(small compared to  $J, T$ )

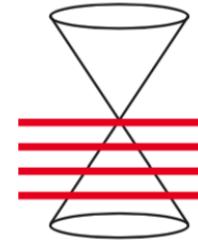
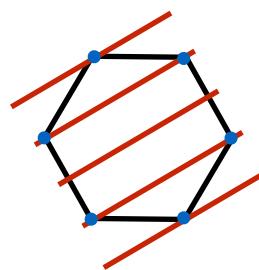
Excess heat capacity relative to AFM version

- [1] Shimizu et al., PRL **91**, 107001 (2003)
- [2] Yamashita et al., Nat. Phys. **4**, 459 (2008)
- [3] Yamashita et al., Nat. Phys. **5**, 44 (2008)

# Cautions: inferring 2D physics from 1D cylinders is tricky

The DMRG does NOT seem consistent with a spinon-Fermi surface.  
However, ruling out a *nodal* gapless state (U(1) Dirac Spin Liquid) is trickier:

1D cylinder reduction can lead to instabilities, gap on cylinder



$\psi_1$  APBC

[He, et al., PRX 7, 031020]

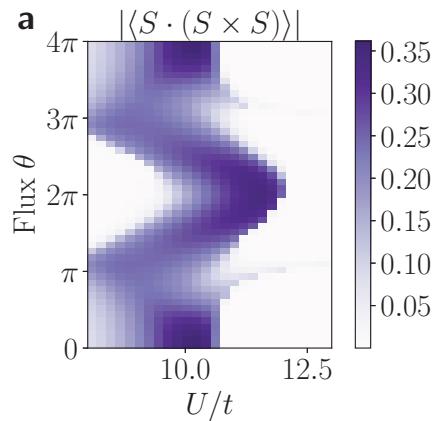
$\psi_2$  PBC

This (I believe) tricked us with Kagome-Heisenberg (“Herbertsmithite”) model:  
early DMRG suggested gapped, but our work suggests Dirac S.L.

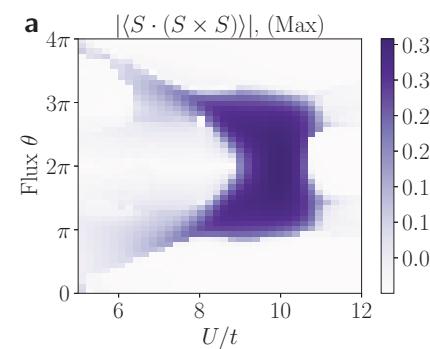
Can be probed by threading spin-flux through the cylinder to change BC

# Cautions: inferring 2D physics from 1D cylinders is tricky

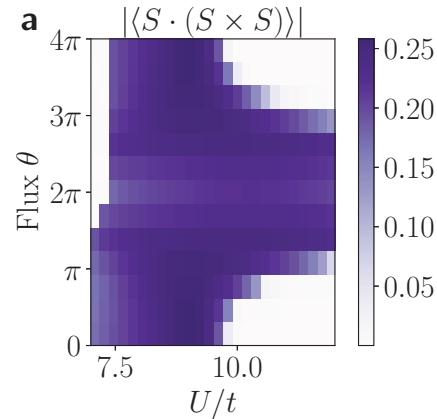
Effect of spin-flux on chiral order:



L=4



L=5



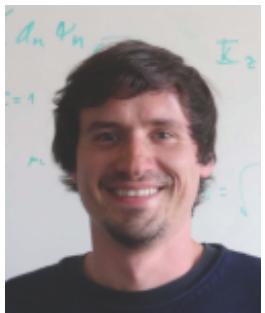
L=6

Clearly still large finite-circumference effects, but more consistent with 120° - CSL competition, not Dirac spin liquid

iPEPS study of Hubbard would be very interesting!

# Acknowledgements

## Collaborators:



Johannes Motruk  
(UC Berkeley)



Aaron Szasz  
(UC Berkeley)



Joel E. Moore  
(UC Berkeley)

DMRG code base “TenPy”:

- Michael Zaletel
- Frank Pollmann (Munich)
- Roger Mong (Pittsburgh)

Computing resources:

- Lawrence Berkeley National Laboratory



# Summary

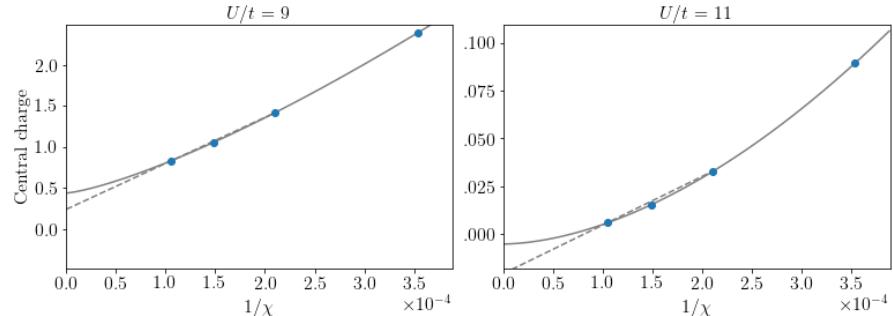
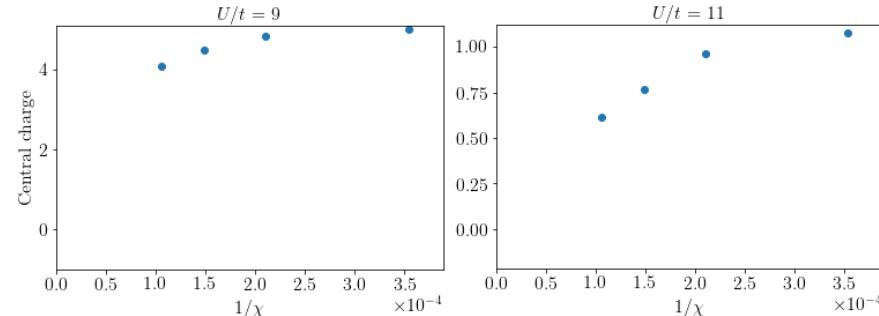
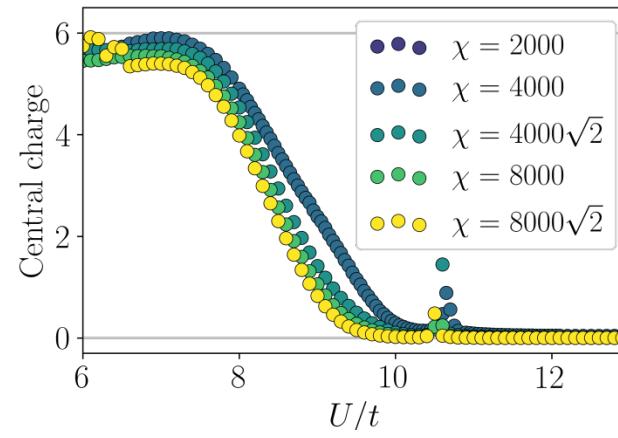
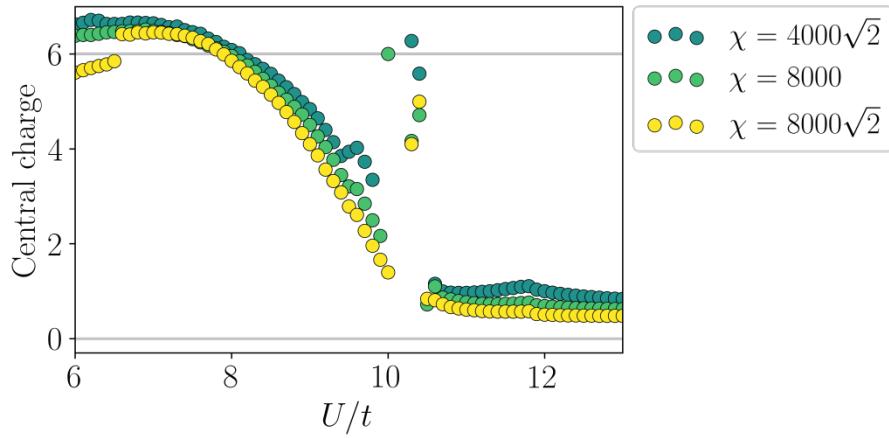
- Three phases of Hubbard model on triangular lattice
  - Metal, nonmagnetic insulator (NMI), magnetically ordered
- NMI phase is a chiral spin liquid!
  - Chiral order parameter → spontaneous breaking of time-reversal symmetry
  - Two topologically degenerate ground states: trivial, semion sectors
  - Spin Hall effect:  $2\pi$  flux insertion pumps spin  $1/2$

Thanks for your attention!



# Extra slides: central charge scaling

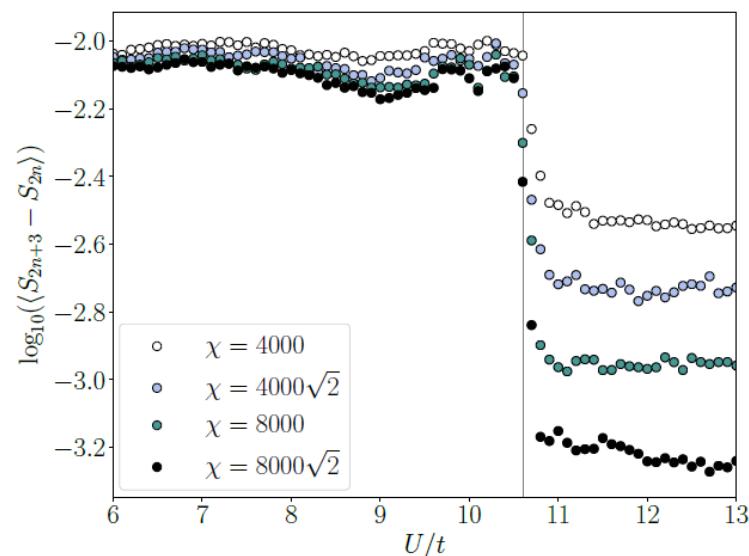
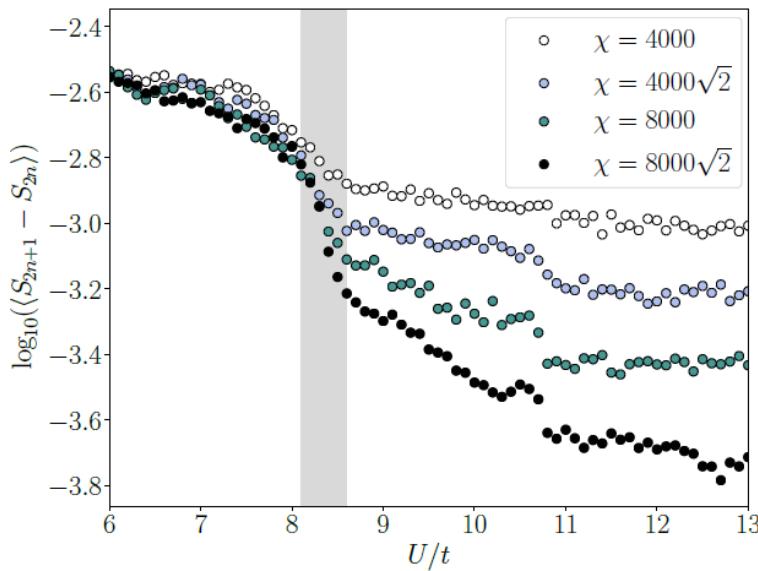
$$S = \frac{1}{\sqrt{\frac{12}{c} + 1}} \log(\chi)$$



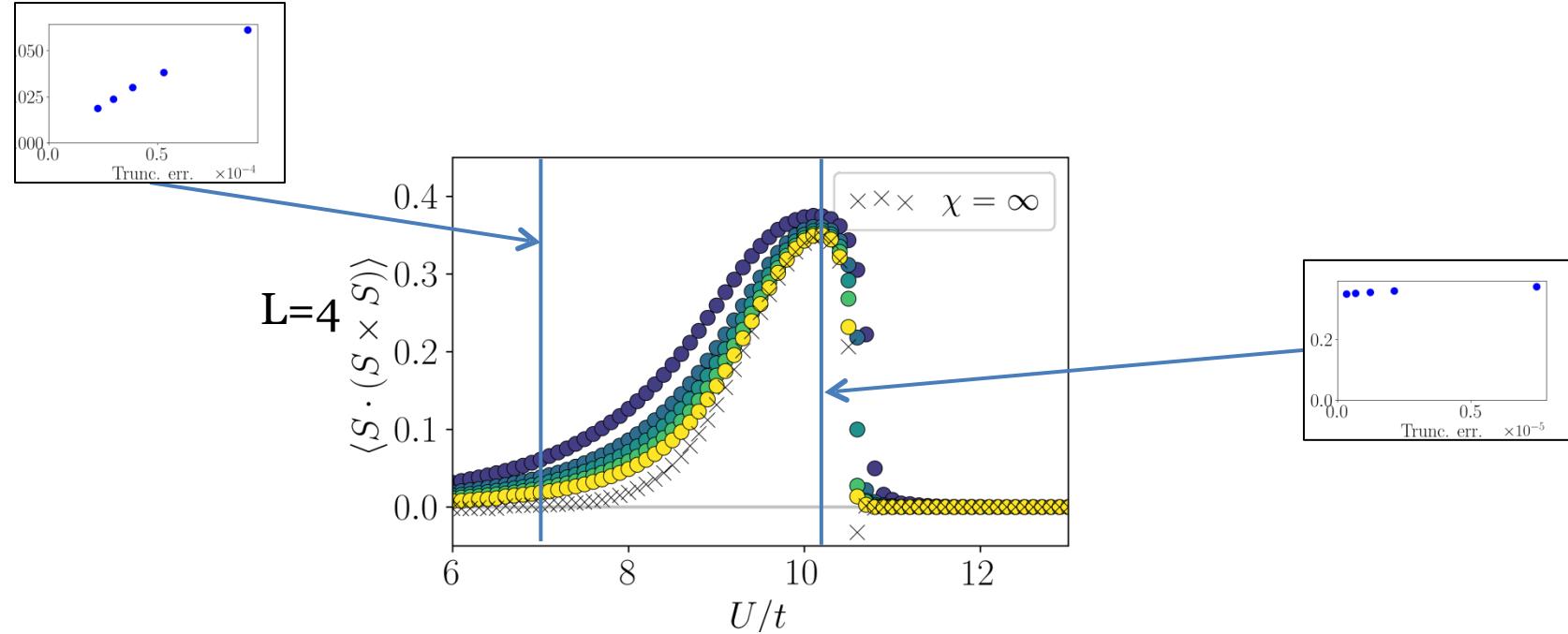
# Extra slides: degeneracy onset

Proof of entanglement spectrum degeneracy:

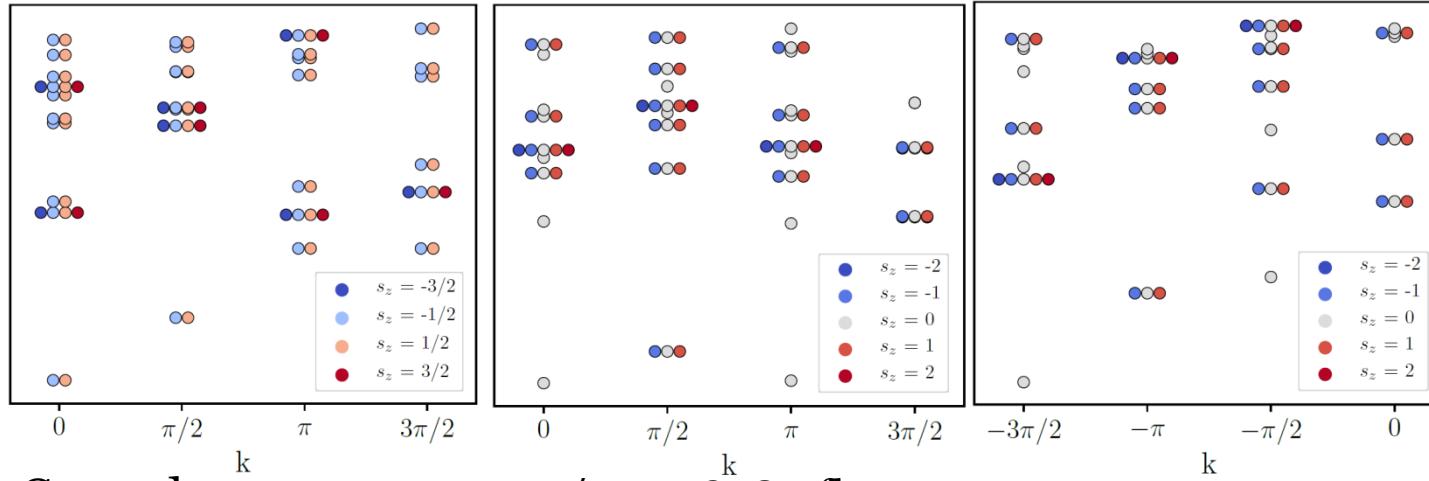
Group entanglement levels into pairs/quadruplets, look at average splitting in each group.



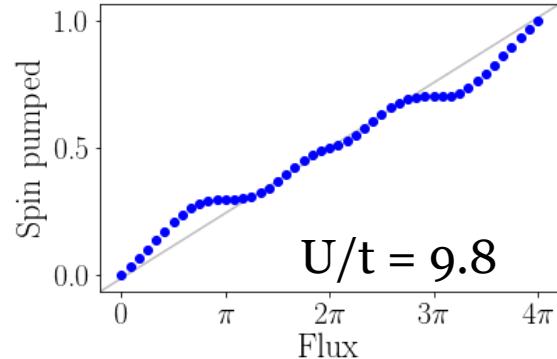
# Extra slides: chiral order parameter extrap.



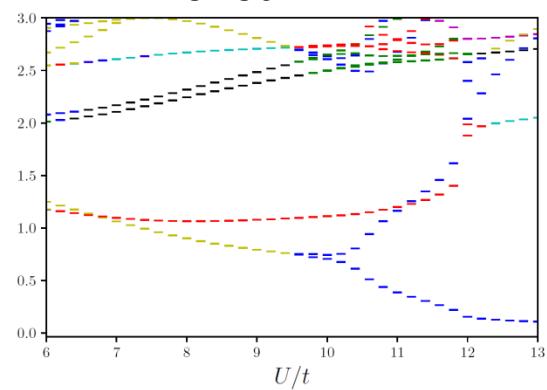
# Extra slides: L=4 entanglement spectrum



Ground state,  
U/t = 9.8

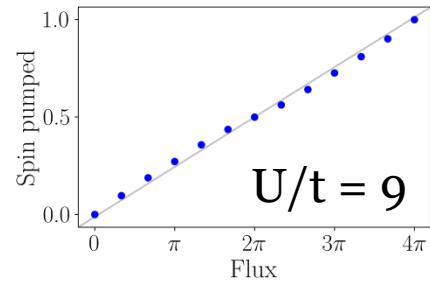


Phase boundaries  
moved

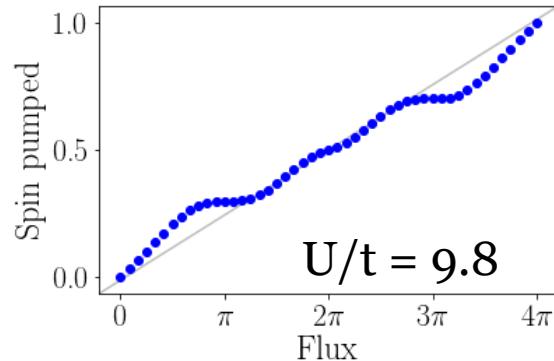


# Extra slides: flux insertion

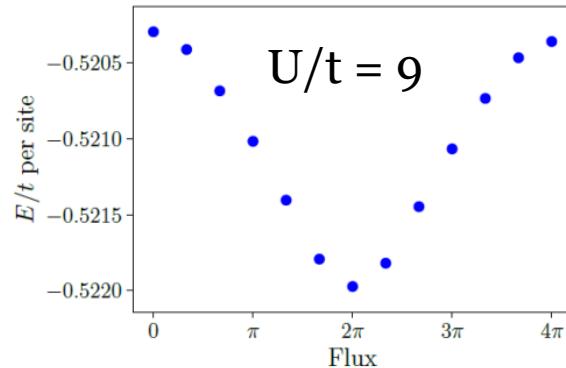
L=6



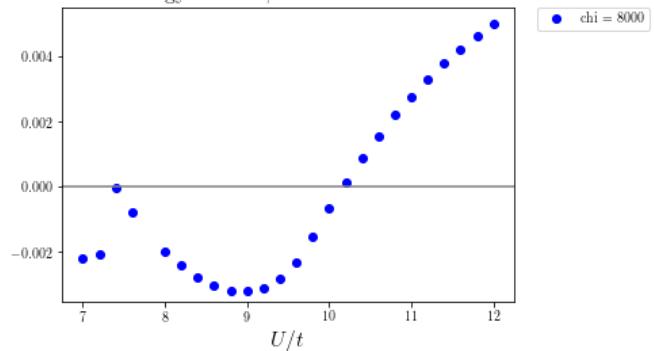
L=4



Energy stiffness

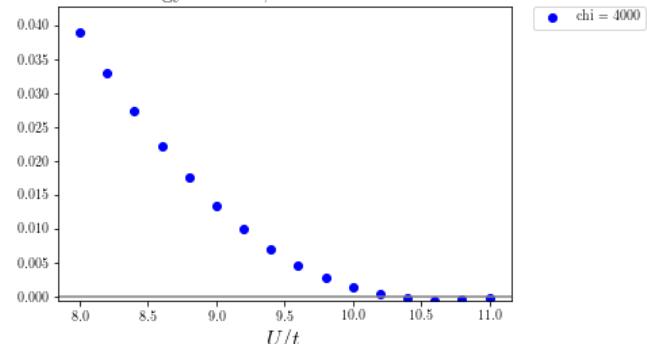


Relative energy stiffness,  $\theta = 2\pi$  vs  $\theta = 0$  relative dE



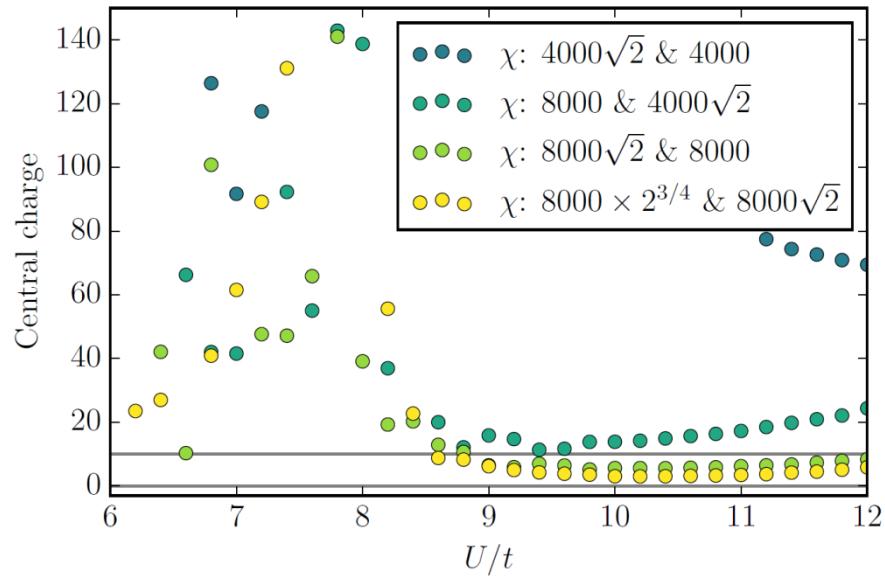
(Starts from  $k=\pi$ )

Relative energy stiffness,  $\theta = 2\pi$  vs  $\theta = 0$  relative dE

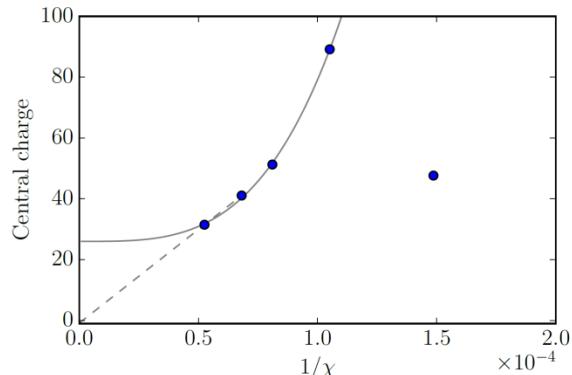


# Extra slides: L=6 gapped?

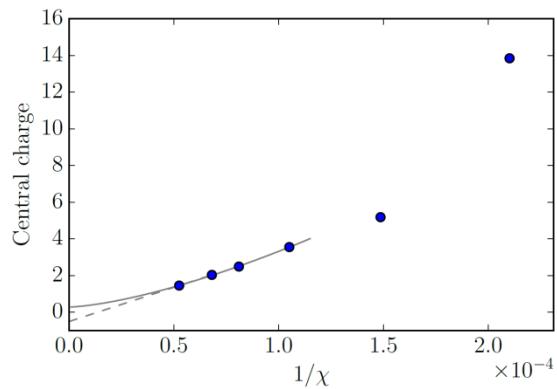
Central charge scaling with  $\chi$



$U/t = 7.2$

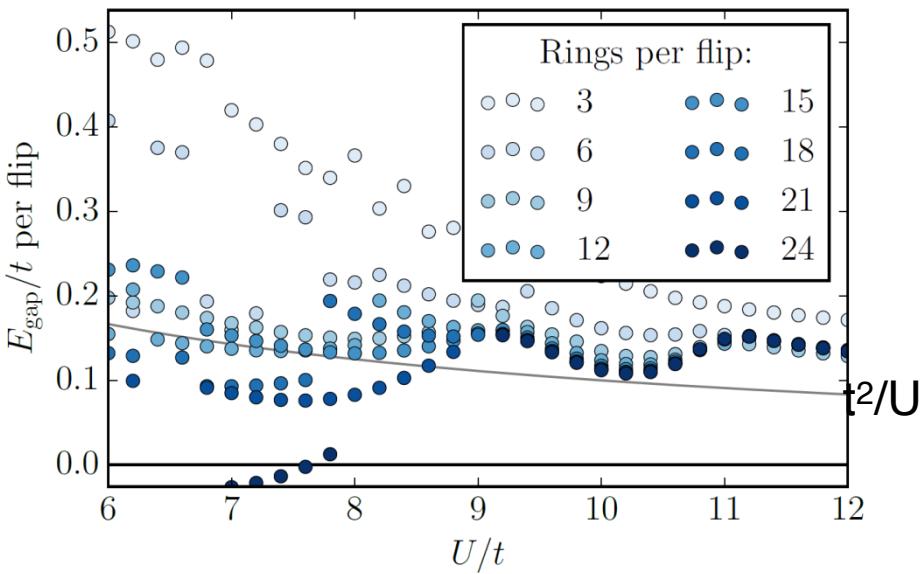


$U/t = 9.8$



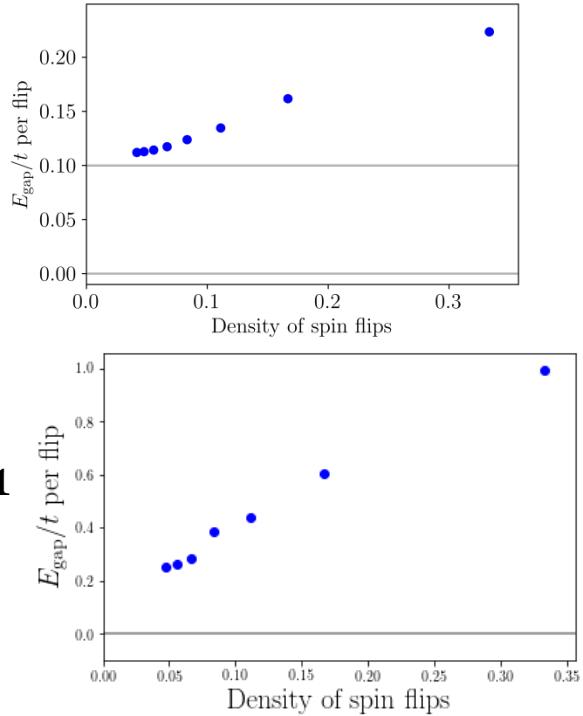
# Extra slides: spin gap

Excitation energy to state with  $\langle S_z \rangle = 1$  per N rings,  $L = 4$



$U/t = 10$

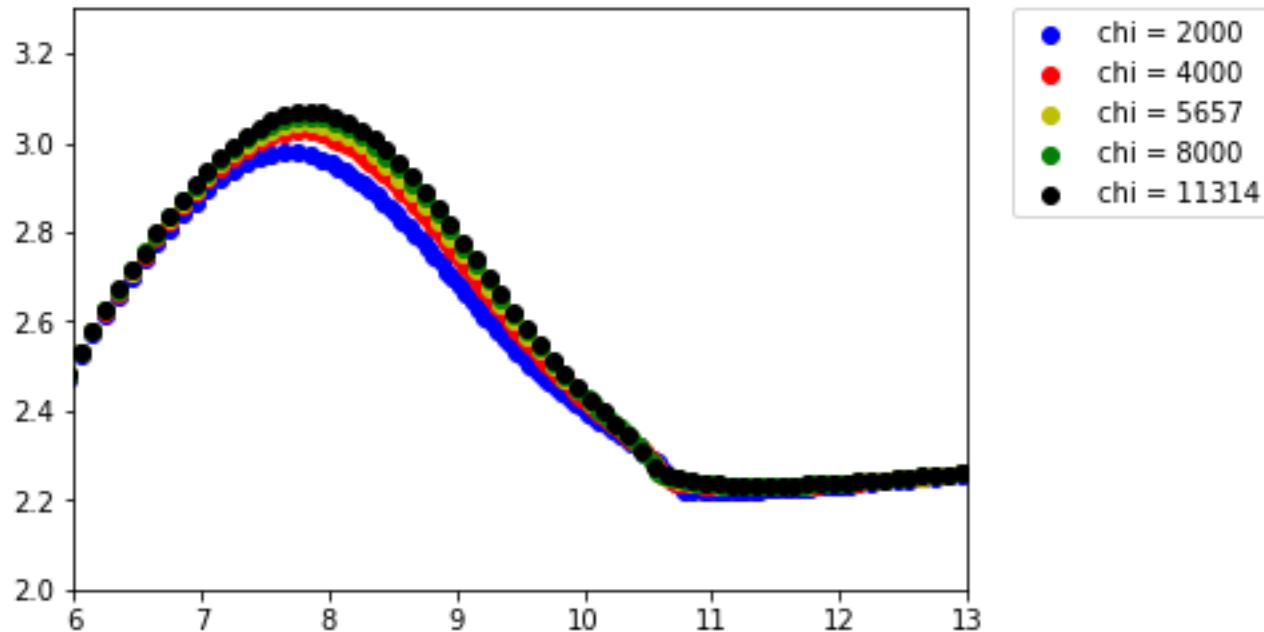
$U/t = 0.01$



Take it with a grain of salt for now!

# Extra slides: nature of phase transitions

$$(U/t)^2 \times dE/d(U/t)$$



Some notes on experiments:

- $T'/t = 1.06$ ,  $U=8.2$  for the first experiment
- $\text{EtMe}_3\text{SbTPd(dmit)}_2\text{U}_2$  has  $J=220-250\text{K} \Rightarrow 10\%$  anisotropy
- Nakamura paper, ab initio, says that three triangle hoppings are -55, -55, 44, in meV, and a second neighbor hopping of 7 meV, and  $U \sim 0.85 \text{ eV}$ , so  $U/t \sim 12-15$
- This ``topological degeneracy'' arises because the CSL contains a fractionalized excitation, the semionic spinon, which carries spin-1/2 but no charge; if a pair of these semions are separated out to the ends of the cylinder at  $\pm \infty$ , the process toggles between the two ground states
- Wen **Phys. Rev. B 40, 7387**