

# HOW ARE CRYSTAL STRUCTURES AND SUPERCONDUCTIVITY AFFECTED BY HUNDSDNESS?

*Kristjan Haule*



**March 4. 2020**

**Aspen**



U.S. DEPARTMENT OF  
**ENERGY**



Blavatnik Awards  
Young Scientists



Simons Collaboration on the  
Many Electron Problem

Thanks to long term collaborations:

Gabi Kotliar



Ronald Cohen  
Carnegie I.S.



Many thanks to current/former postdocs:



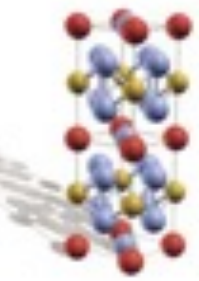
Subhasish Mandal  
(e-ph coupling & FeSe)



Zhipin Yin  
(iron superconductors)



# Hunds Metals: coherence incoherence crossover



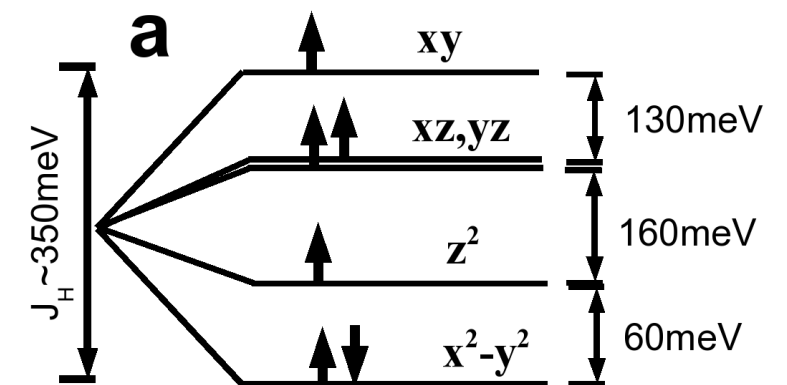
Hund's metals were found when studying the origin of mass enhancements in Fe-pnictides

Hubbard U not important

The **Hund's coupling** brings correlations!

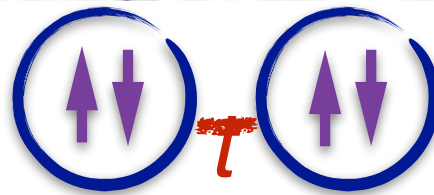
K. Haule, G. Kotliar, arXiv:0805.0722 (2008), New Journal of Physics, 11 025021 (2009).

In metals when electrons are forced to be in the high-spin state (by Hund's J), the Fermi liquid state is protracted, and develops only at very low temperature. There is a “*Coherence incoherence transition*” from incoherent local-moment state at high-T to Fermi liquid state at low-T (except in the selective Mott phase).

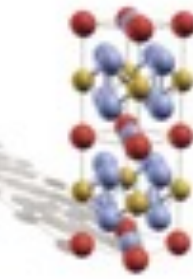


6 electrons  
in 5 orbitals

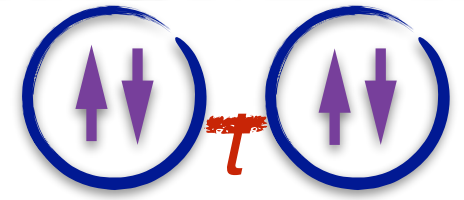
Hund's coupling  $J \Rightarrow$  high spin    Kinetic energy & Pauli  $\Rightarrow$  low spin



# Hunds Metals: coherence incoherence crossover



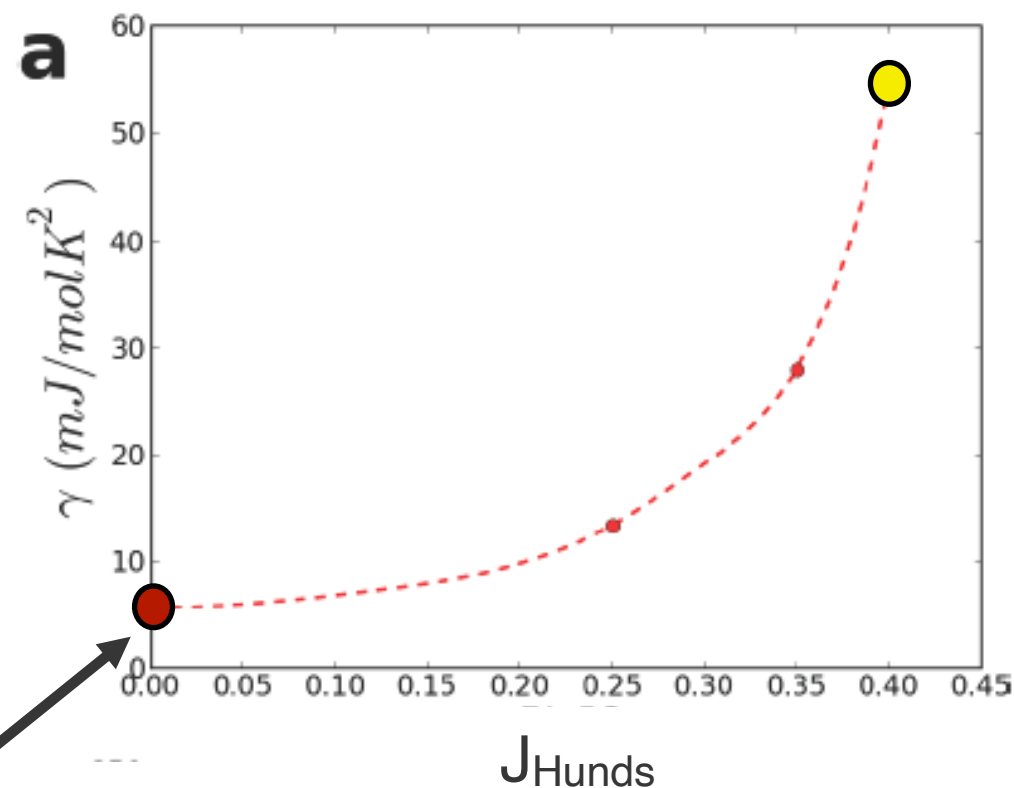
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Hubbard  $U$  not important

The **Hund's coupling** brings correlations!

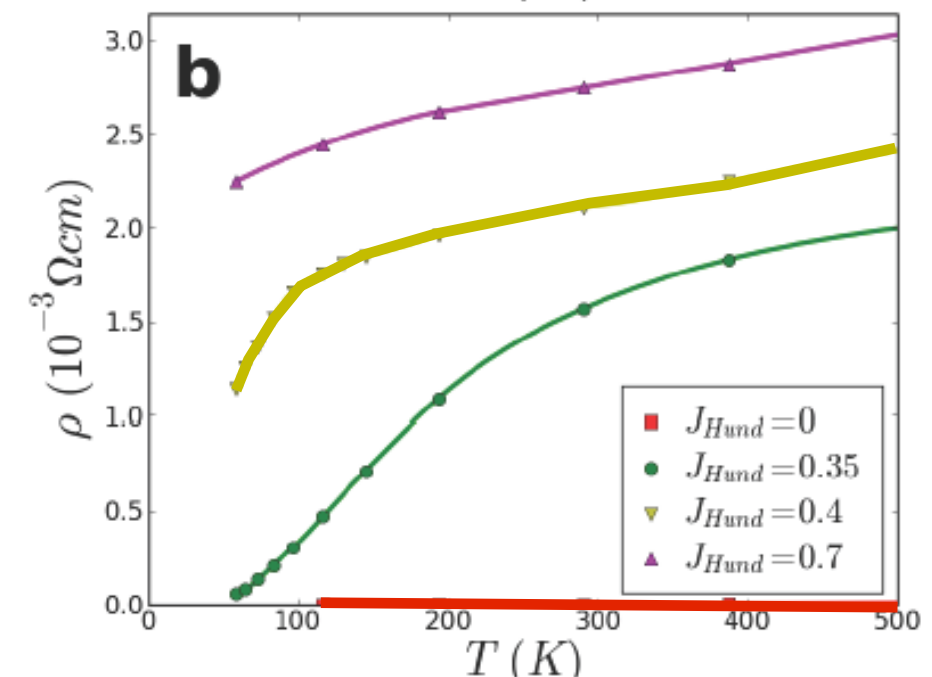
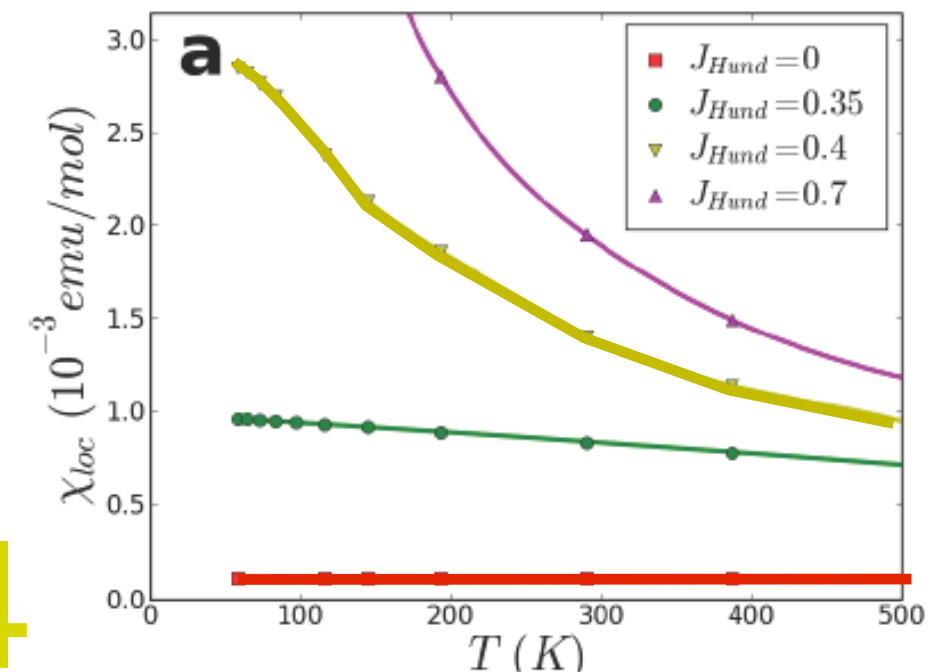
K. Haule, G. Kotliar, arXiv:0805.0722 (2008), New Journal of Physics,



LDA value

$J=0.4$

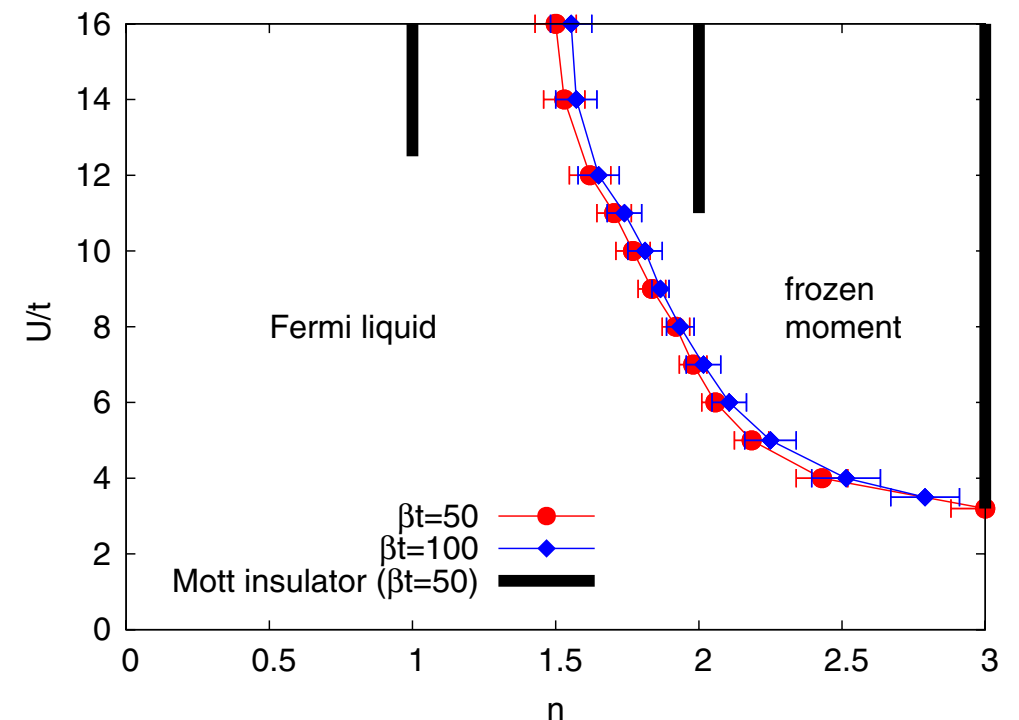
For  $J=0$  there is negligible mass enhancement at  $U \sim W$ !



# Spin freezing

**Related early work** on 3-band Hubbard model found “a quantum phase transition between paramagnetic metallic phase, and incoherent metallic phase with frozen moments.” dubbed spin-freezing.

P. Werner, E. Gull, M. Troyer, and A.J. Millis



Timeline of the early works:

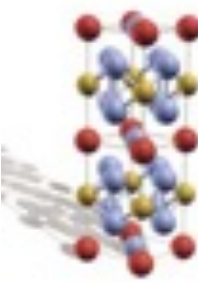
**arXiv:0805.0722** : *Coherence-incoherence crossover in the normal state of iron-oxypnictides and importance of the Hund's rule coupling*. NJP, K.H and G.Kotliar.

**arXiv:0806.2621** : *Spin freezing transition and non-Fermi-liquid self-energy in a 3-orbital model*, PRL (2008), P. Werner et.al.

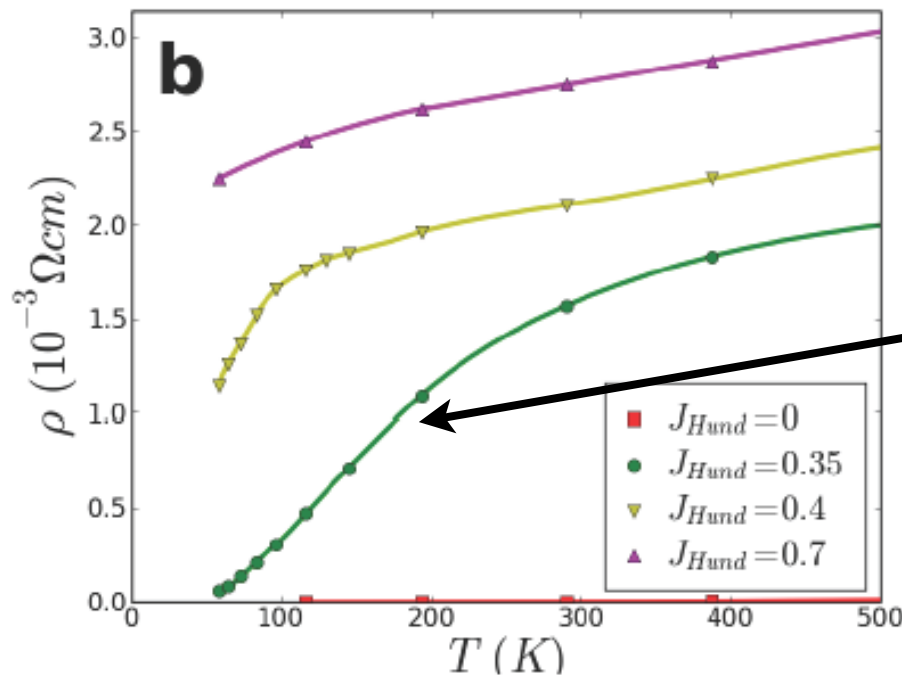
**Nature Materials 10, 932-935 (2011)**: *The word Hund's metals was coined*, Z.P. Yin, K.H. and G. Kotliar.



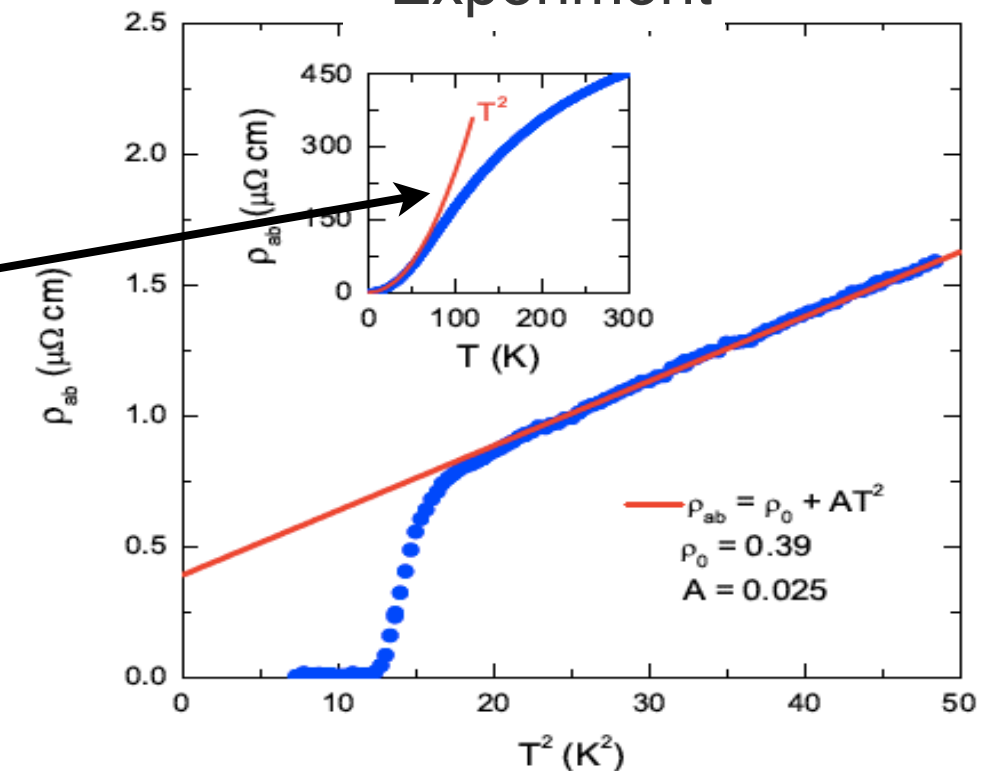
# Prediction of Coherence-Incoherence crossover confirmed



Theory prediction



Experiment



K. Haule, G. Kotliar, arXiv:0805.0722 (2008)  
New Journal of Physics, 11 025021 (2009).

PRL 111, 027002 (2013)

PHYSICAL REVIEW LETTERS

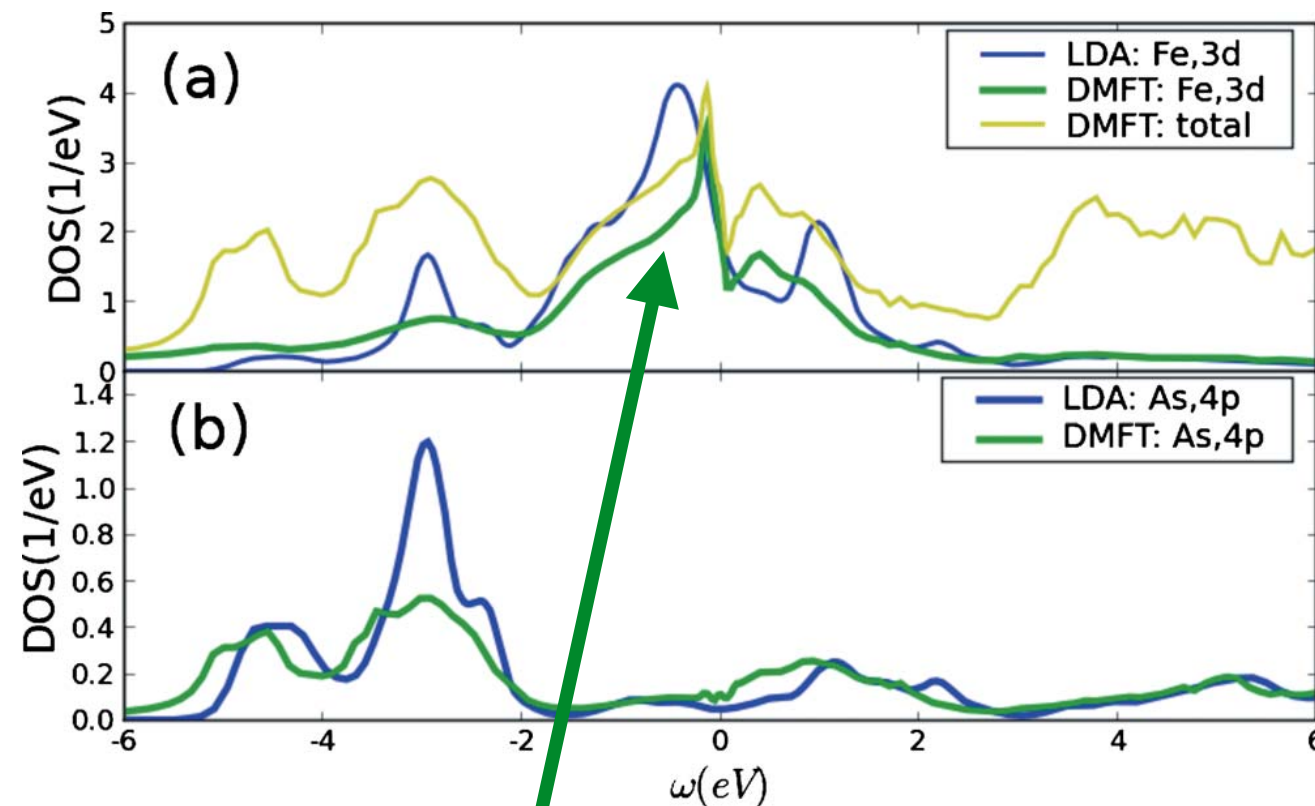
week ending  
12 JULY 2013

## Evidence of Strong Correlations and Coherence-Incoherence Crossover in the Iron Pnictide Superconductor $\text{KFe}_2\text{As}_2$

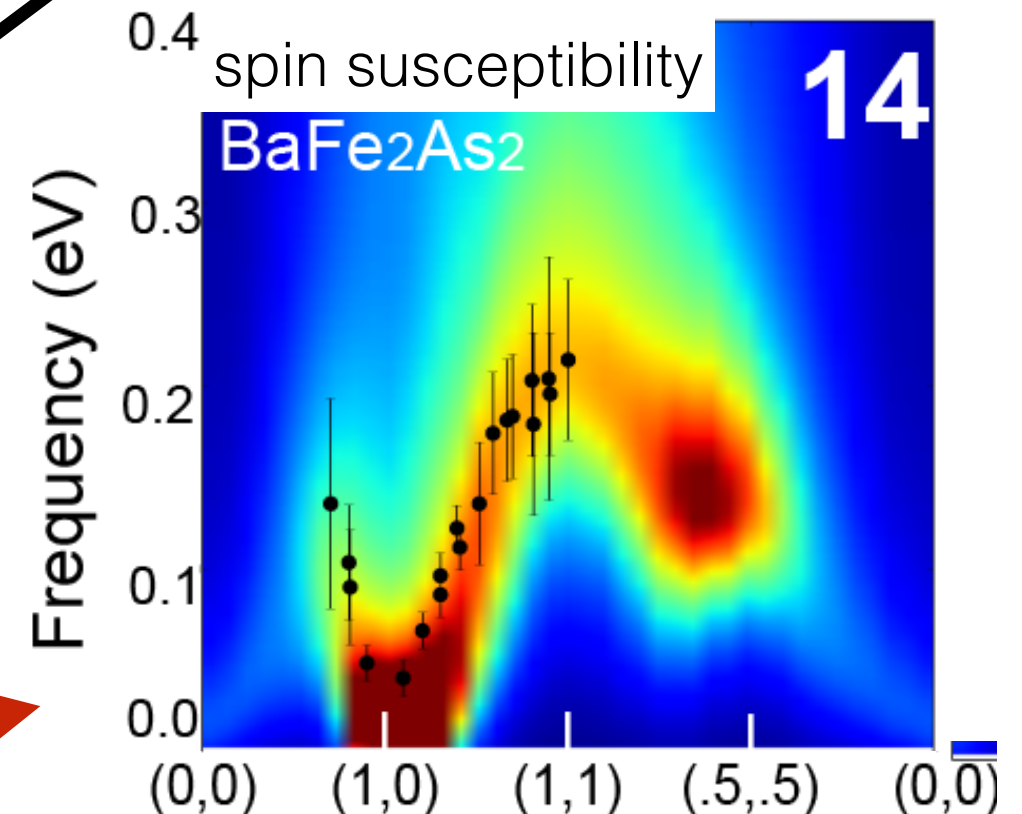
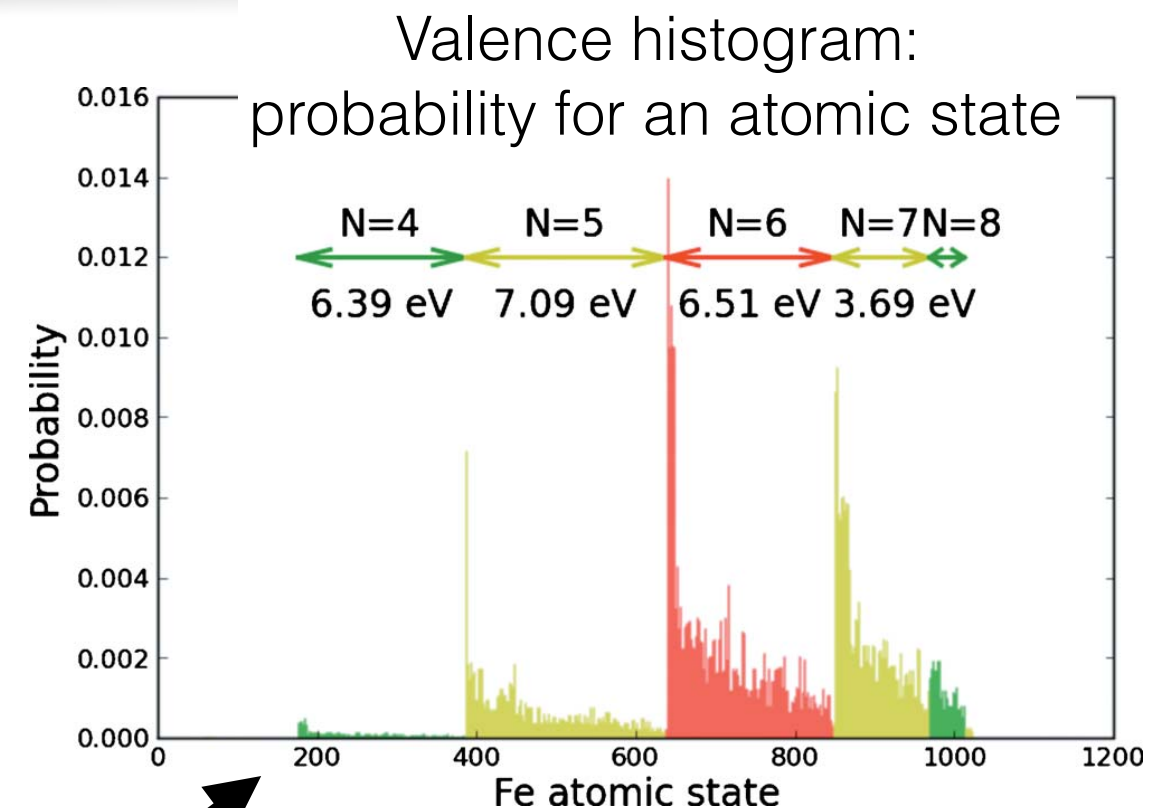
F. Hardy,<sup>1,\*</sup> A. E. Böhrer,<sup>1</sup> D. Aoki,<sup>2,3</sup> P. Burger,<sup>1</sup> T. Wolf,<sup>1</sup> P. Schweiss,<sup>1</sup> R. Heid,<sup>1</sup>  
P. Adelmann,<sup>1</sup> Y. X. Yao,<sup>4</sup> G. Kotliar,<sup>5</sup> J. Schmalian,<sup>6</sup> and C. Meingast<sup>1</sup>

# Hunds metals: correlations without Hubbard satellites, but quite localized magnetism

A. Kutepov, PRB **82**, 045105 2010.



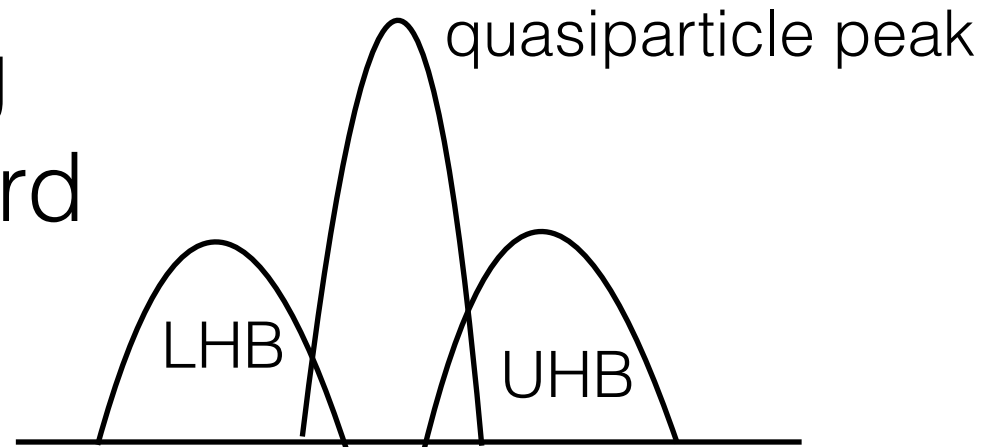
- Charge fluctuations are very fast — many atomic states are contributing to valence histogram
- Orbital fluctuations slow but faster than spin due coupling between spins and orbits.
- Spin fluctuations very slow due to low energy ferromagnetic spin-spin—coupling



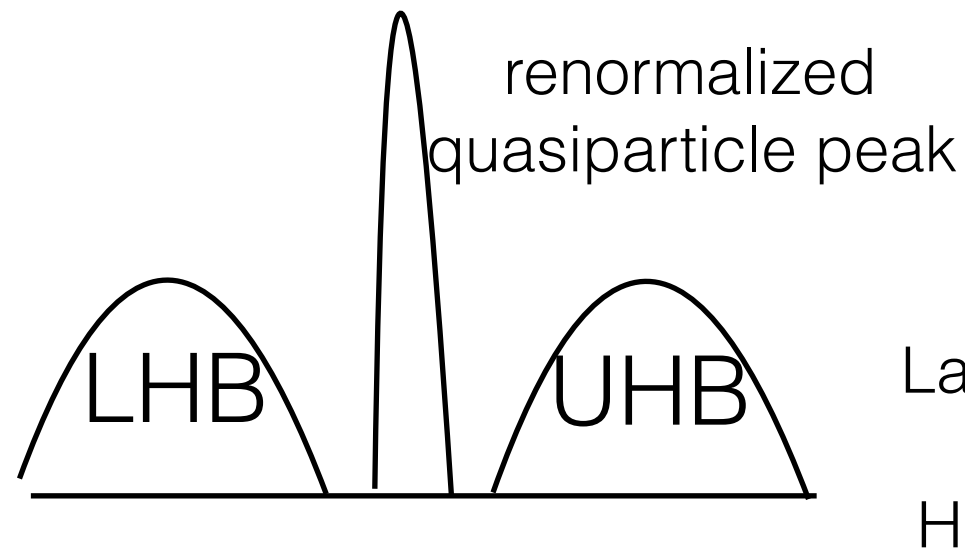
H. Park, K. Haule, G. Kotliar, PRL **107**, 137007 (2011)

# Qualitative idea

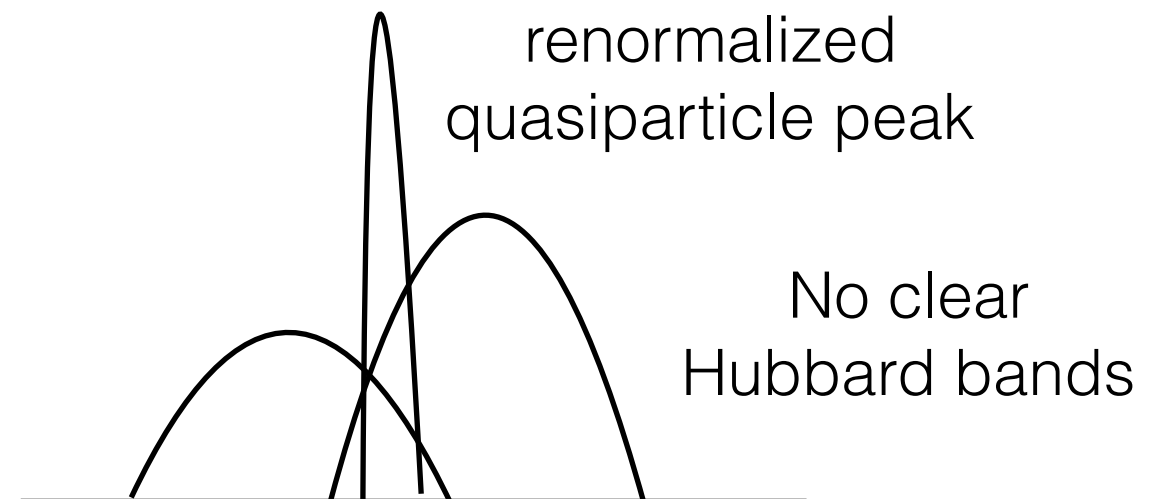
No Hund's coupling  
just moderate Hubbard



Mott physics gives:



With Hund's coupling  
in valence state  
away from half-filling  
or single occupancy.

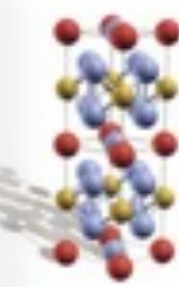


Schrieffer-Wolf mathematical derivation in

Z.Yin, KH, G. Kotliar, PRB 86, 195141 (2012).



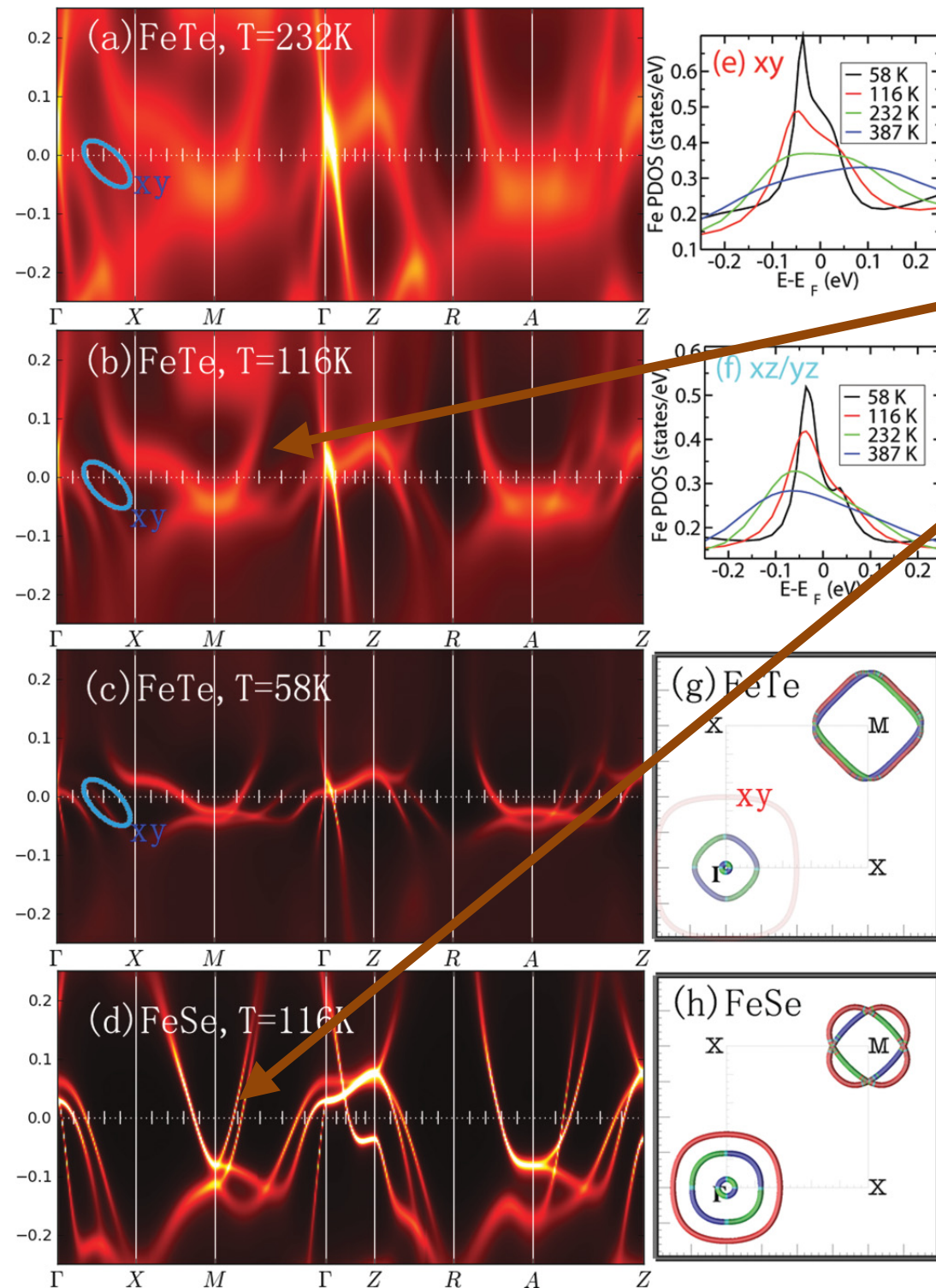
# Coherence incoherence crossover predicted for FeTe $\rightarrow$ FeSe, verified by ARPES



Z.P. Yin, K. Haule, G. Kotliar, PRB 86, 195141 (2012)

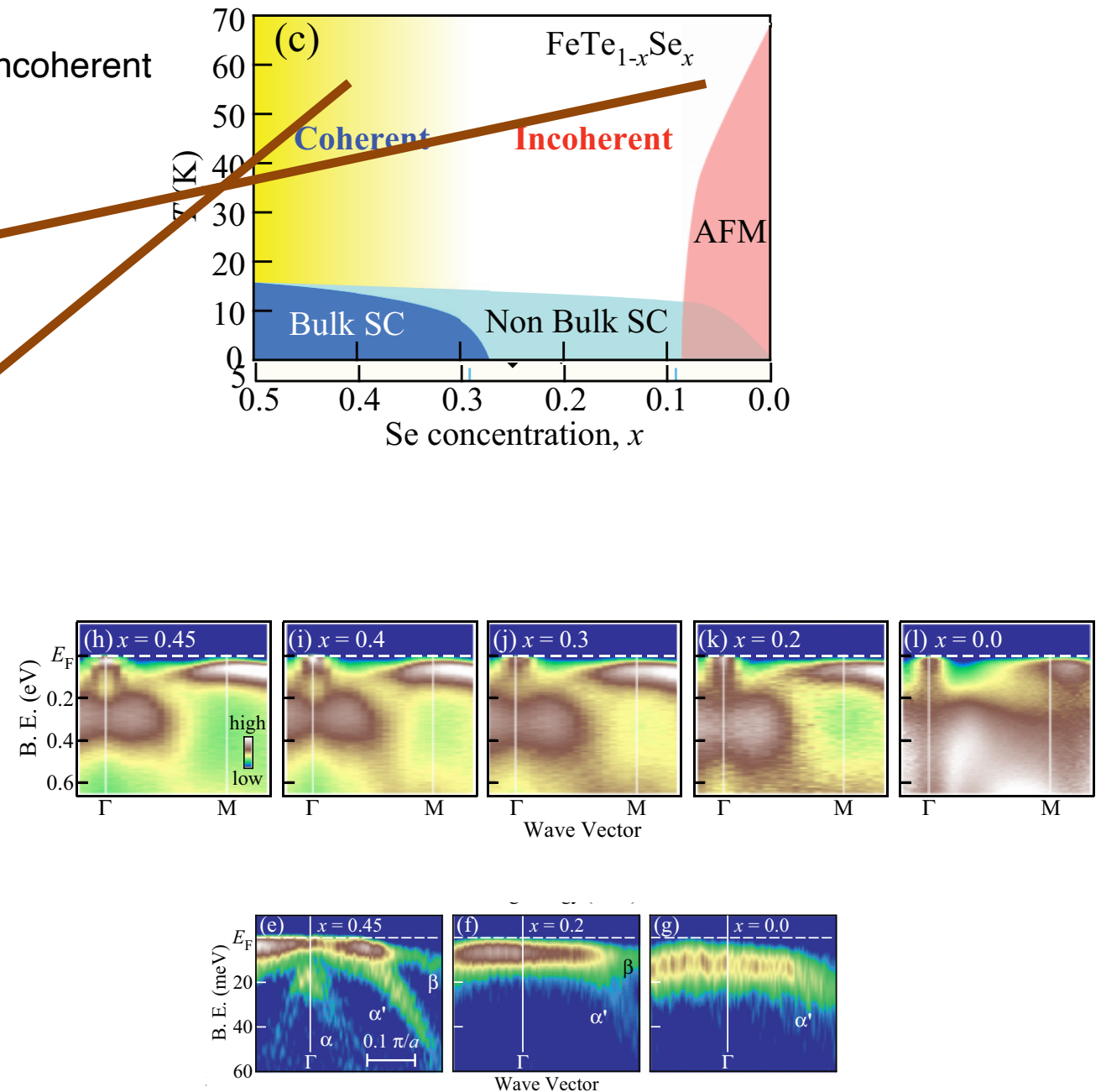
E. Ieki, & H. Ding et.al, PRB 89, 140506(R)(2014)

Theory prediction



ARPES measurements

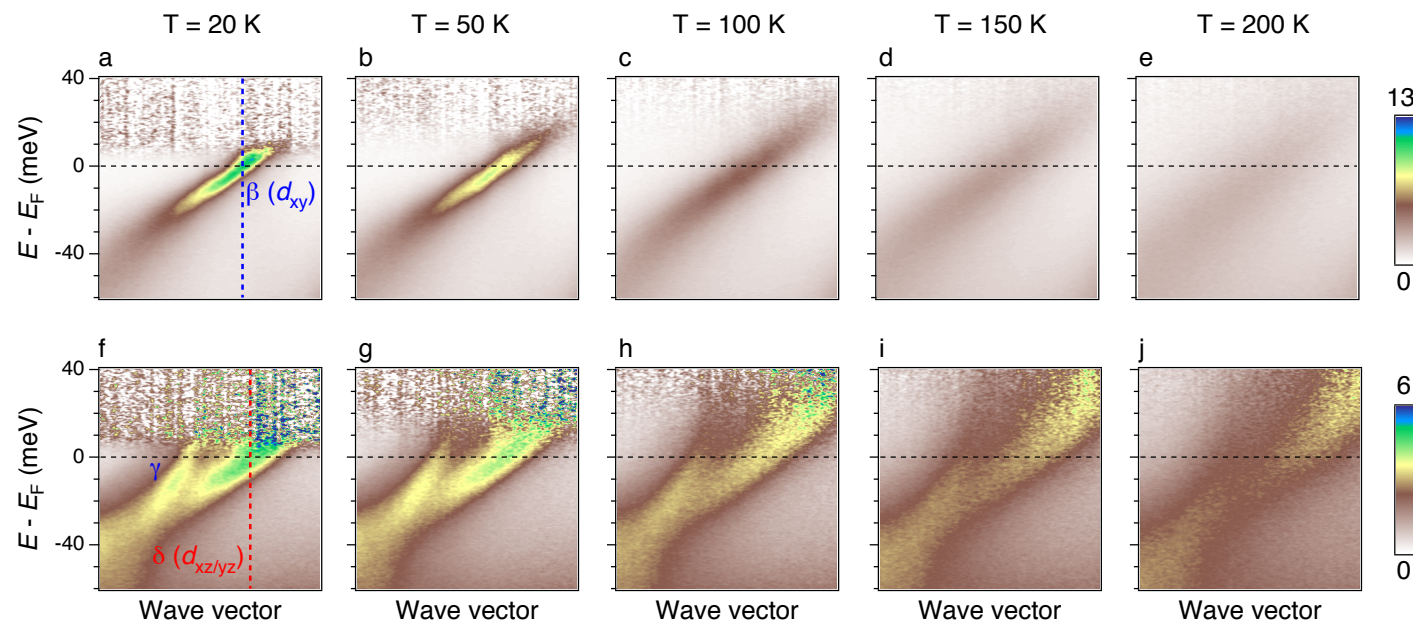
very incoherent



# BANDS IN FLUCTUATING MOMENT/HUNDS SYSTEMS

## Momentum resolved spectra of LiFeAs

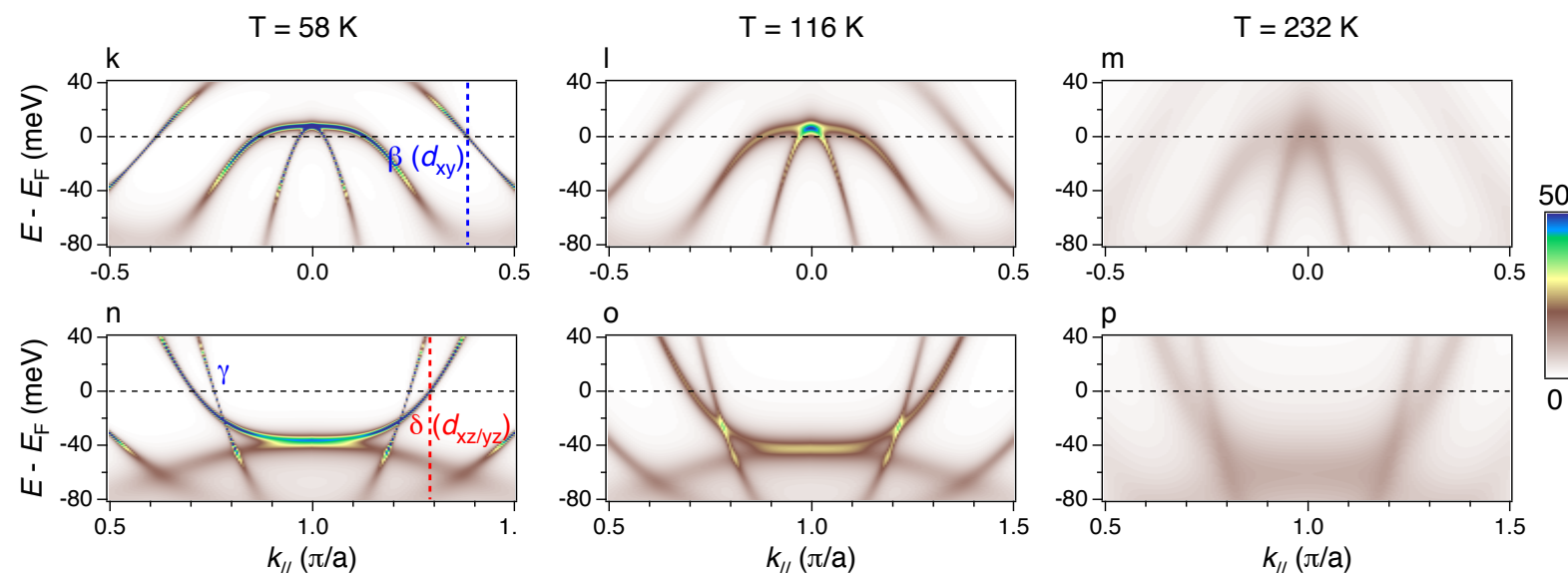
### ARPES



Bands are sharp only very near the fermi level and only at low temperature (Fermi liquid).

Above the coherence temperature, electrons are better described as fluctuating moments, rather than plane waves.

### DFT-DMFT for LiFeAs:



$m^*/m \sim 3$

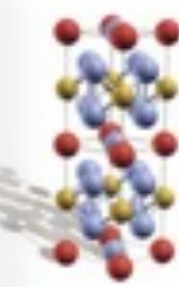
H Miao,...Haule, Kotliar, H. Ding, Phys. Rev. B 94, 201109(R) (2016).

DMFT predicted coherence incoherence crossover in Fe-SC (Hund's metals)

(Haule & Kotliar NJP 11, 25021 (2009) )



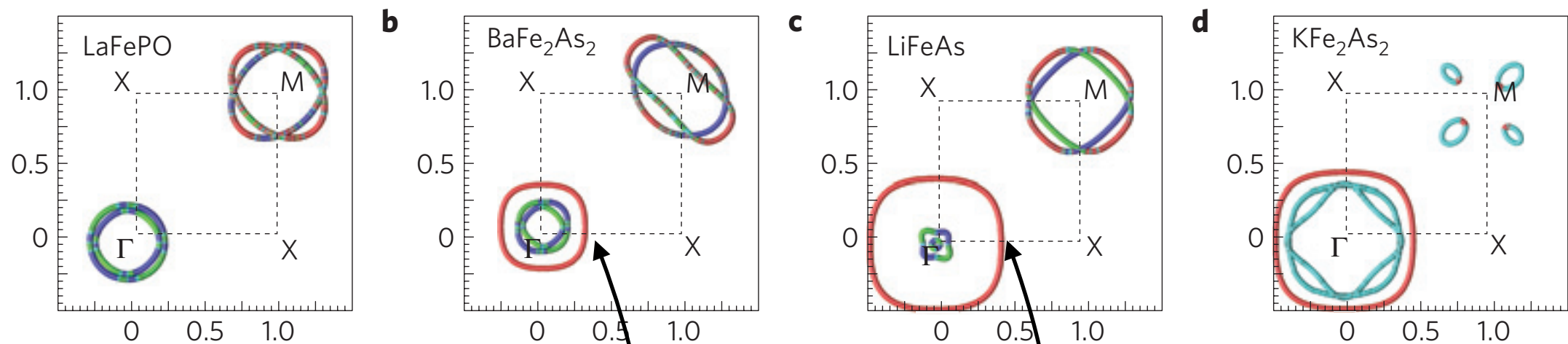
# Fermi surface can be strongly affected by correlations due to Hund's



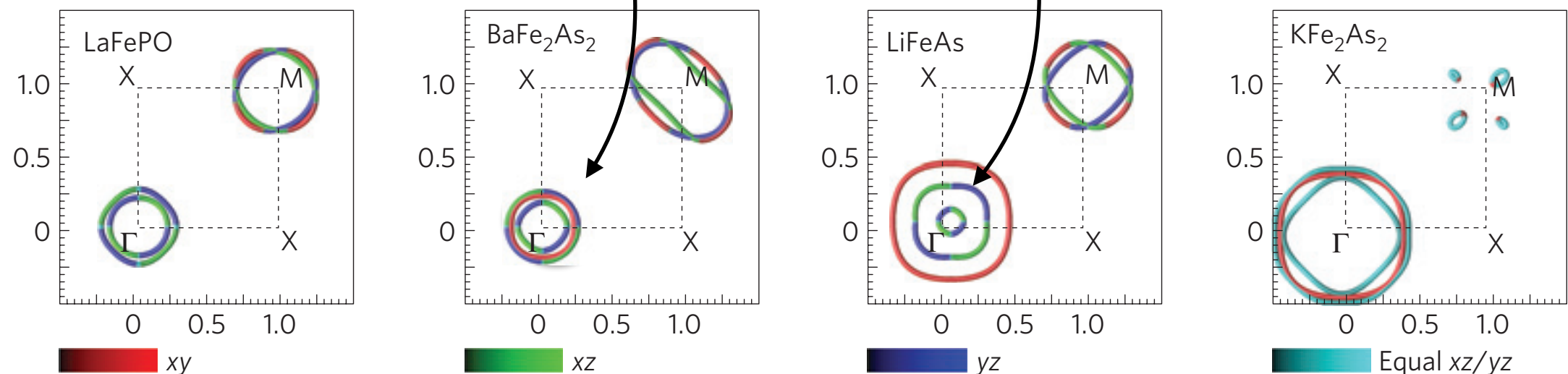
Z.P. Yin, K. Haule and G. Kotliar, *Nature Materials* **10**, 932 (2011).

- Orbital differentiation gives different mass and different shifts to different orbitals:
  - $xy$  is orbital more correlated, its volume can be different than in DFT
  - $xz/yz$  orbitals compensate for the volume change in  $yx$  orbital (Luttinger theorem)

DMFT Fermi surfaces:



DFT Fermi surfaces:



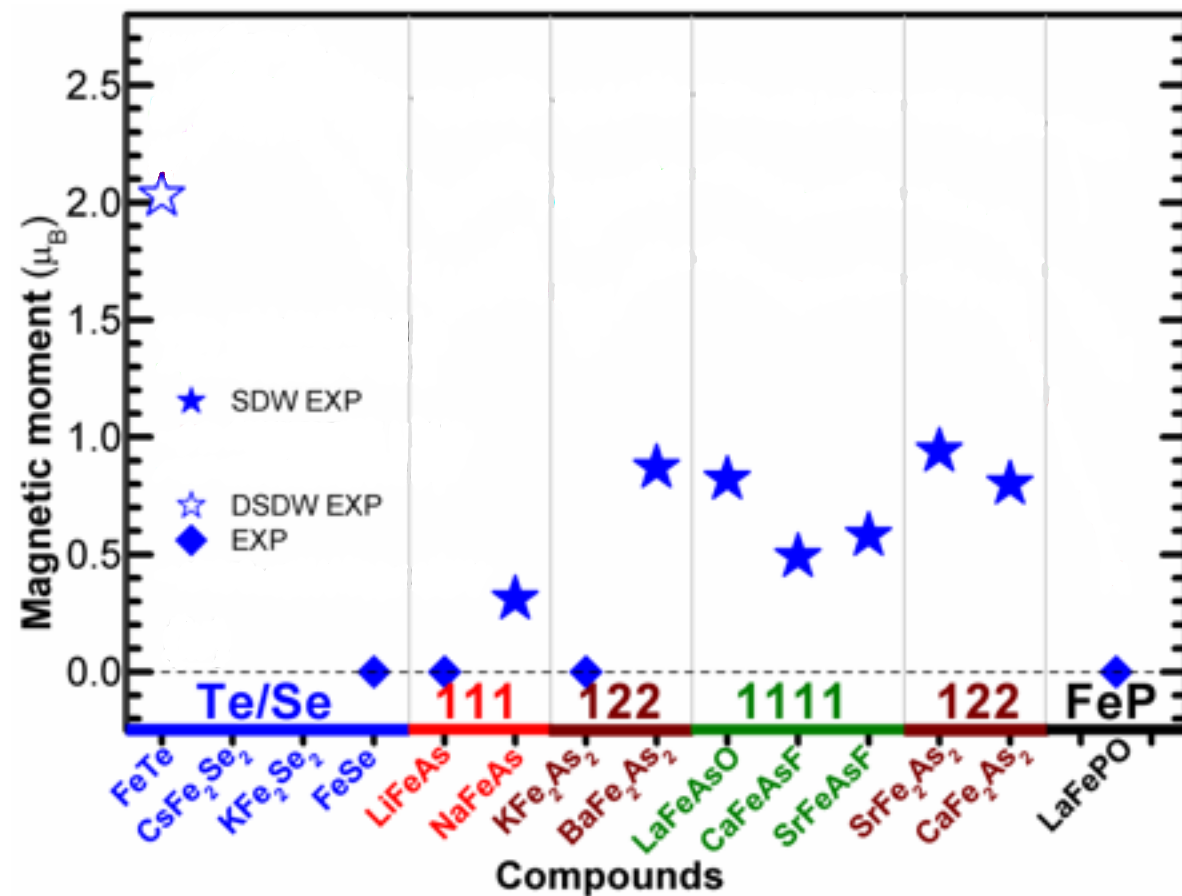


# Puzzle: Parent compounds of Fe SC have very different ordered magnetic moments

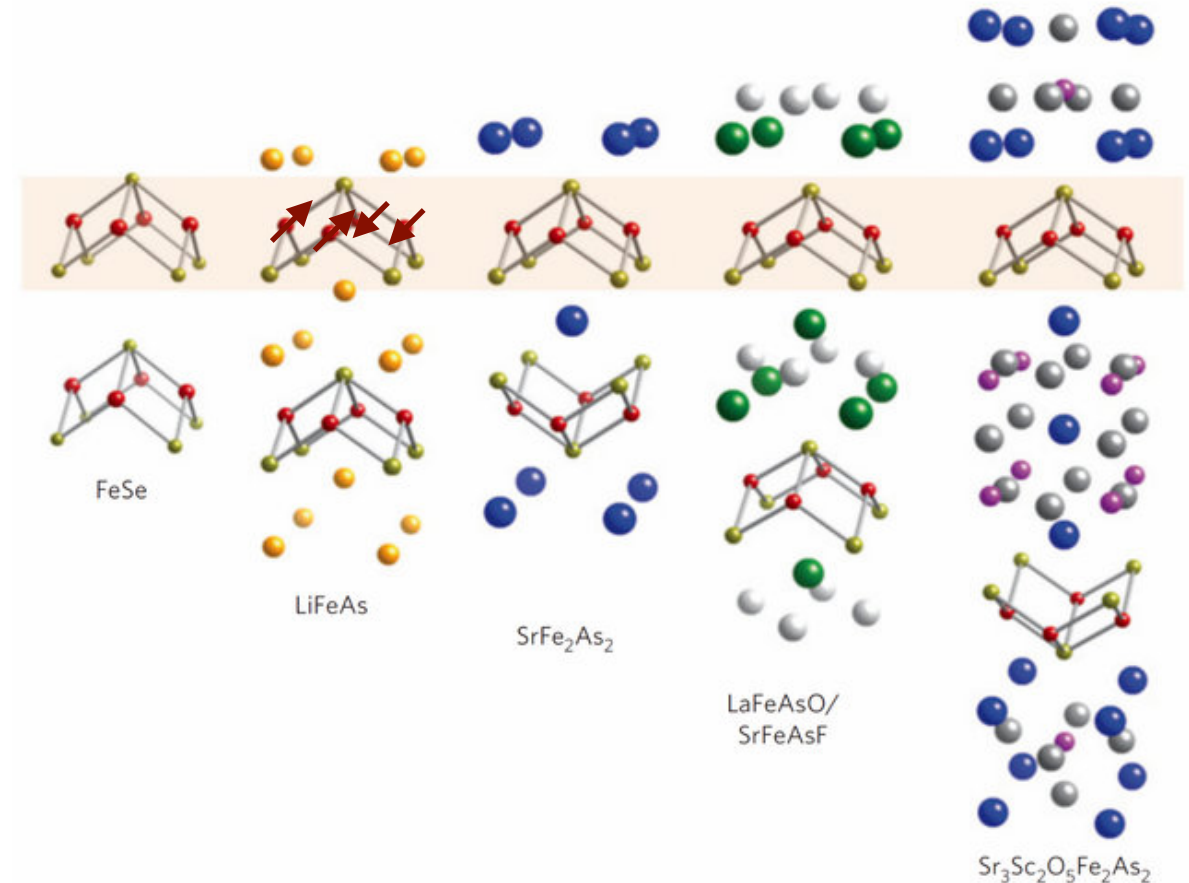
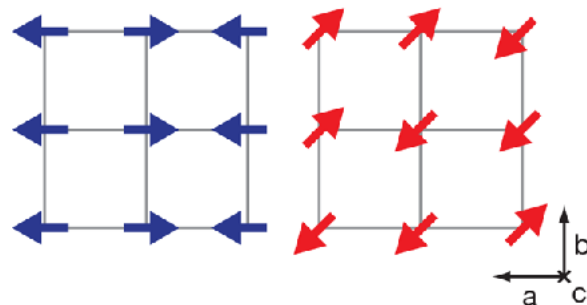
## Experiment: Ordered magnetic moments across many Fe superconductors

no understanding what determines the size of the ordered moments

many families of Fe superconductors share the same Fe-As tetrahedral unit



★ SDW ☆ DSDW

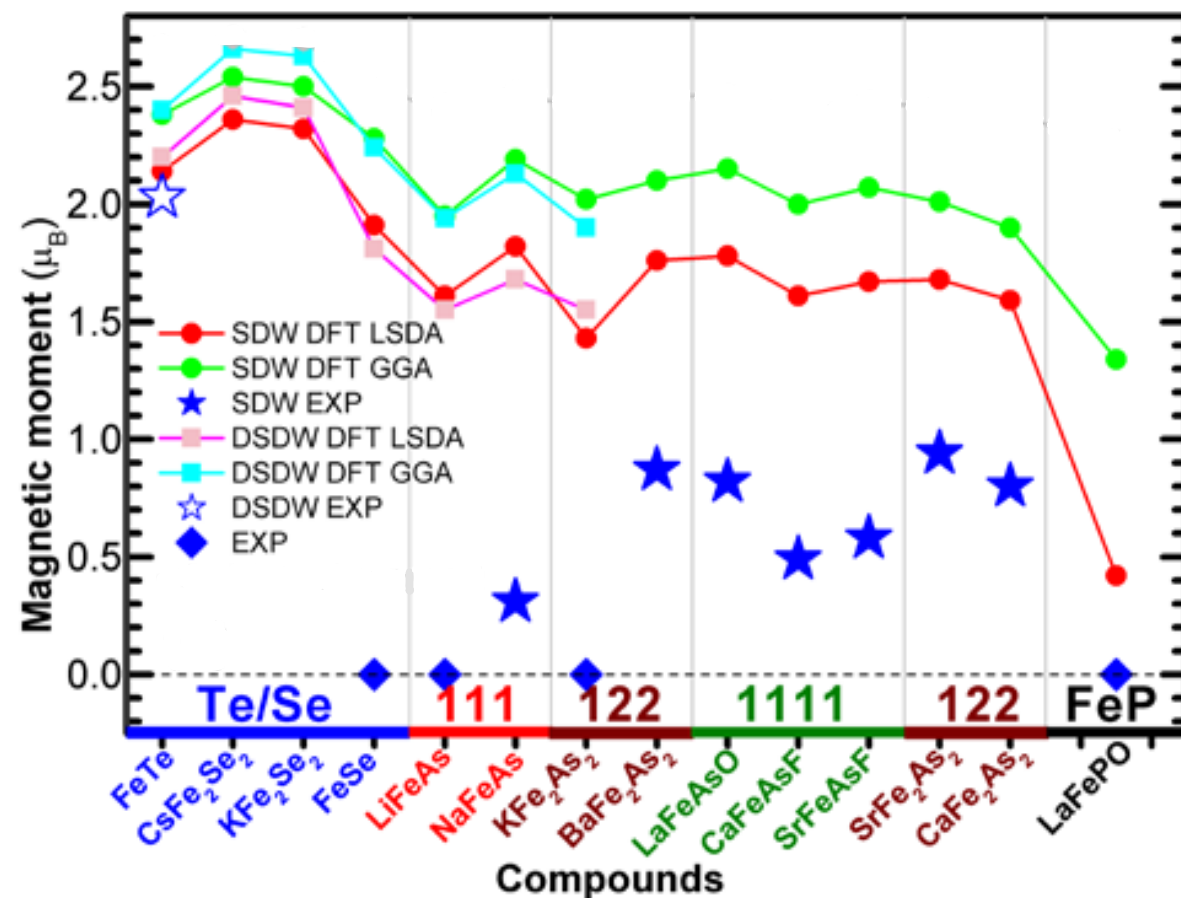


Contrast with cuprates, where the moments correspond to spin  $s=1/2$ .

What governs magnetism in Fe compounds?

# DFT does not predict well the moments

blue symbols : experiment  
green line: GGA, red line: LSDA



- DFT overestimates the ordered moment by roughly a factor of 2 (very uncommon)
- Many compounds appear magnetic in theory and non-magnetic in exp.

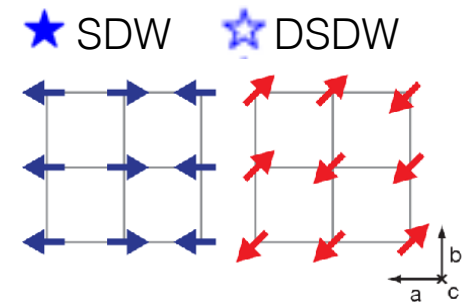
Very unusual because in

- bcc-Fe (weak itinerant magnet) LDA/GGA predicts moment very accurately  $\sim 2.1\mu_B$
- strong magnets like MnO LDA/GGA also very accurate

LDA/GGA accurate when very little fluctuating moment left in the ordered state. The entire magnetic moment has to statically order.

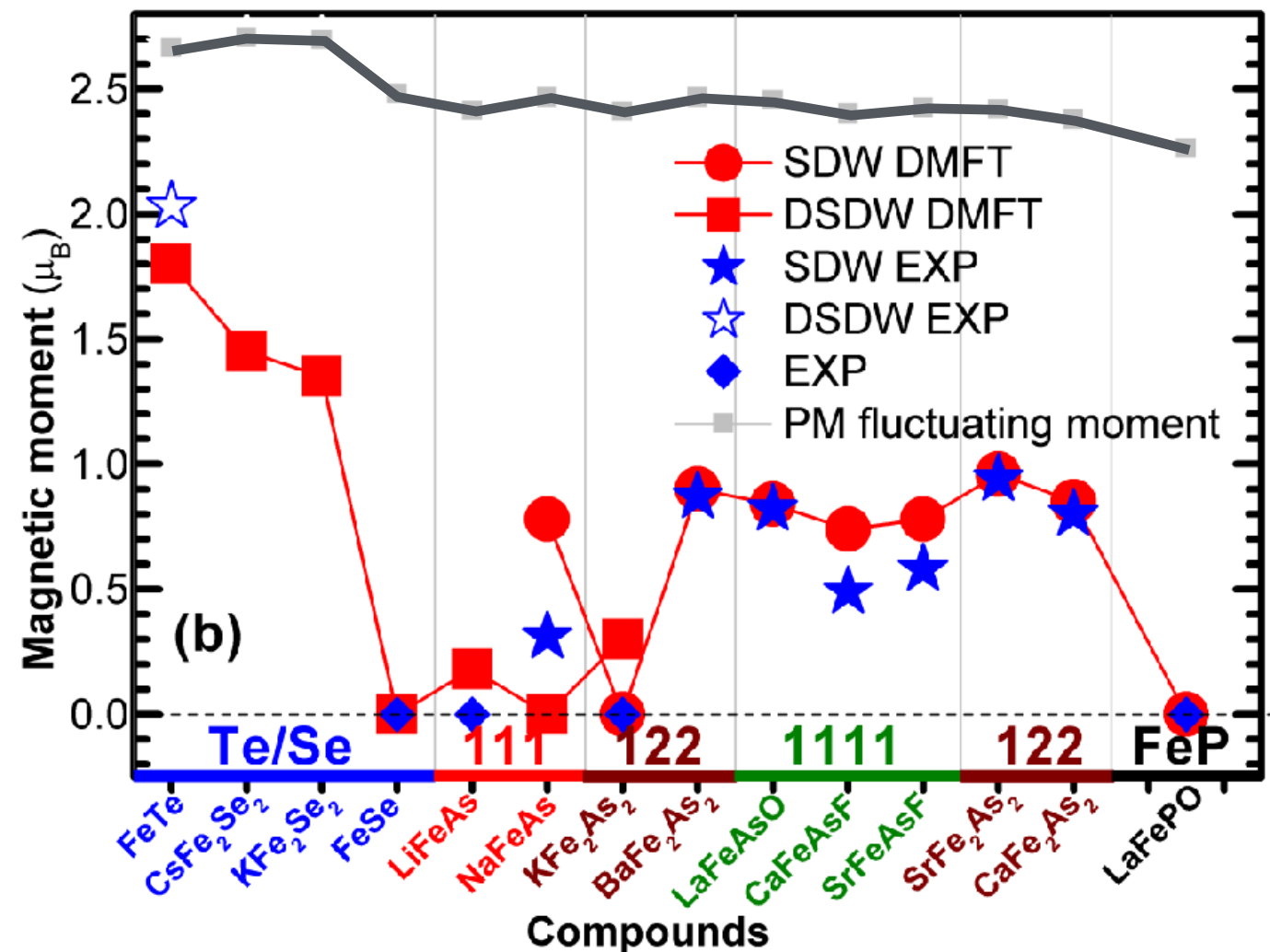
# DMFT predicted magnetic moments in Fe-superconductors

blue symbols : experiment  
red symbols : DFT-eDMFT



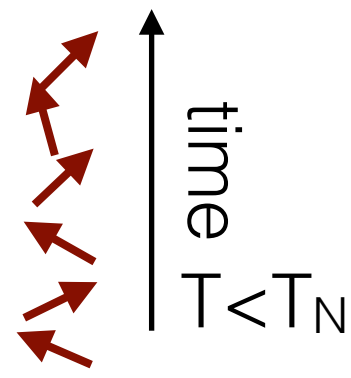
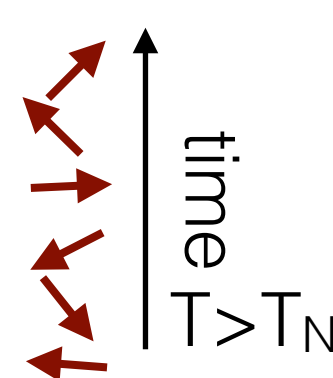
Can distinguish between the fluctuating moment  $\langle S^2 \rangle$ , and the ordered moment  $\langle S \rangle^2$ . In para  $\langle S \rangle = 0$  on each site.

Substantial fluctuating moment remains in the ordered state



Yin, Haule & Kotliar, Nature Physics 7, 294-297 (2011).

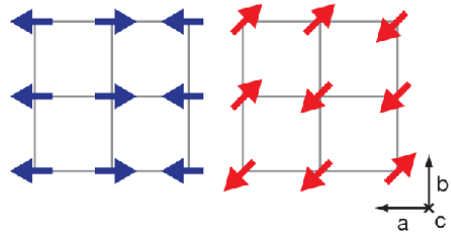
Prediction: large fluctuating moment ( $2-2.5\mu_B$ ) in para state  
Only a part of the fluctuating moments orders.





# DMFT predicted moments much closer to experiment

★ SDW ☆ DSDW



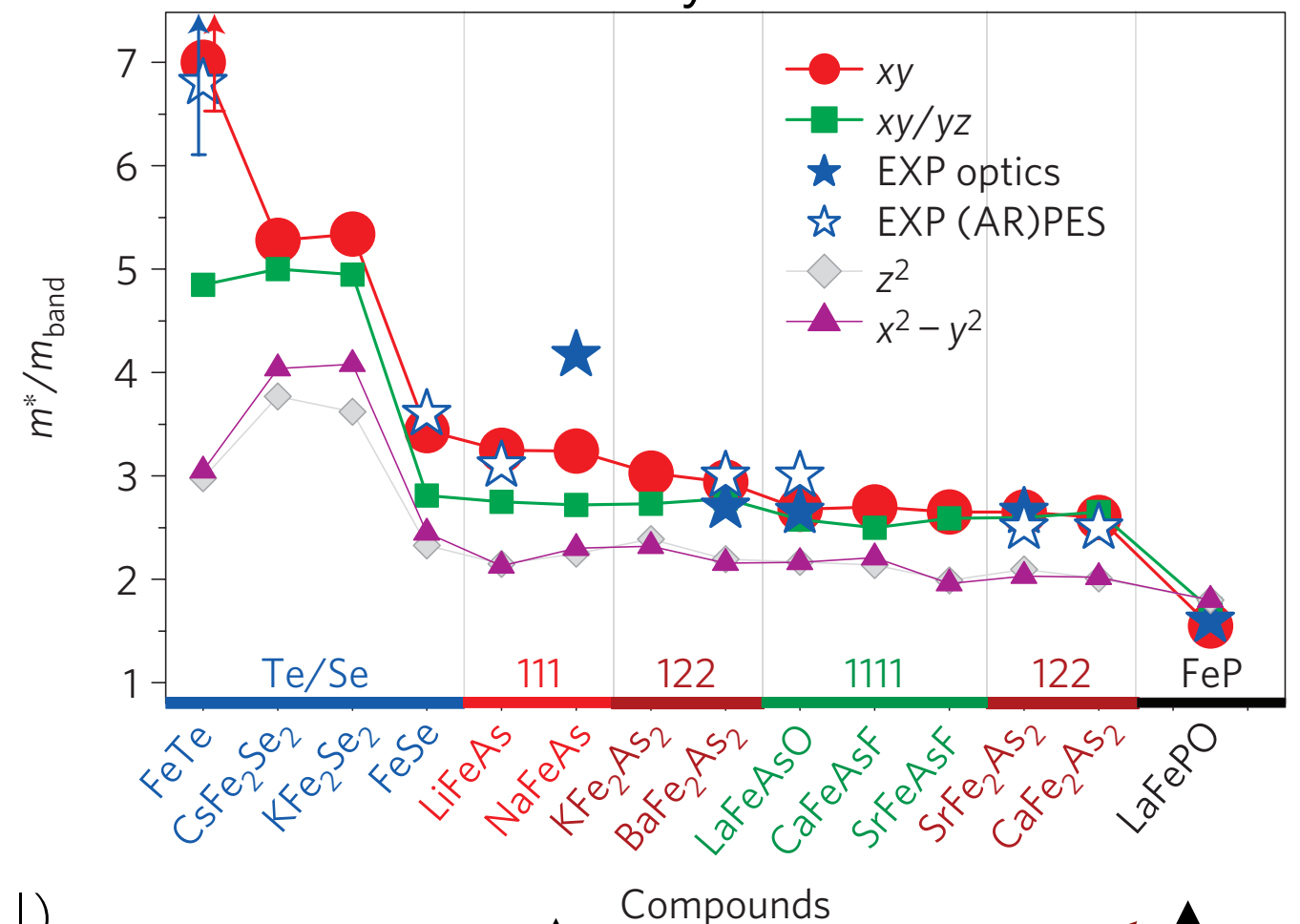
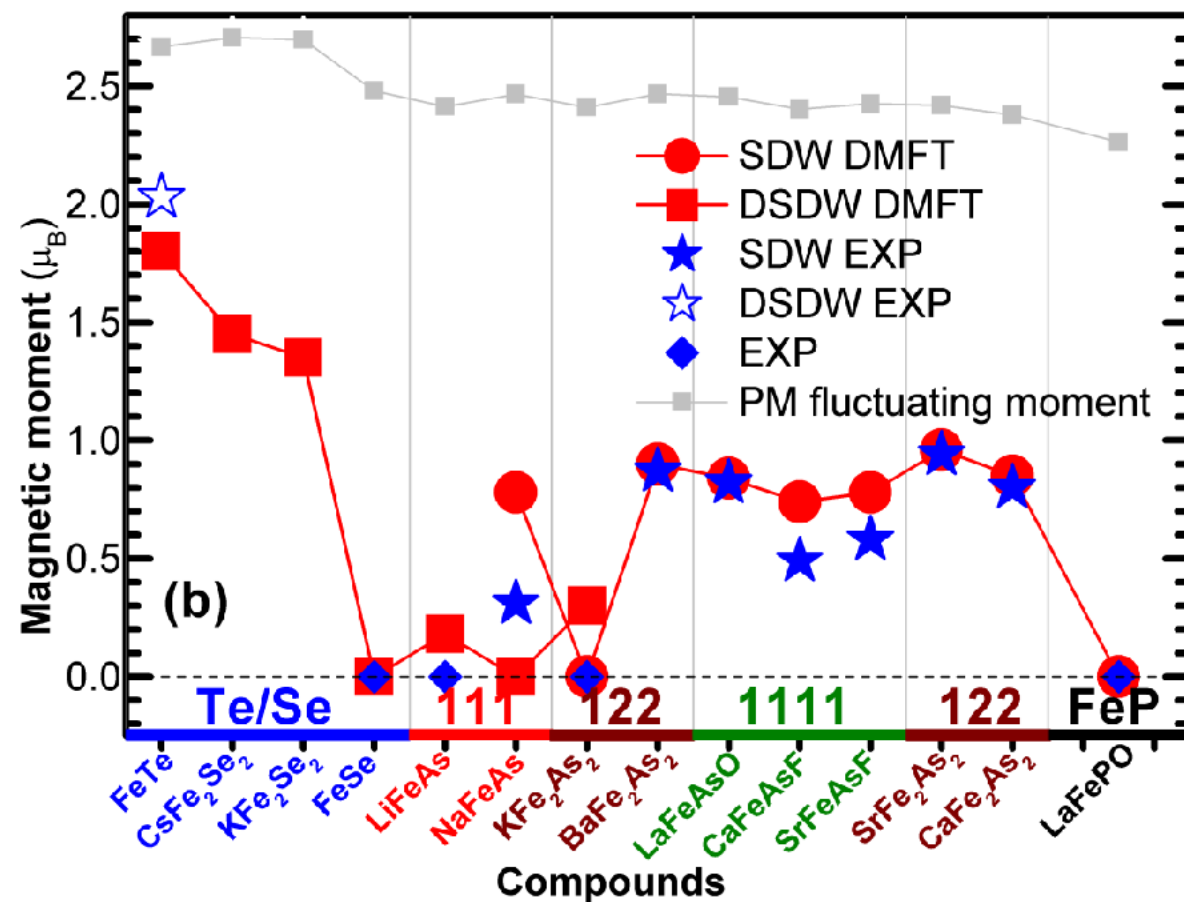
blue symbols : experiment

red symbols : DFT-eDMFT

several competing effects:

- correlation strength ( $m^*$ ) (left versus right)
- competing ordered states  $(1,0)$ ,  $(0,1)$  with  $(1/2, 1/2)$
- substantial fluctuating moment remains in the ordered state

electron mass beyond band-structure

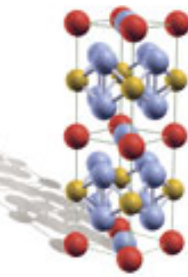


Yin, Haule & Kotliar, Nature Physics 7, 294-297 (2011).

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Only a part of the fluctuating moments orders.*

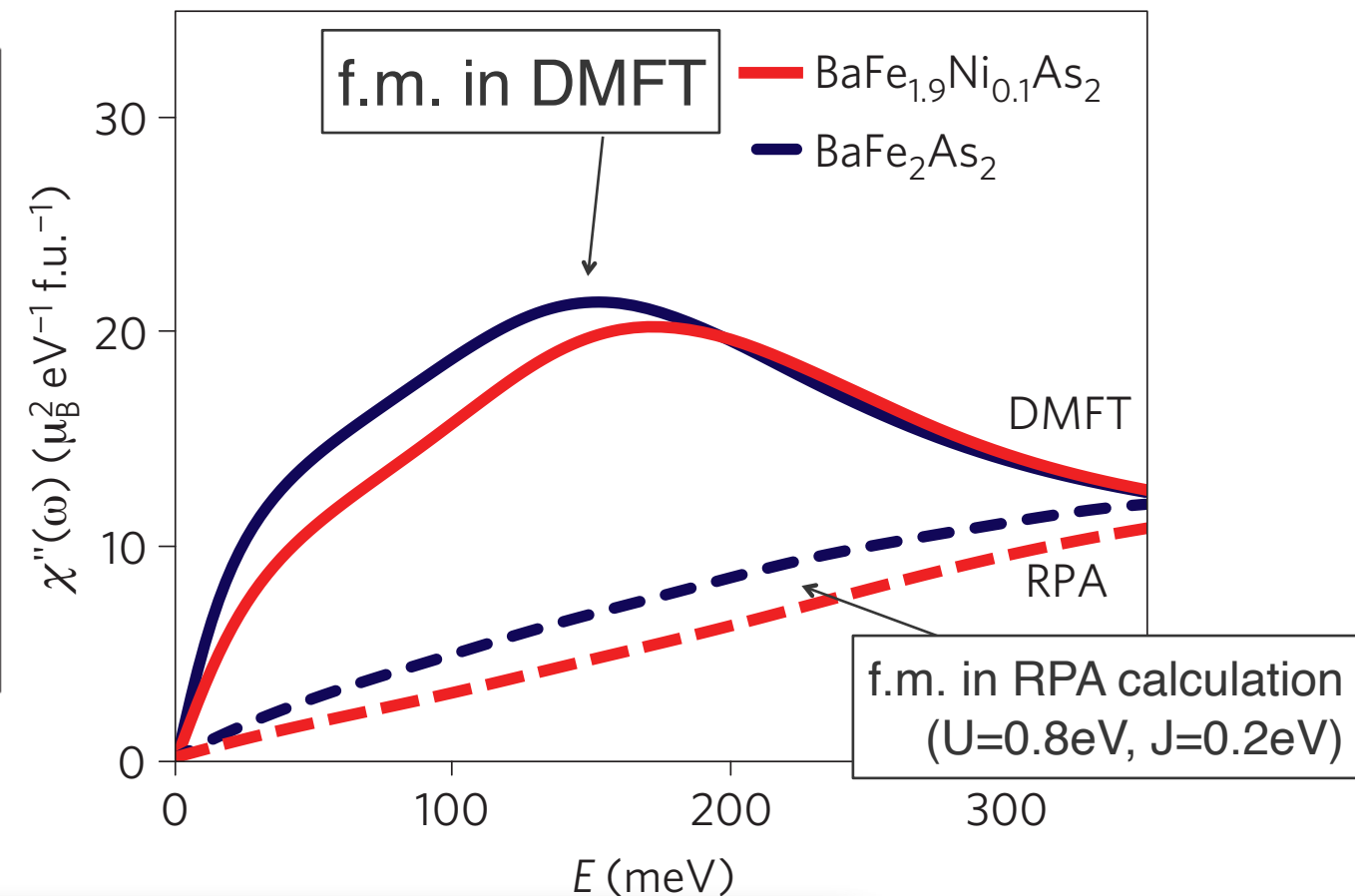
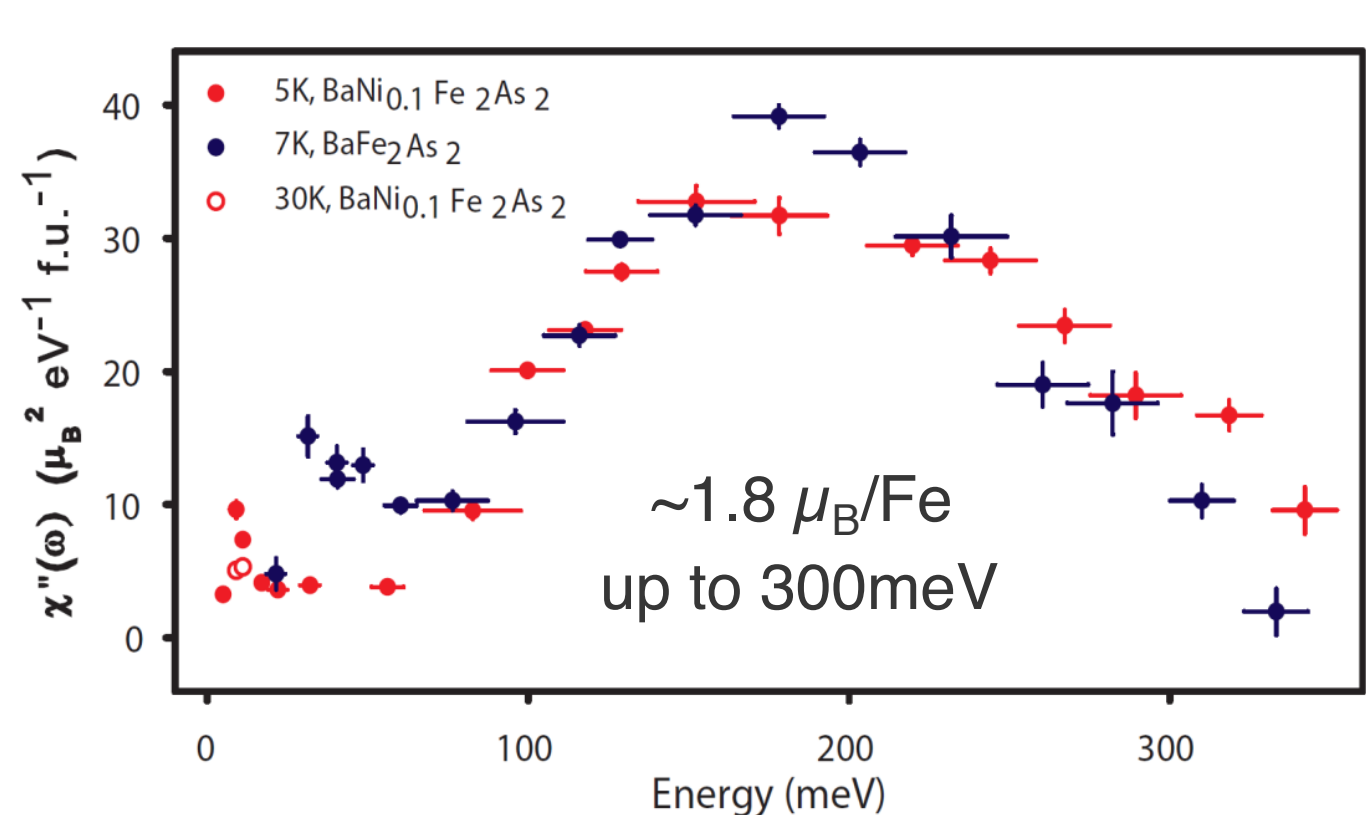


# Large fluctuating moment confirmed



Fluctuating moment measured by neutrons - integral of local susceptibility:

$$\langle \mu^2 \rangle = \int \frac{d\omega}{\pi} n(\omega) \chi''(\omega)$$



Large fluctuating moment can not be explained by a purely itinerant model - property of Hund's metals!

The DMFT account for a dual nature of electrons in Hund's metals: itinerant and localized nature.

# Electron-phonon coupling in Hund's metals

In Fe-SC electron-phonons coupling is too weak to explain high  $T_c$ 's.

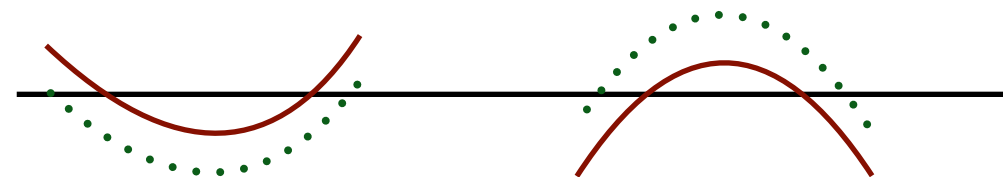
K. Haule, J. H. Shim, G. Kotliar, *Phys. Rev. Lett.* 100, 226402 (2008)

L. Boeri, O.V. Dolgov, and A. A. Golubov, *PRL* 101, 026403 (2008).

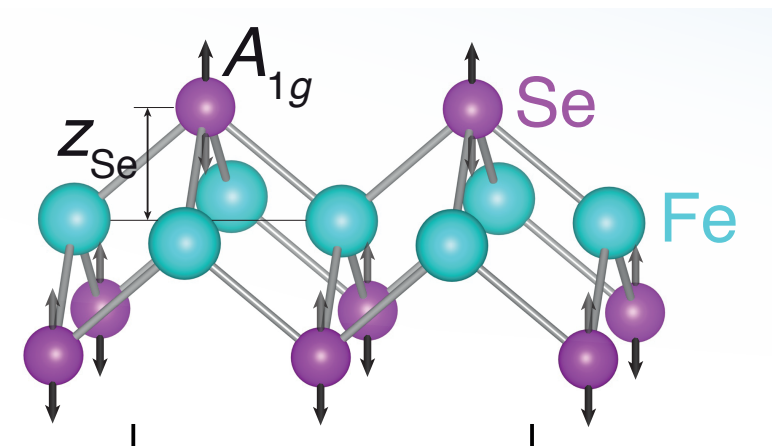
Nevertheless, phonons can boost  $T_c$  when cooperating with unconventional spin-mediated (correlation-driven) superconducting mechanisms.

The phonon enhancement of  $T_c$  is determined by electron-phonon coupling:

Change of the band structure due to displacement of the ions in the direction of a phonon mode.

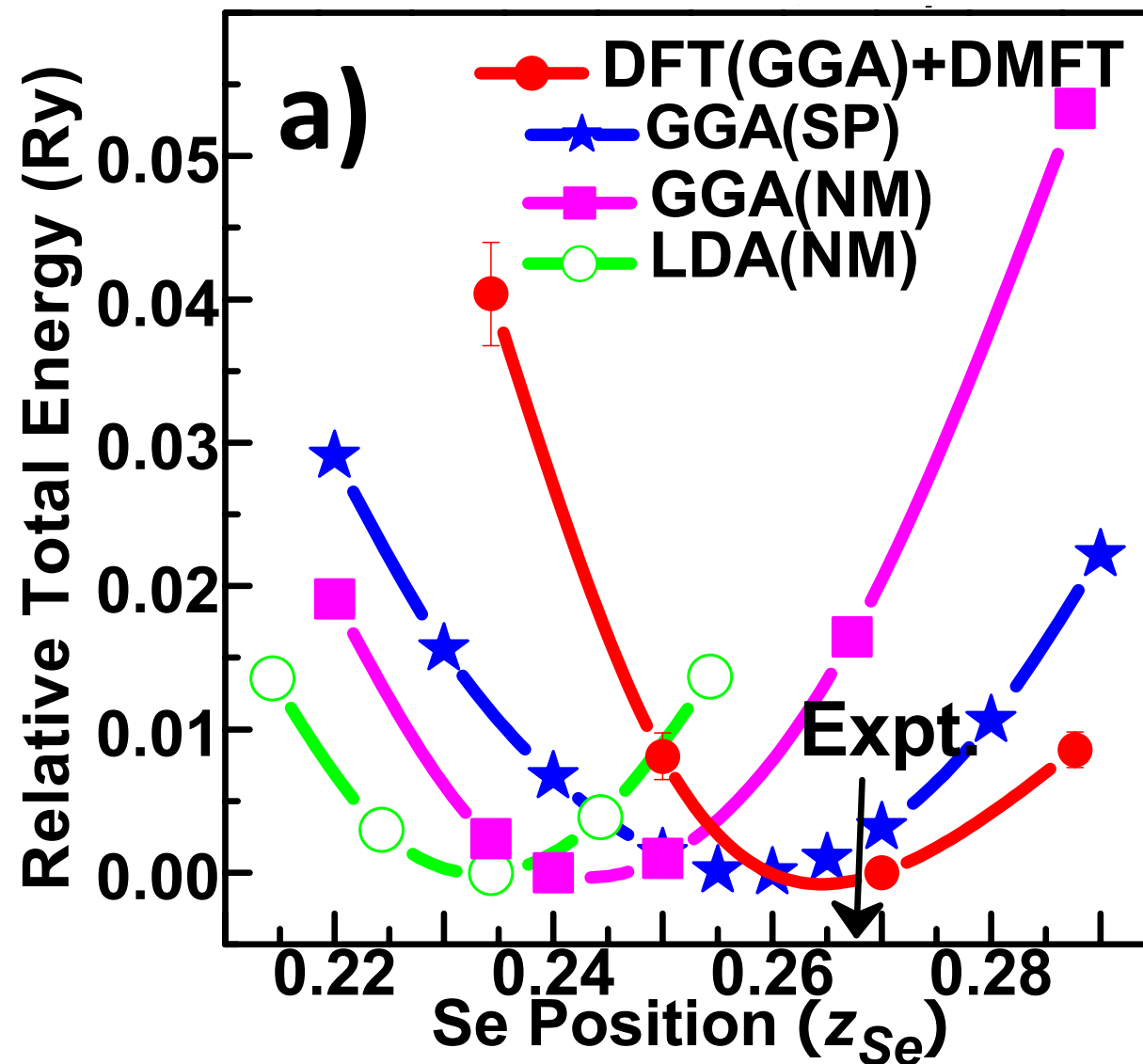


— before displacement  
..... after displacement



# Ion positions in fluctuating moment systems

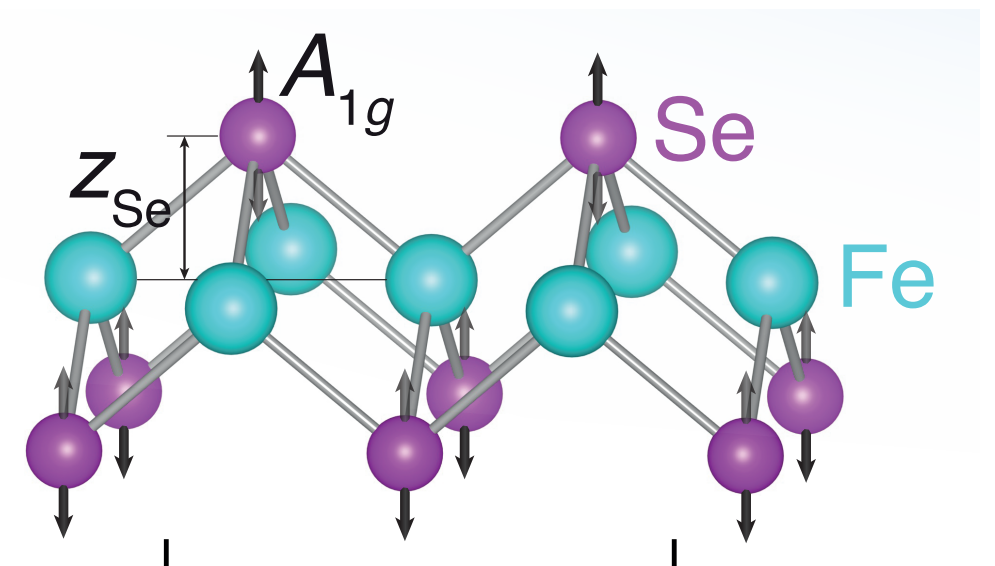
The vertical distance between Fe and Se atoms by different theories



DFT+DMFT Prediction: **Subhasish Mandal**, Cohen, & Haule, PRB **89**, 220502(R) (2014).

Correlation on Fe ion push away other ions (Se).

electrons in correlated orbitals need more space

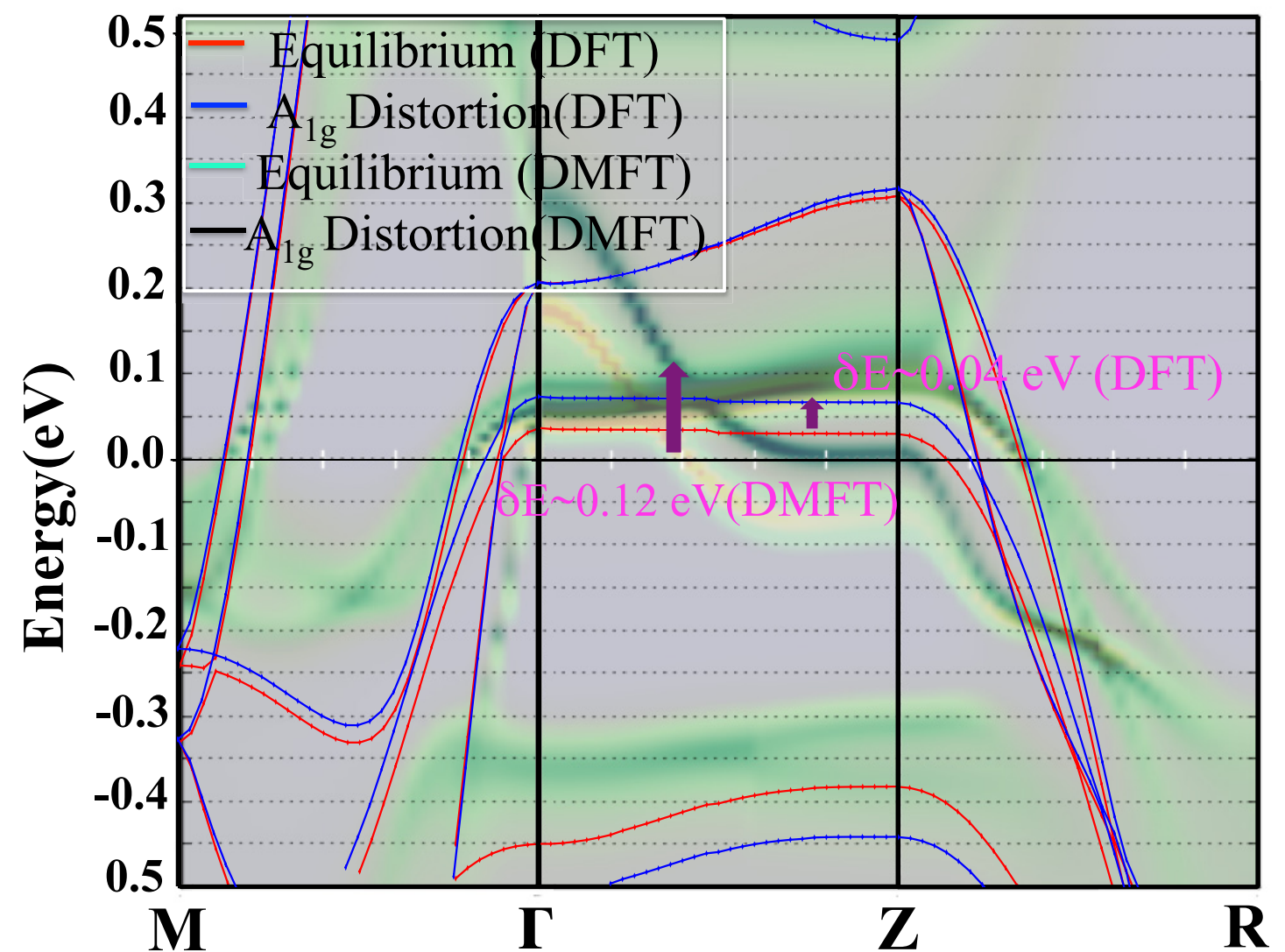
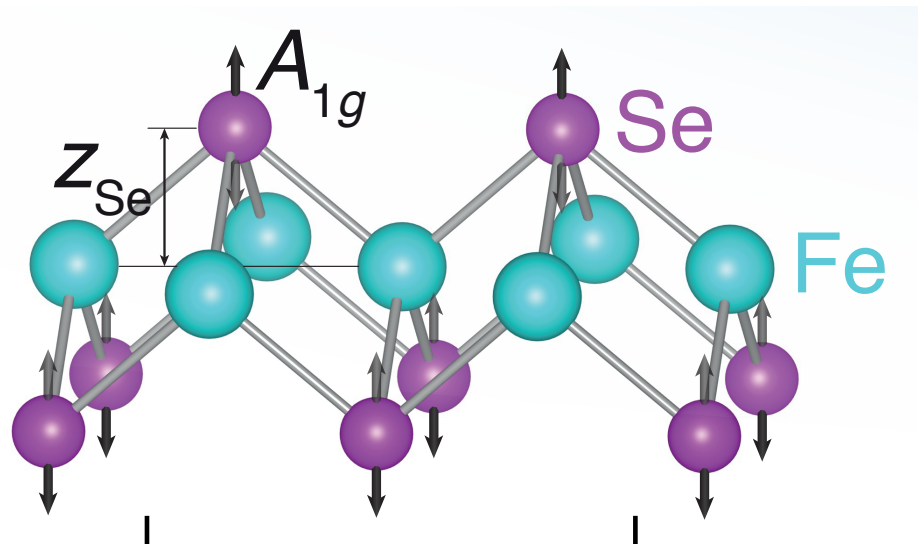




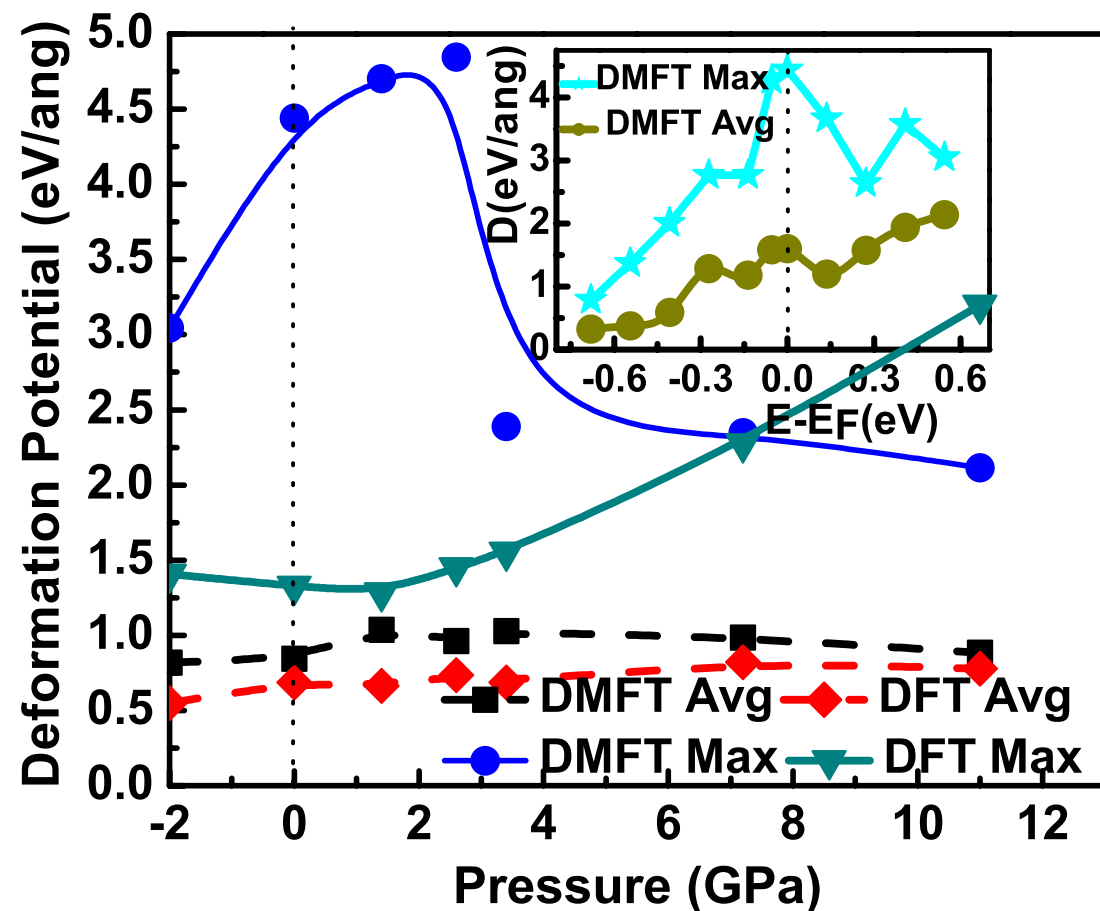
# Electron-phonon coupling in fluctuating moment systems

*The DMFT electronic states are much more sensitive to Se displacement than predicted by DFT.*

*Can be understood as a feedback effect of correlations on electrons through structure. Correlations push Se away from Fe, which reduces hybridization strength of Fe with Se, which increases correlations, and push Se further away (Kondo coupling exponentially sensitive to hybridization)*



# Electron-phonon coupling in FeSe



- *Pressure dependence tracks  $T_c$  of FeSe*
- *Phonons boost SC in FeSe*
- *eDMFT suggests up to one order of magnitude stronger e-ph coupling than DFT (A1g mode)*

DFT+eDMFT Prediction:

Mandal, Cohen, & Haule, PRB **89**, 220502(R) (2014).

position of Se

A1g frequency

e-ph coupling

$z_{\text{Se}}(\text{r.l.u.})$

$f_{\text{A1g}}(\text{THz})$

$\Delta E_{\text{xz/yz}}/\Delta z_{\text{Se}}(\text{meV/pm})$

DFT

DFT+eDMFT  
(2014)

Experiment

0.2456

0.27

0.2653

$6.5 \pm 0.3$

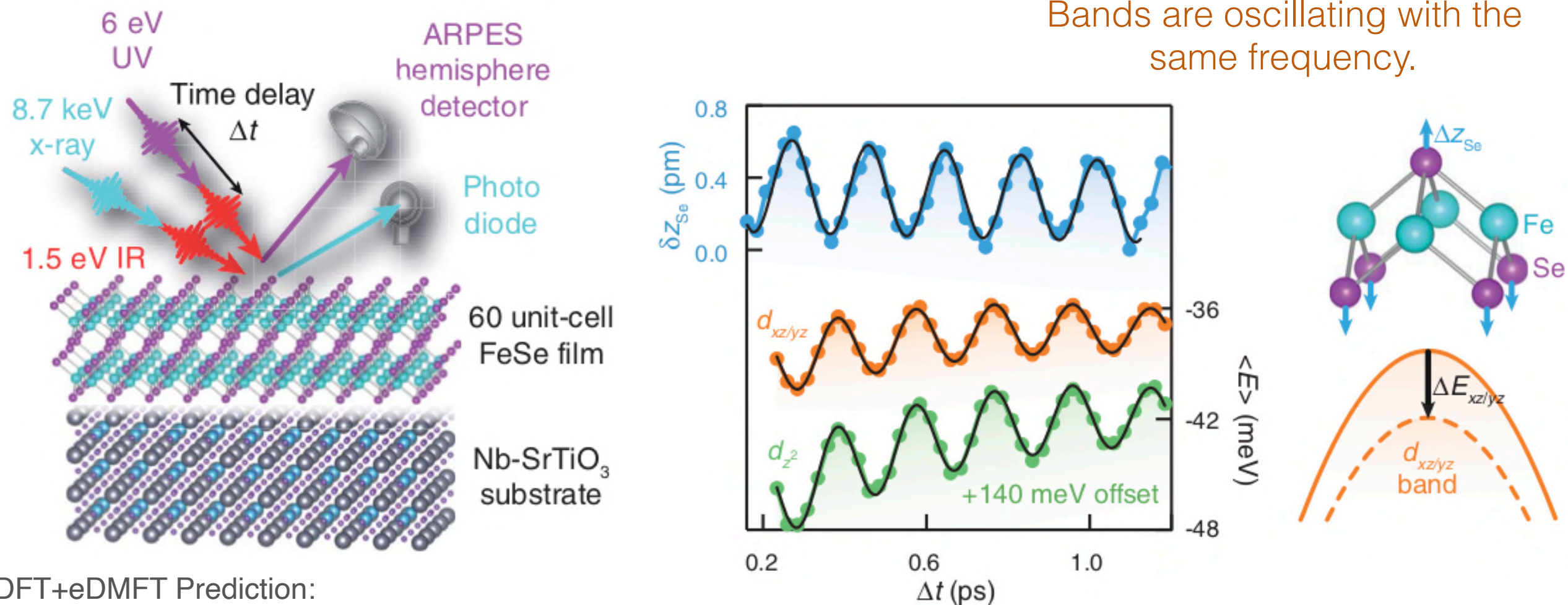
5.7

$-1.6 \pm 0.2$

-10.3 to -13.4

# Stanford pioneering exp: direct measurement of e-ph c.

send IR pump pulse  
to excite A1g phonon
 measure time resolved X-ray  
measure time resolved ARPES
 Bragg peak position is oscillating  
with A1g phonon frequency
 Bands are oscillating with the  
same frequency.



DFT+eDMFT Prediction:  
Mandal, Cohen, & Haule, PRB **89**, 220502(R) (2014).  
Experiment:  
S. Gerber, ...,Z.X. Shen et.al., Science 357, 71 (2017).

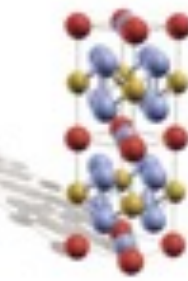
position of Se  
A1g frequency  
e-ph coupling

$z_{\text{Se}}(\text{r.l.u})$	DFT	DFT+eDMFT (2014)	Experiment (2017)
$f_{\text{A1g}}(\text{THz})$	0.2456	0.27	0.2653
$\Delta E_{\text{xz/yz}}/\Delta z_{\text{Se}}(\text{meV/pm})$	$6.5\pm0.3$	5.7	$5.30\pm0.05$
	$-1.6\pm0.2$	-10.3 to -13.4	$-13.0\pm2.5$

Direct confirmation of DFT+eDMFT prediction

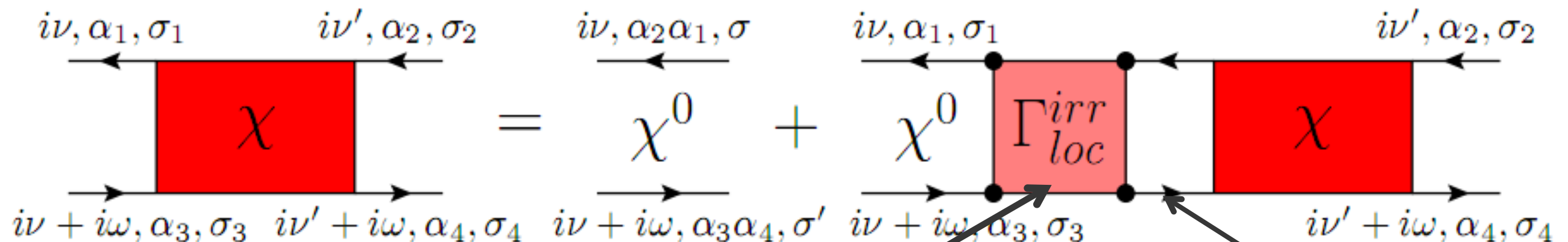


# Two particle response of Hund's metals: Dynamical structure factor



$$S(\mathbf{q}, \omega) = \frac{\chi''(\mathbf{q}, \omega)}{1 - e^{-\hbar\omega/k_B T}}$$

Computed from the two particle response functions  
using the fact that the irreducible vertex is local.



The two particle irreducible  
vertex function of the impurity

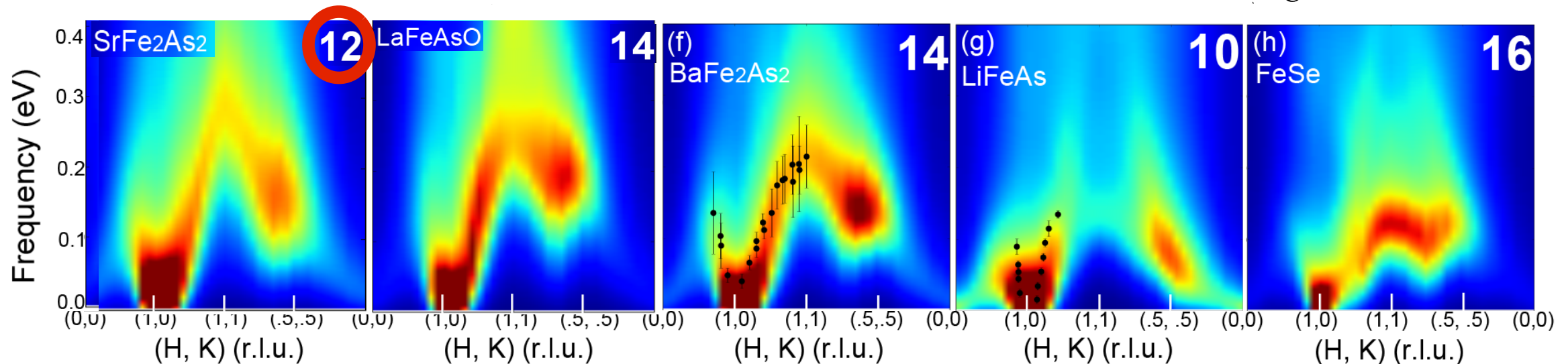
The LDA+DMFT  
self-consistent lattice  
Green's function



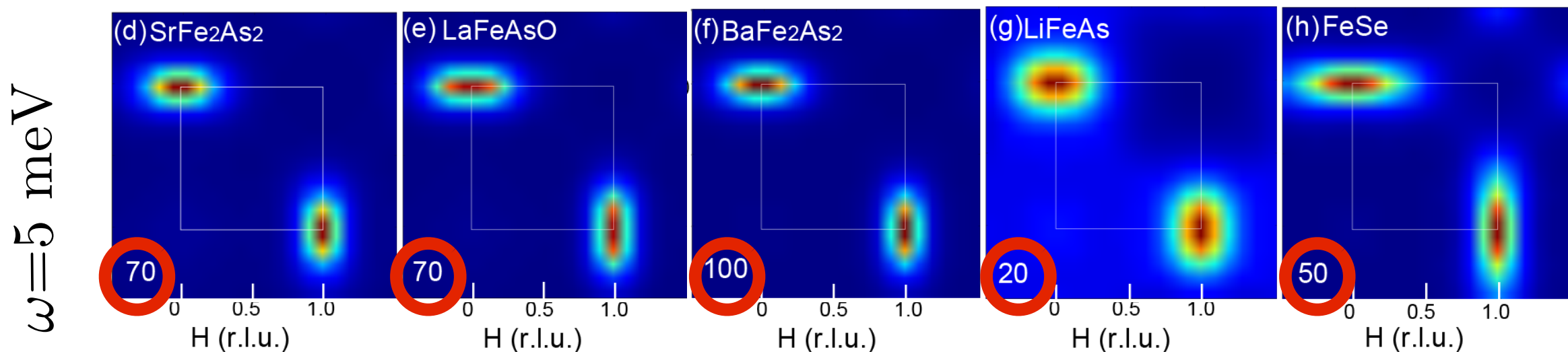
# Dynamical structure factor of Fe superconductors

## High-Tc compounds

$$T_C^{max} = 37K \quad T_C^{max} = 43K \quad T_C^{max} = 39K \quad T_C = 18K \quad T_C^{max} = 37K$$



high Tc: **Strong commensurate low energy excitations**, strong high energy dispersive exc.



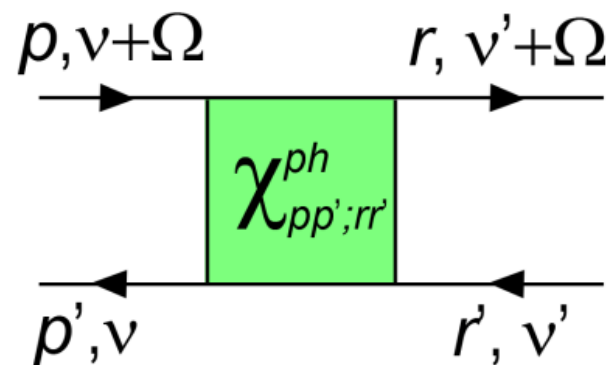
**Maximum intensity**

Z.P. Yin, K. Haule, G. Kotliar,  
Nature Physics 10, 845 (2014)

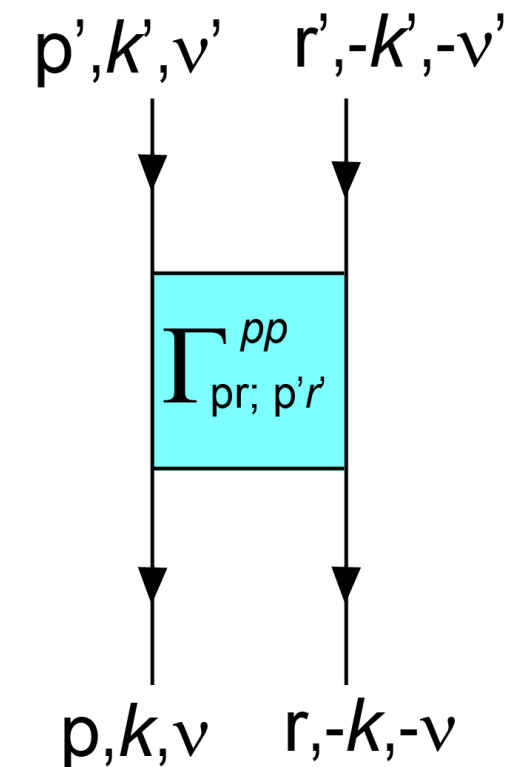
# Particle-particle irreducible vertex

Z.P. Yin, K. Haule, G. Kotliar,  
Nature Physics 10, 845 (2014)

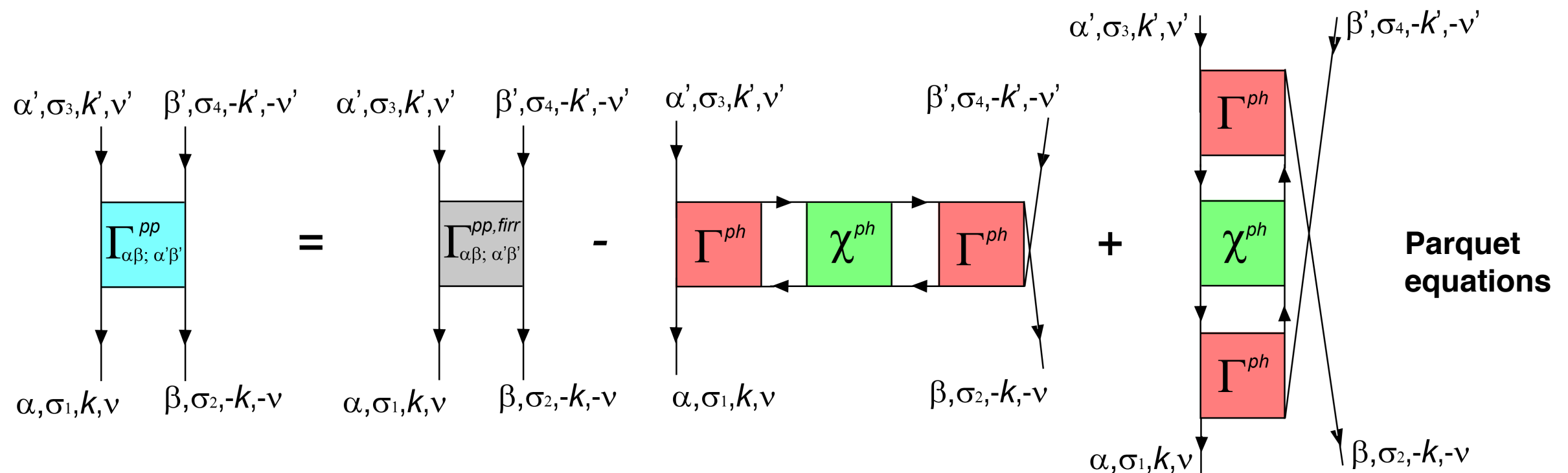
have particle hole



want



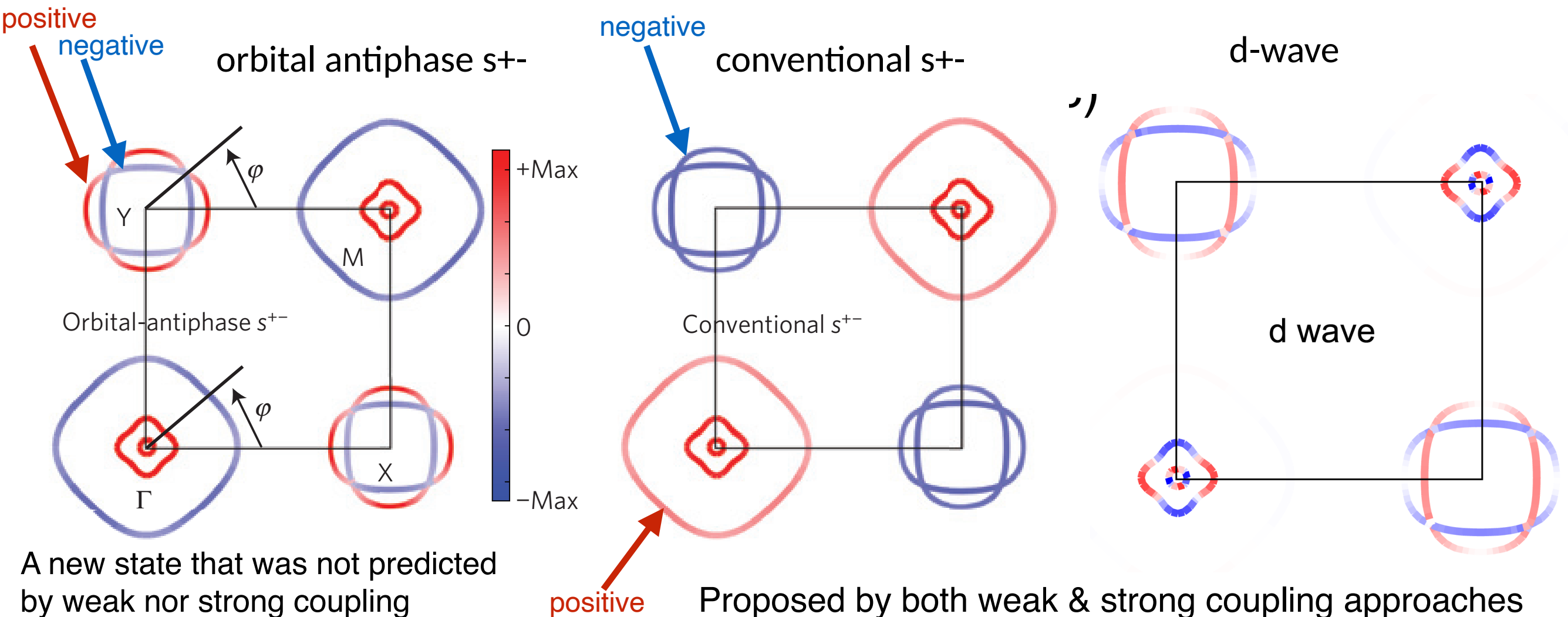
We can compute particle-particle vertex using parquet equations:



# Superconducting and pairing symmetry

Eliashberg equations solved in orbital/momentum space with ab-initio two particle vertex

$$-k_B T \sum_{k' \nu' \alpha' \beta' \gamma \delta} \Gamma^{pp,s}(\alpha \beta k \nu; \alpha' \beta' k' \nu') \chi_{\alpha' \beta' \gamma \delta}^{0,pp}(k' \nu') \Delta_{\gamma \delta}(k' \nu') = \lambda \Delta_{\alpha \beta}(k \nu)$$



A new state that was not predicted by weak nor strong coupling theories.

Closely competing states:

Depending on the details, system can change from one to another

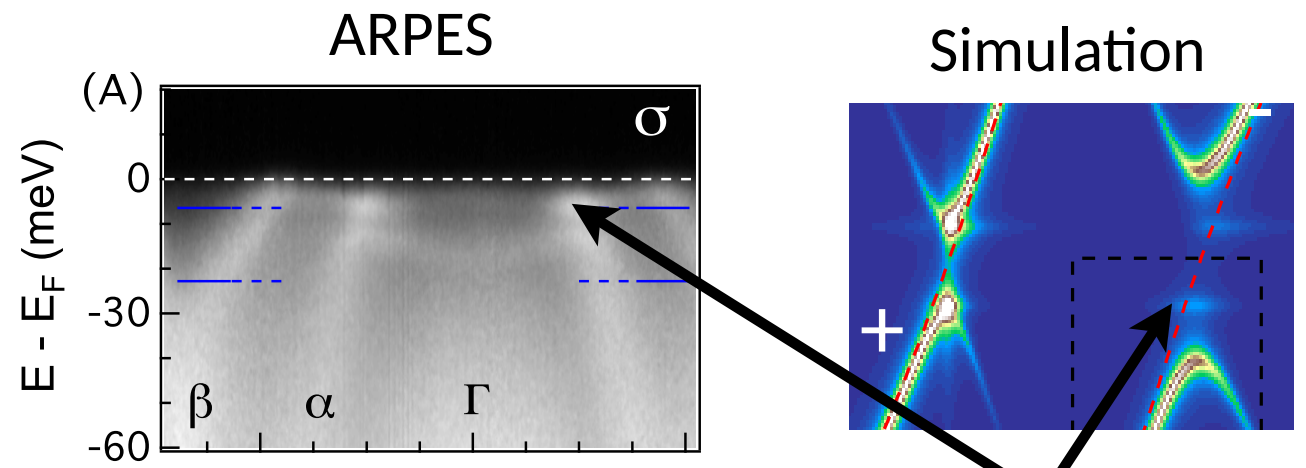
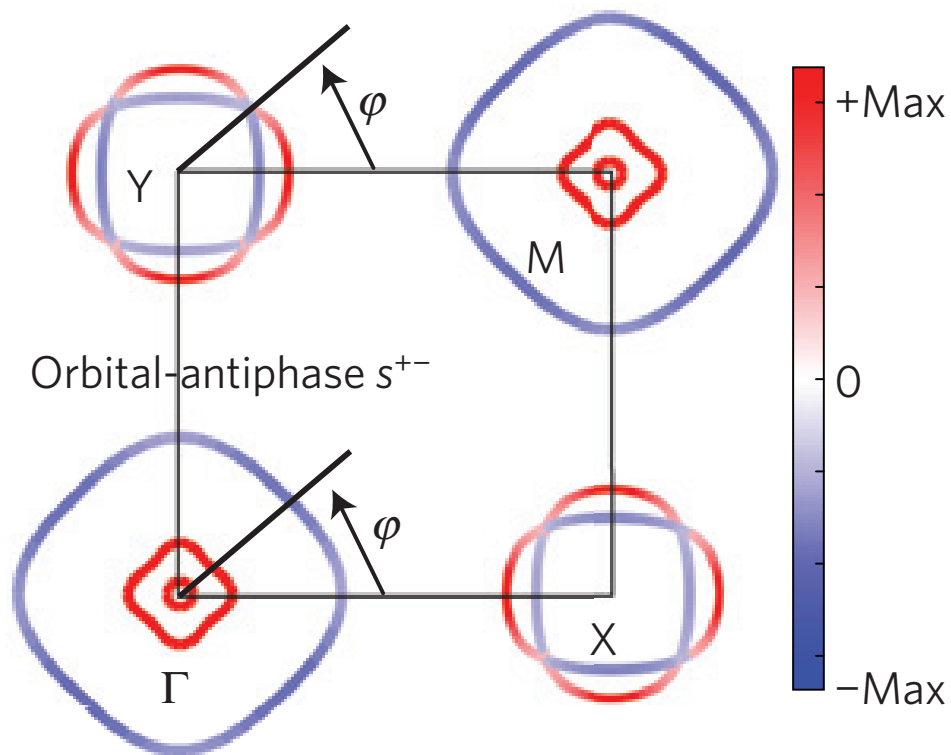
Z.P. Yin, K. Haule, G. Kotliar,  
Nature Physics 10, 845 (2014)

# Example: LiFeAs, orbital antiphase

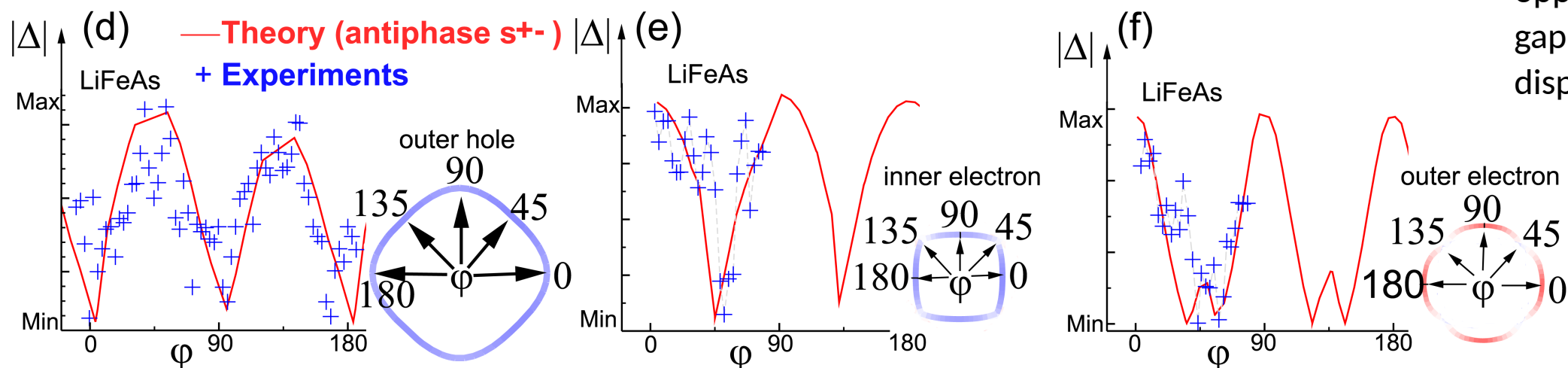
P. Zhang, ... H. Ding et.al., PRX 4, 031001 (2014)

P. Richard, ... H. Ding et.al., J. Phys.: Cond. Matt. 27, 293203 (2015)

weak scatterer and in-gap state: Observation of momentum-confined in-gap impurity state in Ba<sub>0.6</sub>K<sub>0.4</sub>Fe<sub>2</sub>As<sub>2</sub>: evidence for anti-phase  $s_{\pm}$  pairing.



Two nearby bands of opposite gap sign - in gap state with peculiar dispersion



Orbital-antiphase matches best with ARPES  
conventional  $s_{\pm}$  does not match

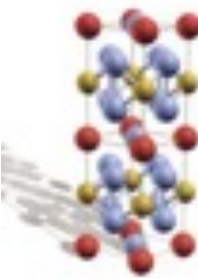
Z.P. Yin, K. Haule, G. Kotliar,  
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Thank you for your  
attention!

# Origin of fluctuating local moments

## Low Energy (Schrieffer-Wolff)



Z.Yin, KH, G. Kotliar, PRB 86, 195141 (2012).

Analyzing the quantum impurity model in the  $d^6$  configuration:

low energy effective model has these terms:

spin-spin:  $J_1 \vec{S} \sum_{a,\sigma\sigma'} c_{a\sigma}^\dagger \vec{\sigma}_{ss'} c_{a\sigma'}$

orbital-orbital:  $J_2 \vec{\Lambda} \sum_{ab,\sigma} c_{a\sigma}^\dagger \vec{\lambda}_{ab} c_{a\sigma}$

cross term:  
orbital+spin-  
orbital+spin  $J_3 (\vec{\Lambda} \otimes \vec{S}) \sum_{ab,\sigma\sigma'} c_{a\sigma}^\dagger (\vec{\lambda}_{ab} \otimes \vec{\sigma}_{ss'}) c_{b\sigma'}$

Gell-Mann matrices for SU(3)

$$\begin{aligned} \lambda_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_2 &= \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} & \lambda_3 &= \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \\ \lambda_4 &= \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} & \lambda_5 &= \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \\ \lambda_6 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} & \lambda_7 &= \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} & \lambda_8 &= \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix} \end{aligned}$$

Effective Kondo coupling for  $J_H=0$  are AFM:

$$J_1 = 1/3 J_0 \quad \text{well screened spins}$$

$$J_2 = 1/4 J_0 \quad \text{well screened orbital}$$

$$J_3 = 1/2 J_0 \quad \text{fluctuations}$$

Effective Kondo coupling for  $J_H=\infty$

$$J_1 = -1/9 J_0 \quad \text{poorly screened spins} \rightarrow \text{local moments}$$

$$J_2 = 1/3 J_0$$

$$J_3 = 1/3 J_0 \quad \text{couple to orbital and make also orbital fluctuations slower}$$