



CoQuí: Electronic Structure Beyond DFT

— Why and What?

TRIQS School 2025, Paris

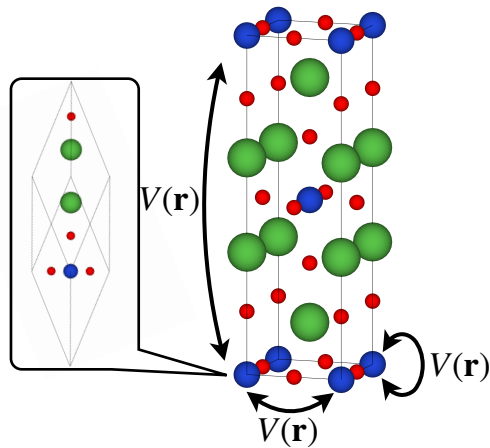
Chia-Nan Yeh

Center for Computational Quantum Physics (CCQ),
Flatiron Institute

- Github repository:
<https://github.com/AbInitioQHub/coqui>
- Tutorials:
<https://github.com/AbInitioQHub/coqui-tutorial>

Many-Electron Problem at Different Scales

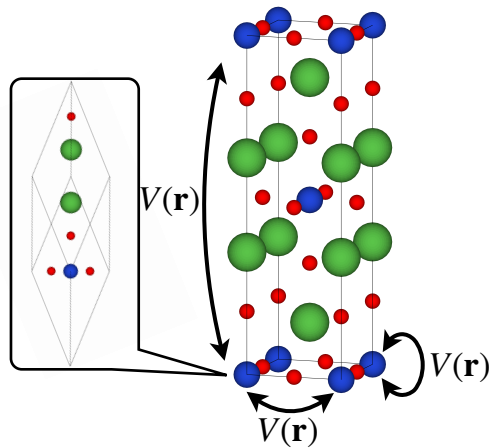
Quantum materials



- All electrons
- Bare interaction $\sim O(10)$ eV

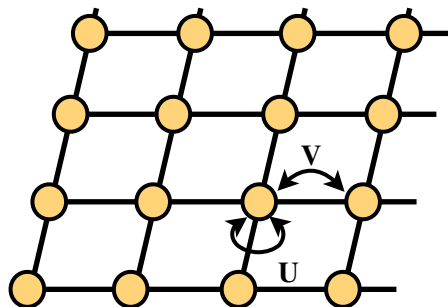
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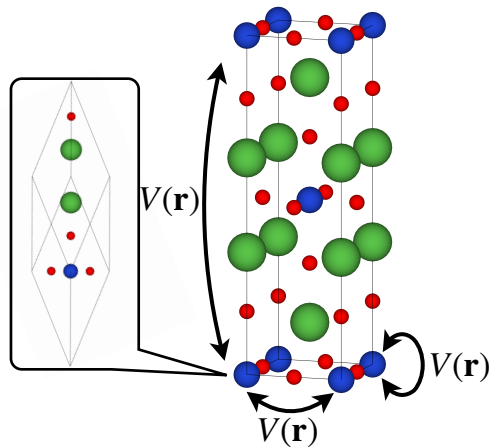
Effective lattice model
(e.g. Hubbard model)



- Low-energy orbitals (near Fermi level)
- Short-range screened interactions $\sim O(1)$ eV
- Methods: QMC, DMRG, DMFT, etc.

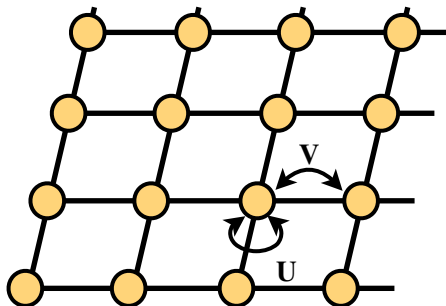
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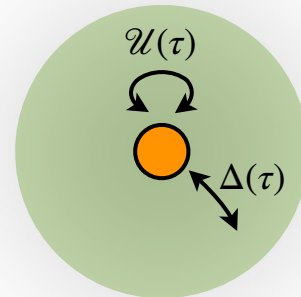
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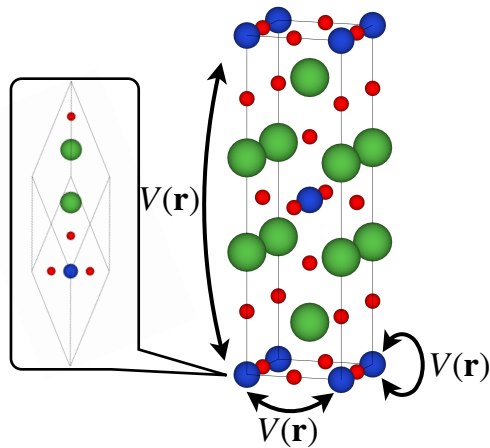
Local impurity



- Single site + baths
- Local interactions
- Methods: CT-QMC, ED, NRG

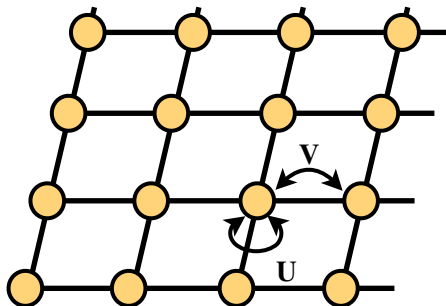
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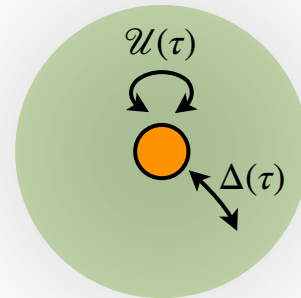
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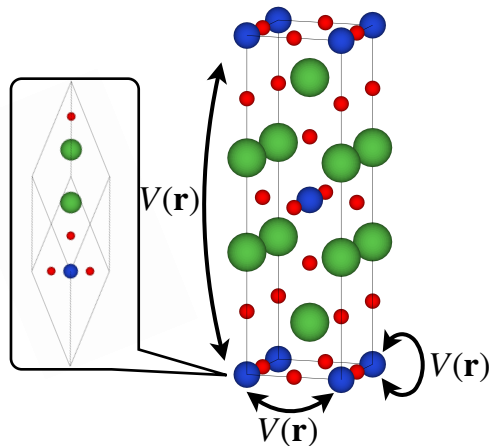
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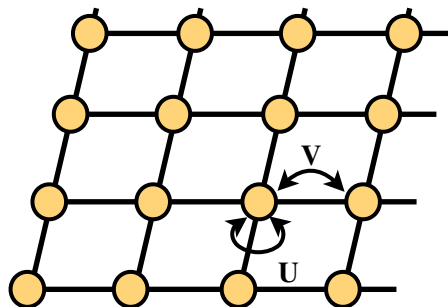
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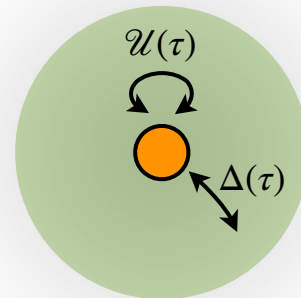
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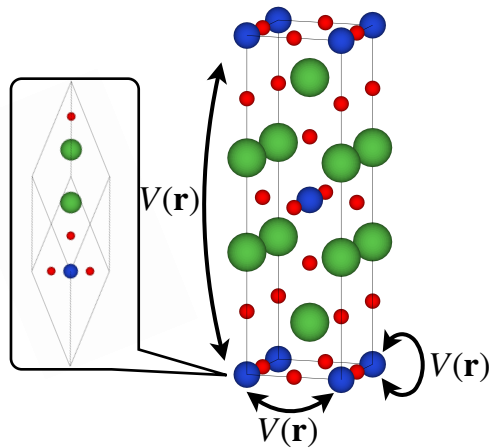
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Many-Electron Problem at Different Scales

Quantum materials



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- **Methods: mainly DFT**

$$\left[-\frac{1}{2}\nabla^2 + v_{\text{ext}}(\mathbf{r}) + v_{\text{H}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$

- **Balance between computational efficiency and accuracy**
- **Broad applicability:** Molecules, materials, surfaces.
- **Dominance:**
Primary *ab initio* tool across physics, chemistry and materials science.
- **Mature community codes**



Outline

- *Ab Initio* Many-Body Electronic Structure
- CoQuí: Correlated Quantum interface

Outline

- *Ab Initio* Many-Body Electronic Structure
 - Density functional theory: Challenges
 - *Ab initio* GW method in a nutshell
 - *Ab initio* GW+EDMFT in a nutshell
 - Numerical challenges
- CoQuí: Correlated Quantum interface

Density Functional Theory: Challenges

Energy functional: $E_0[v_{\text{ext}}] = \langle \Psi | H | \Psi \rangle = T + V_{\text{e-e}} + \int d\mathbf{r} \rho(\mathbf{r}) v_{\text{ext}}(\mathbf{r})$

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Exact mapping for "ground-state" energy

$$E_0[v_{\text{ext}}] = \langle \Psi_0^{\text{KS}} | H_0^{\text{KS}} | \Psi_0^{\text{KS}} \rangle$$

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$\{\epsilon_i, \phi_i(\mathbf{r})\}$ are *auxiliary single-particle states* to describe the the *KS non-interacting* system.

→ Not associated with physical quasiparticle excitations!

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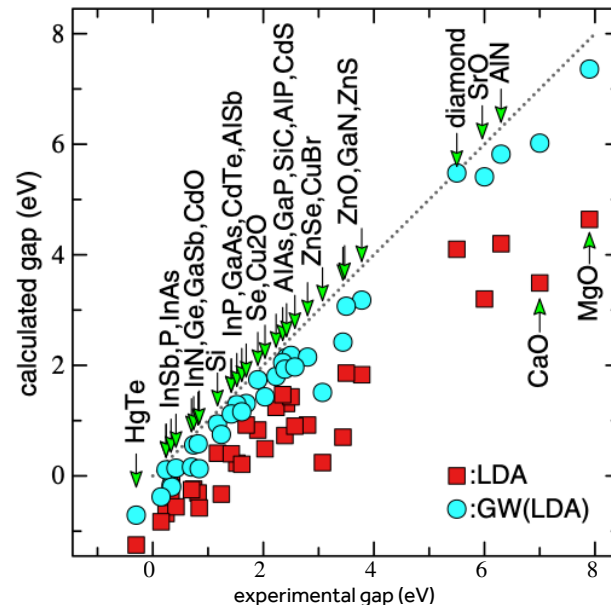
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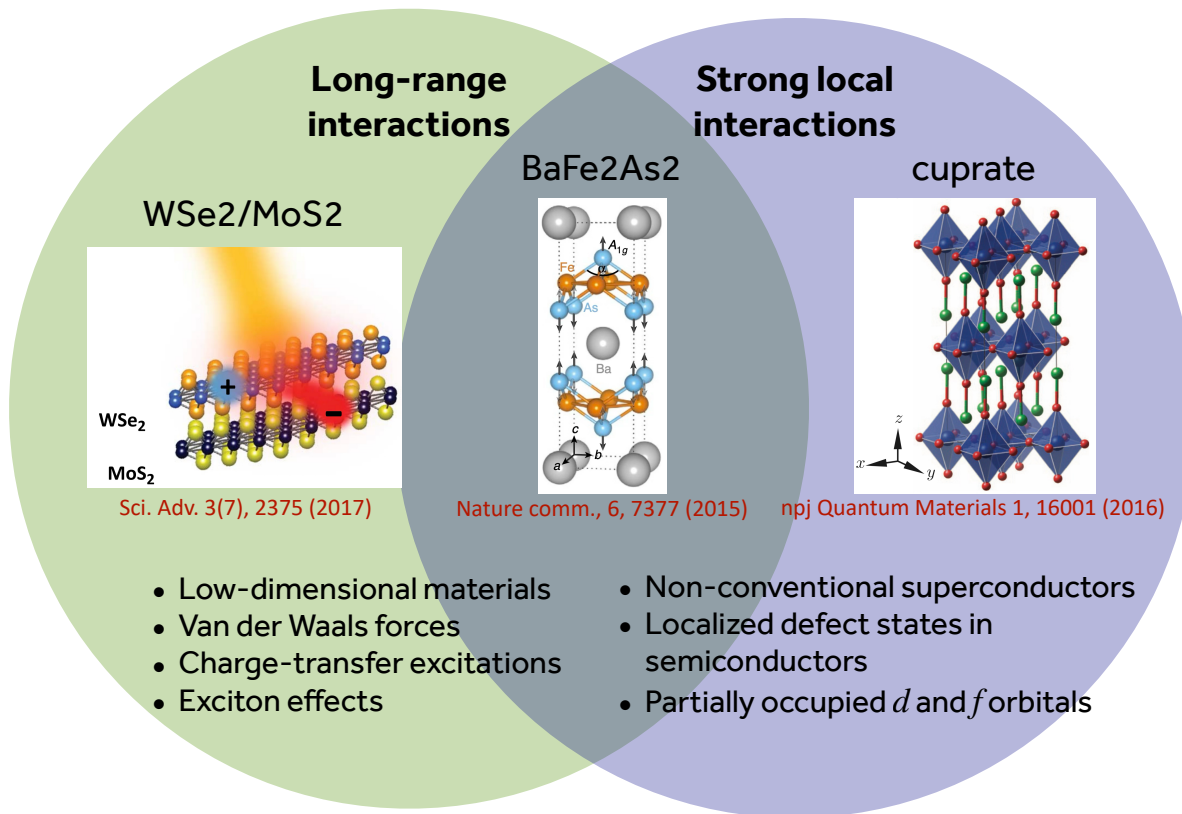
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Band-gap Issue

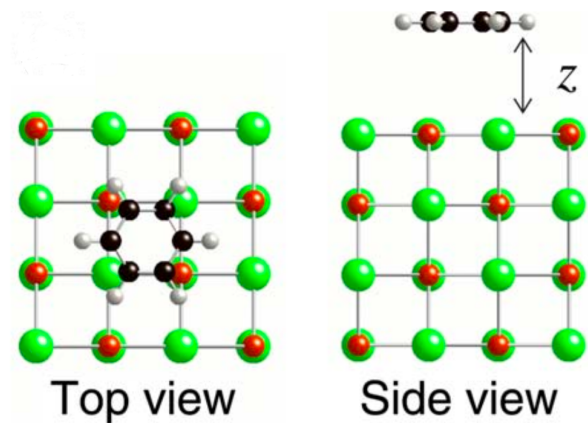


Density Functional Theory: Challenges



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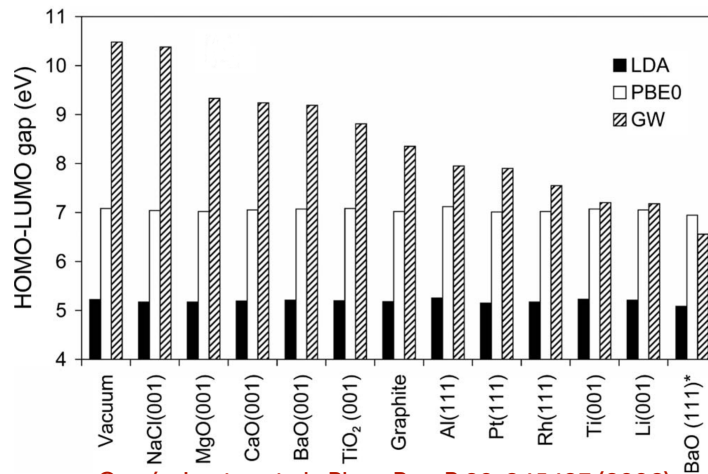
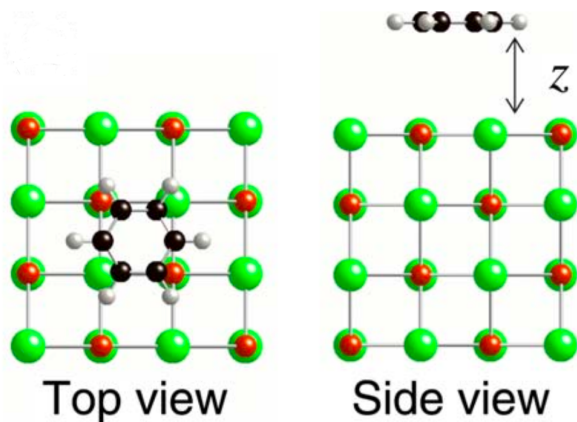
Example: substrate-induced **long-range screening** in graphene



Density Functional Theory: Challenges

Example: substrate-induced **long-range screening** in graphene

- Local and semi-local functionals rely only on local density properties 😞
- Hybrid functional predicts **substrate-independent** screening 😞



Garcia-Lastra et al., Phys. Rev. B 80, 245427 (2006)

Ab Initio GW Method



"Super" Hartree-Fock with RPA screened interactions

GW approximation: $\Sigma^{\text{GW}}(\tau) = -G(\tau)W_{\text{RPA}}(\tau)$

Long-range screening effects via RPA

A Feynman diagram expansion for the RPA screened interaction W_{RPA} . It is shown as a sum of terms: a wavy line labeled W_{RPA} equals a wavy line labeled V plus a series of terms. Each term in the series consists of a wavy line labeled V connected to a bubble labeled Π_0 , which is then connected to another wavy line labeled V . The first term has one bubble, the second has two bubbles, and so on, followed by an ellipsis $+$ $\dots$.

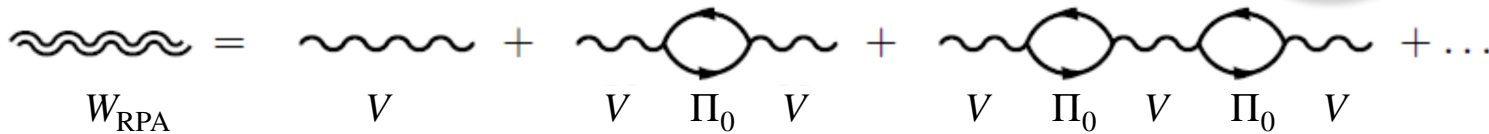
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- **Real Materials:** Hybertsen and Louie, PRL (1985)
- **Gold standard** for band gap calculations

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Long-range screening effects via RPA

$$W_{\text{RPA}} = V + V \Pi_0 V + V \Pi_0 V \Pi_0 V + \dots$$

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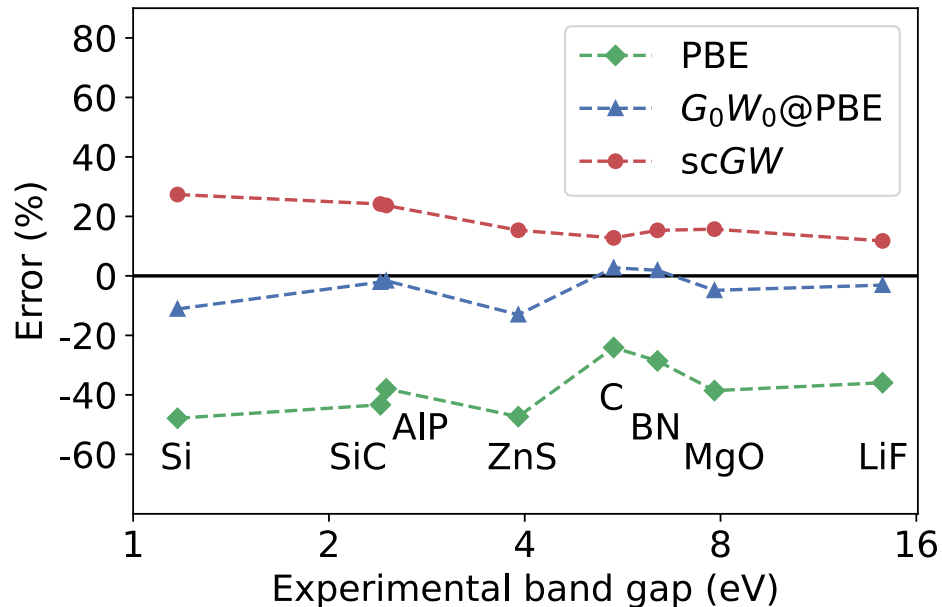


The **standard** implementation of Π_0 in *ab initio* codes is **Lindhard equation**:

$$\Pi_0 = G_0 * G_0 \rightarrow \text{so-called } G_0W_0 \text{ method}$$

Self-consistent GW using the LW functional $\Phi[G]$ is **essentially unavailable**, except in VASP

Ab Initio GW Method



- Single-shot GW is *surprisingly* accurate, predicting better band gaps for semiconductors.
 - a. Error cancellation between the vertex corrections and non-self-consistency errors
 - b. Starting-point dependence
- scGW slightly overestimates the band gaps

Ab Initio GW Method - Summary

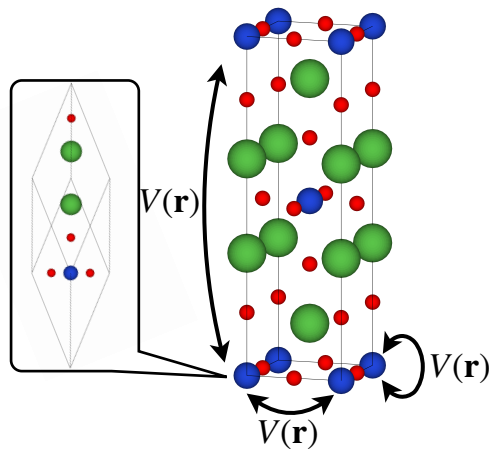
- GW approximation treats long-range interactions using RPA.
- Derived from the approximate Luttinger–Ward functional $\Phi[G]$
→ yields well-defined quasiparticle excitations.
- Most applications rely on single-shot GW with the Lindhard form of Π_0 , because:
 - Empirically, "error cancellation" makes it more accurate than self-consistent GW.
 - Self-consistency poses numerical challenges.
- Still insufficient for strong local correlations due to its perturbative nature.

GW+EDMFT in a nutshell

Formal derivation: see Antoine's GW+EDMFT lecture

Ab initio GW Toward the Complete Basis Limit:

- Long-range correlations
- Renormalization from high- and low-lying orbitals



GW+EDMFT approximation

$$\Sigma^{\mathbf{k}} = (\Sigma^{GW})^{\mathbf{k}}$$

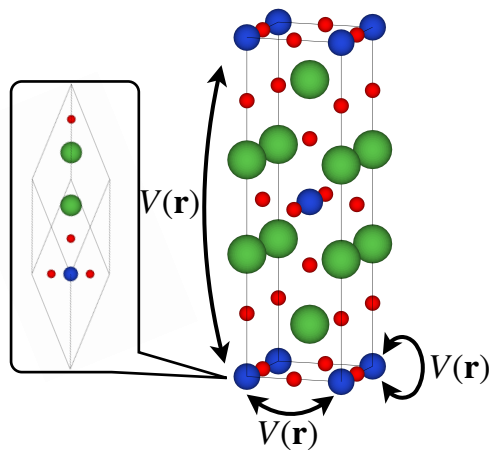
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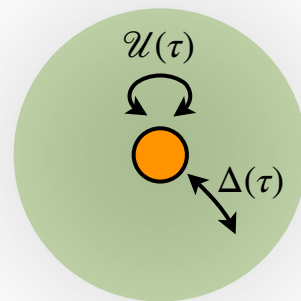


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$\mathcal{U}(\tau)$ and $\Delta(\tau)$



$\mathcal{U}(\tau)$: Local dynamic screened interactions

$\Delta(\tau)$: Embedded in an effective bath

S. Biermann *et al.*, Phys. Rev. Lett. 90, 086402 (2003)
P. Sun *et al.*, Phys. Rev. B 66, 085120 (2002)

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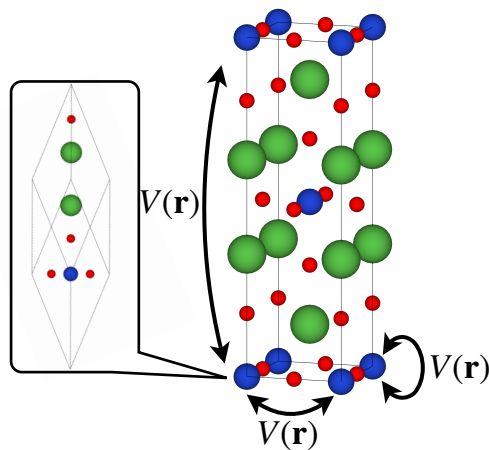
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Non-Perturbative Impurity Solver, e.g. CT-SEG

- Σ^{imp} : band structure renormalization beyond GW
- Π^{imp} : Screening beyond RPA



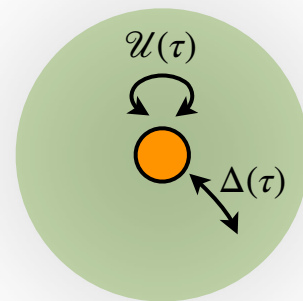
Σ^{imp} and Π^{imp}

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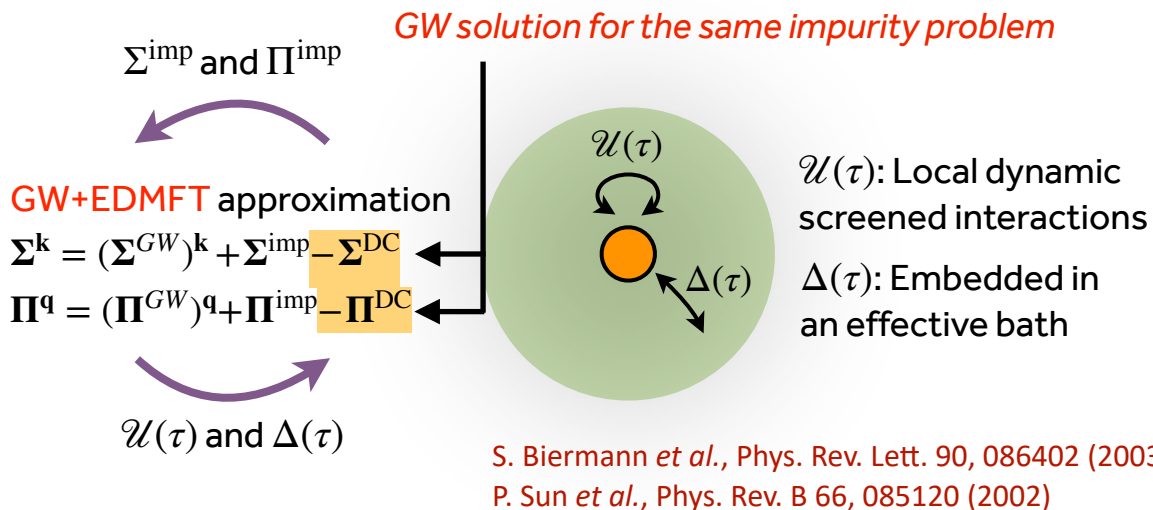
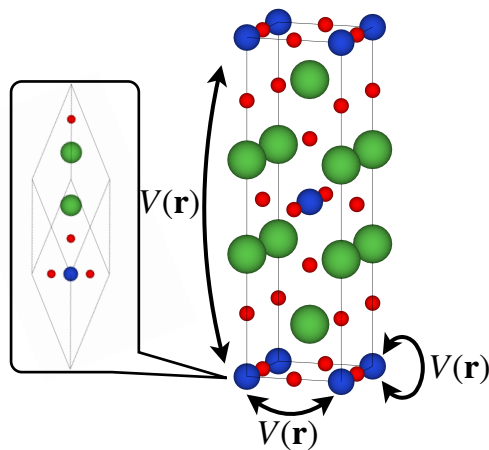
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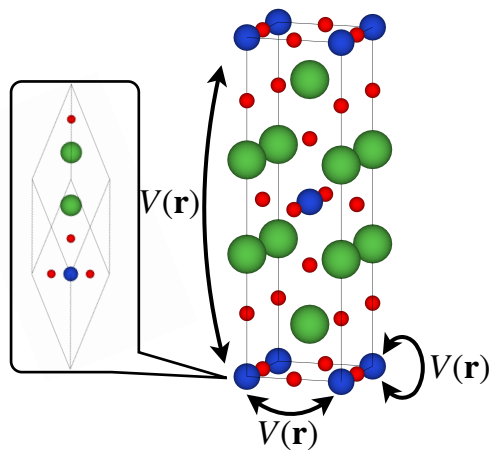
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Iterate until $G_{\text{loc}} = G_{\text{imp}}$ and $W_{\text{loc}} = W_{\text{loc}}$



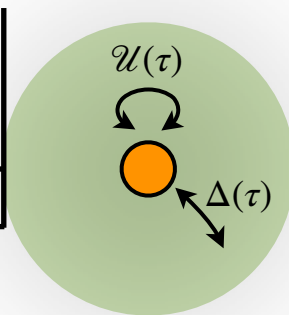
Σ^{imp} and Π^{imp} GW solution for the same impurity problem

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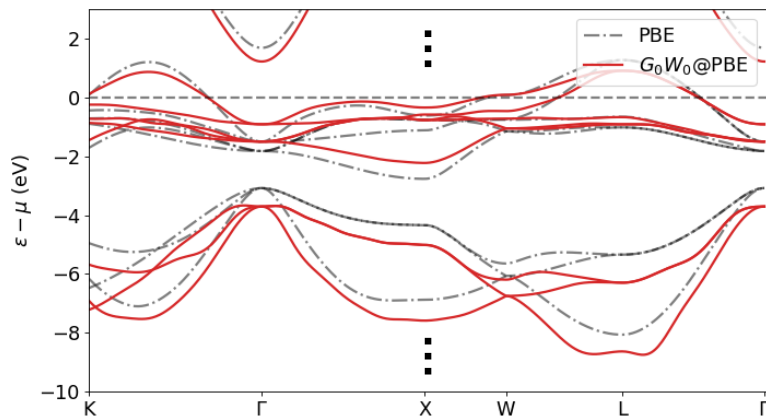
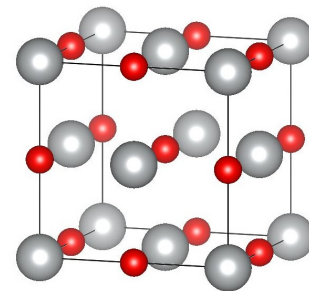
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GW+EDMFT example: Paramagnetic NiO

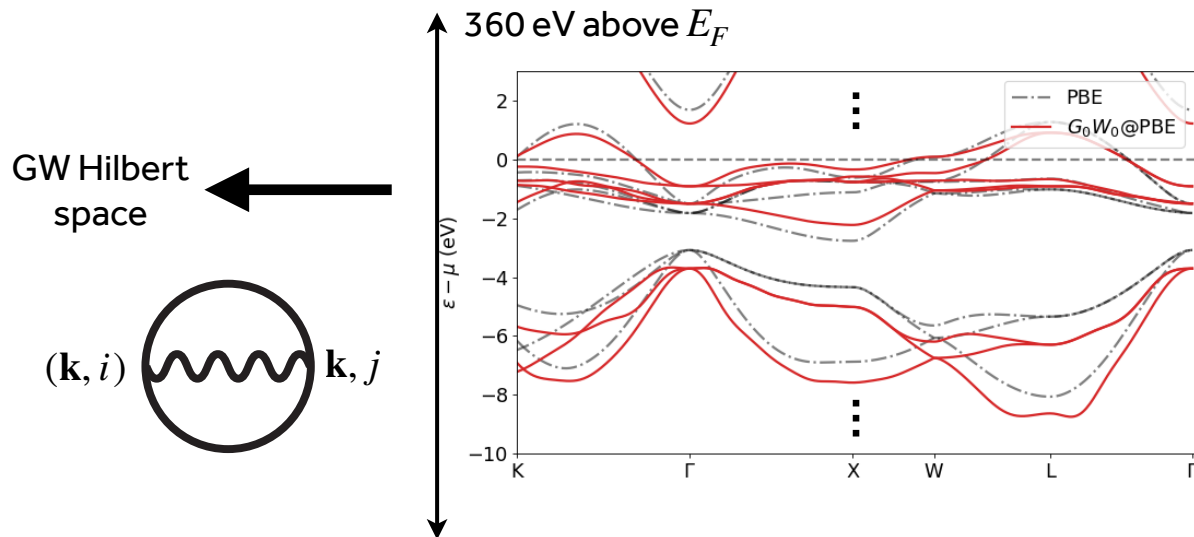
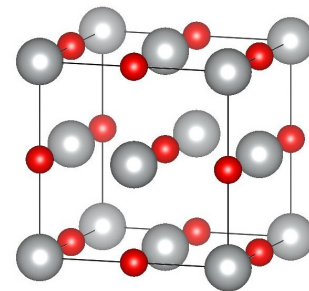
CoQuí x TRIQS

- k-mesh: 9x9x9
- Temperature: 1100 K



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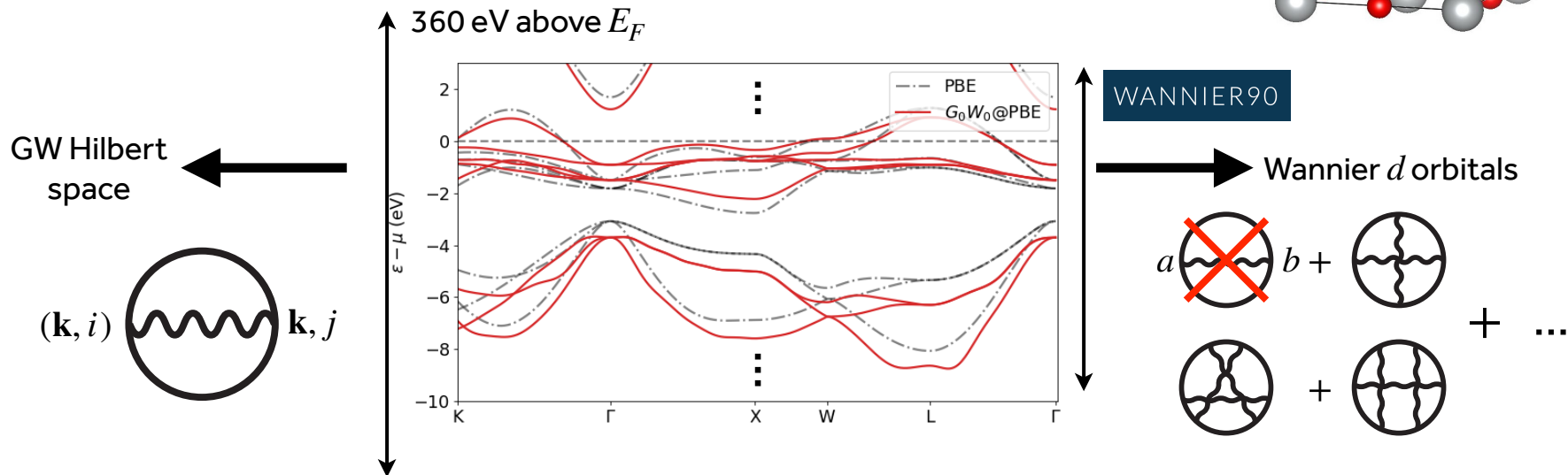
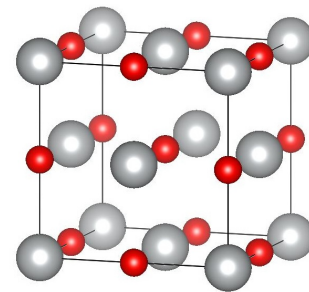
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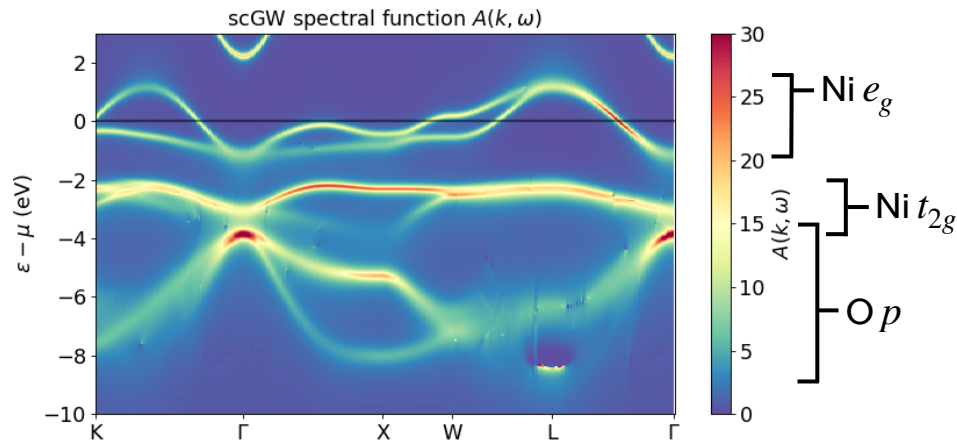
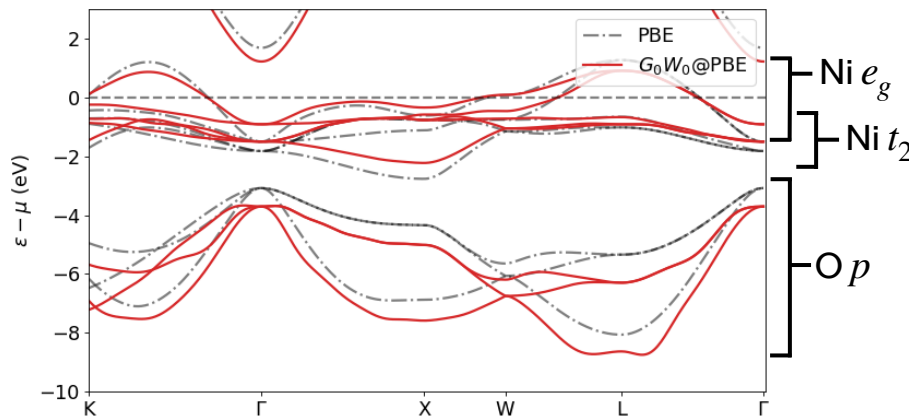
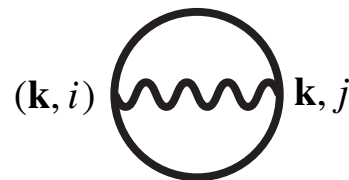
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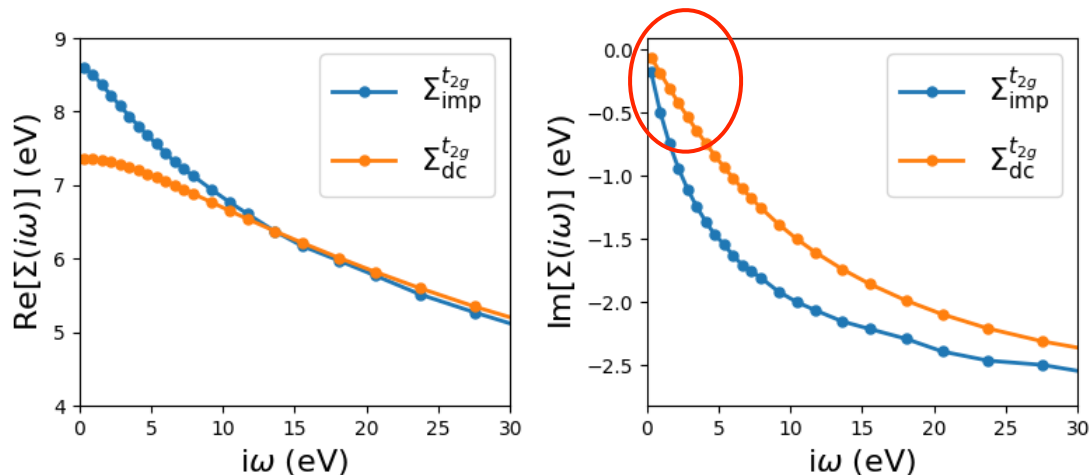
GW+EDMFT example: Paramagnetic NiO

- Non-local scGW enhances the t_{2g} - e_g splitting and facilitate hybridization between Ni t_{2g} and O p orbitals



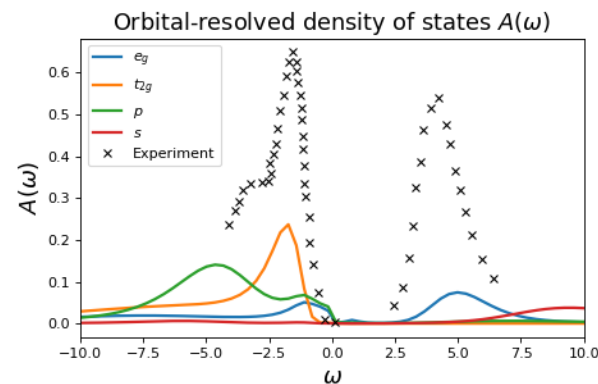
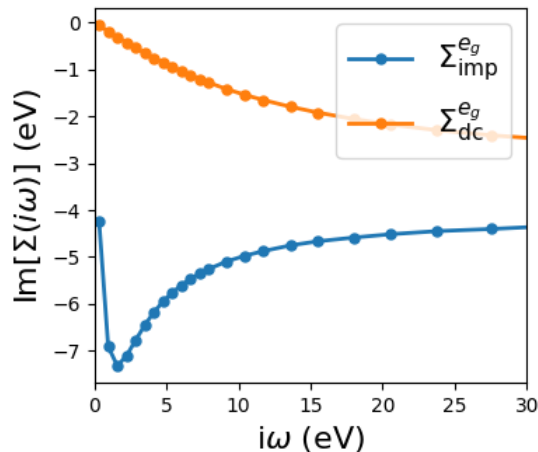
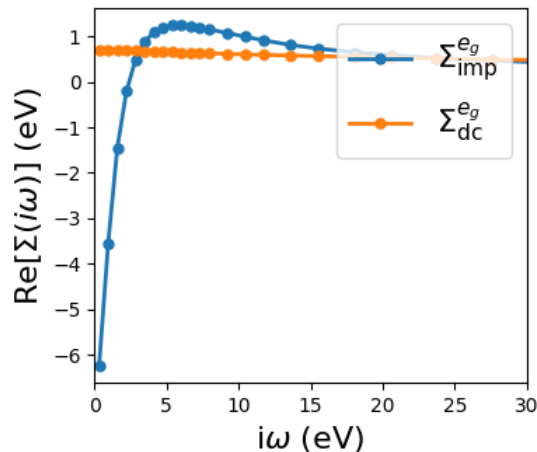
GW+EDMFT example: Paramagnetic NiO

- EDMFT correction to the self-energy $\Delta\Sigma^{GW+EDMFT} = \Sigma_{\text{imp}} - \Sigma_{\text{dc}}$
 - a. Enhances mass renormalization for Ni t_{2g} orbitals: $Z_{\text{GW}} = 0.76 \rightarrow Z_{\text{GW+EDMFT}} = 0.66$



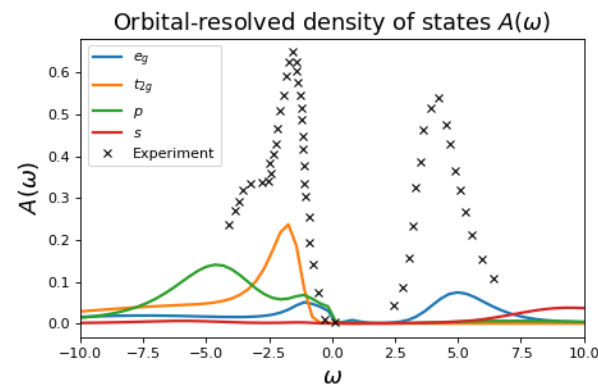
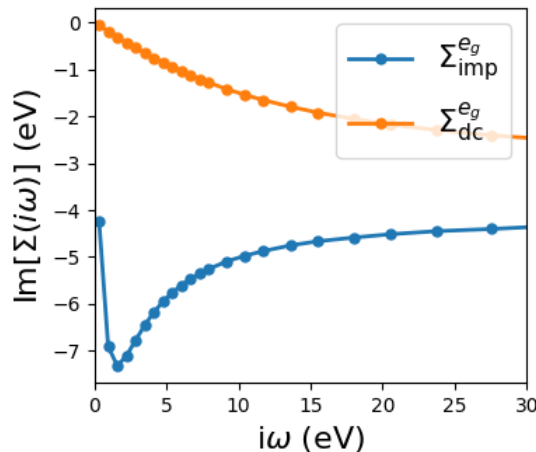
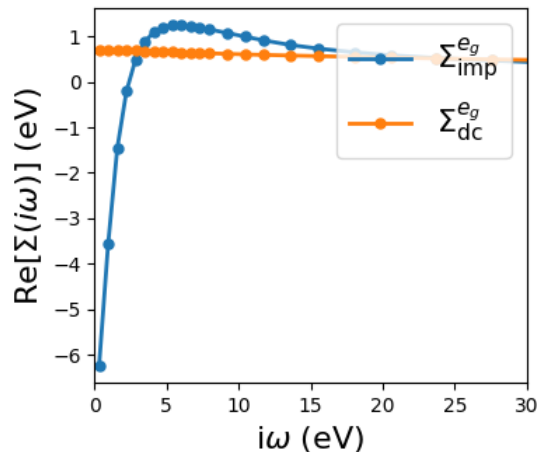
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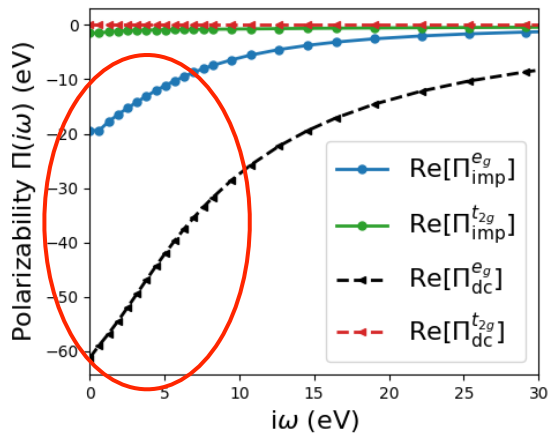
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 - Induces a Mott gap at Ni e_g orbitals
- Occupations: $(N_{e_g}, N_{t_{2g}}) = (2.40, 5.89) \rightarrow (2.17, 5.91)$



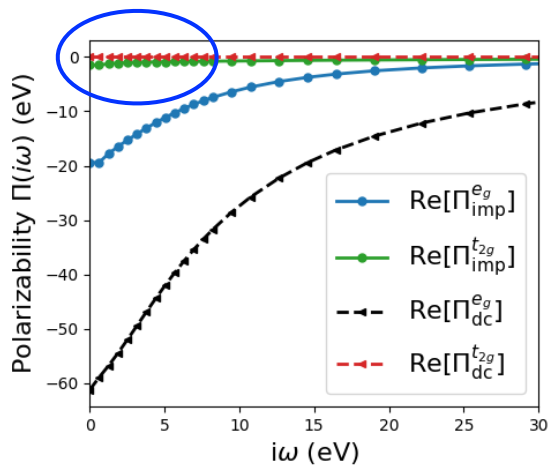
GW+EDMFT example: Paramagnetic NiO

- Local vertex correction $\Delta\Pi^{GW+EDMFT} = \Pi_{\text{imp}} - \Pi_{\text{dc}}$
 - Suppresses the screening in Ni e_g orbitals



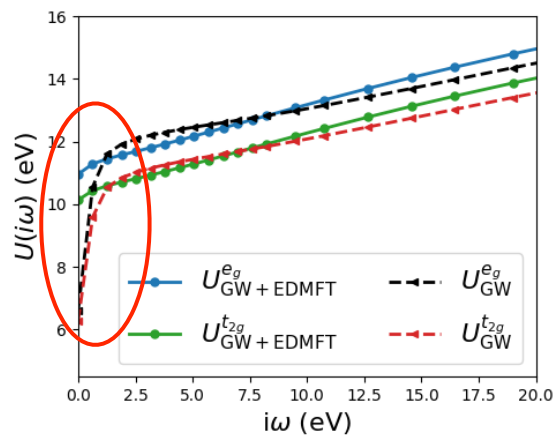
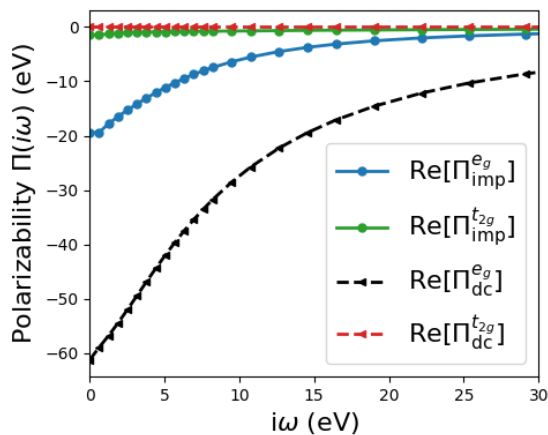
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 - **Suppresses** the screening in Ni e_g orbitals
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- Strong enhancement of $\mathcal{U}(i\omega)$ in the low-energy regime: $\sim 6 \rightarrow \sim 11$ eV

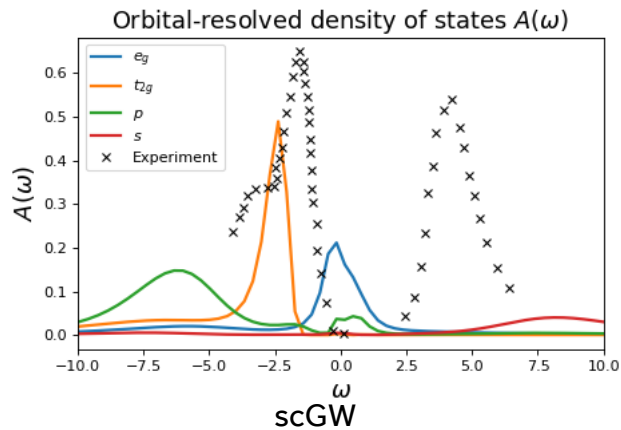


GW+EDMFT example: Paramagnetic NiO

Experiment: Sawatzky, and Allen, PRL. 53 (24) 2339–2342 (1984)

$$\Sigma^{\mathbf{k}} = (\Sigma^{GW})^{\mathbf{k}} + \Sigma^{\text{imp}} - \Sigma^{\text{DC}}$$

$$\Pi^{\mathbf{q}} = (\Pi^{GW})^{\mathbf{q}} + \Pi^{\text{imp}} - \Pi^{\text{DC}}$$



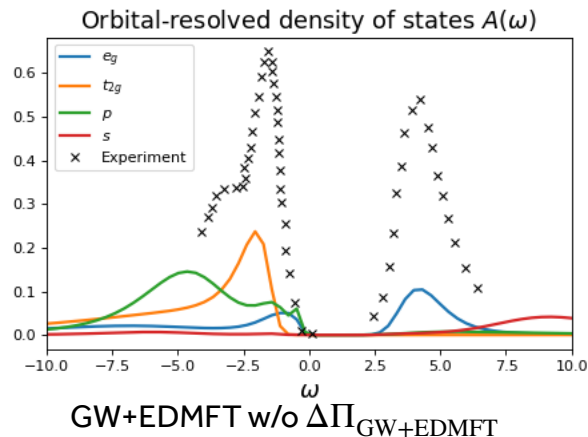
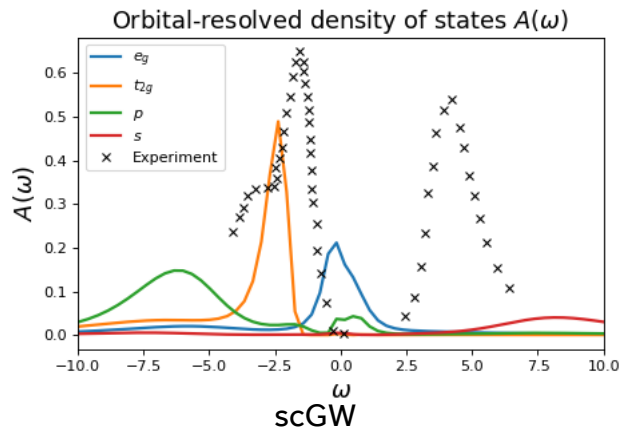
	U	U'
e_g	6.62	4.37
t_{2g}	6.31	4.63

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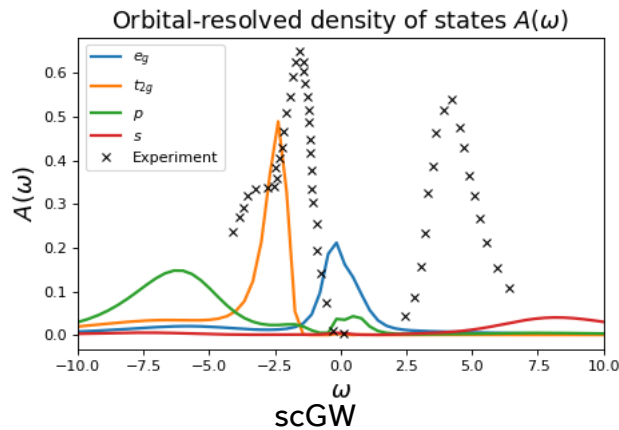
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t_{2g}	9.68	7.95

GW+EDMFT example: Paramagnetic NiO

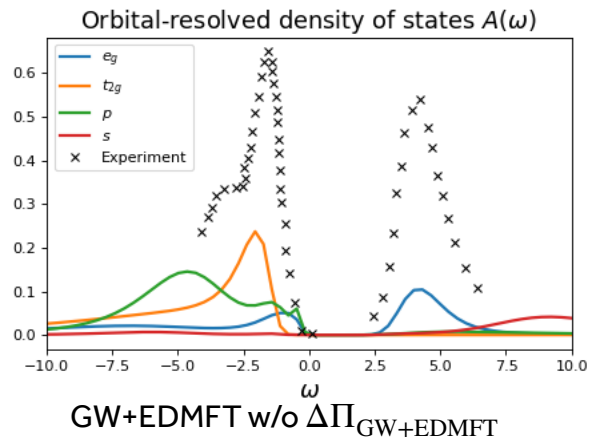
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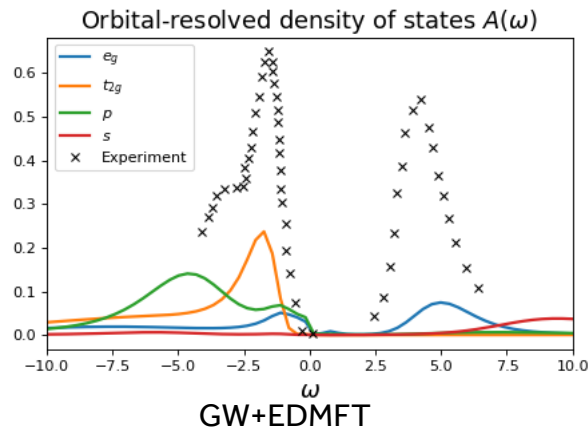
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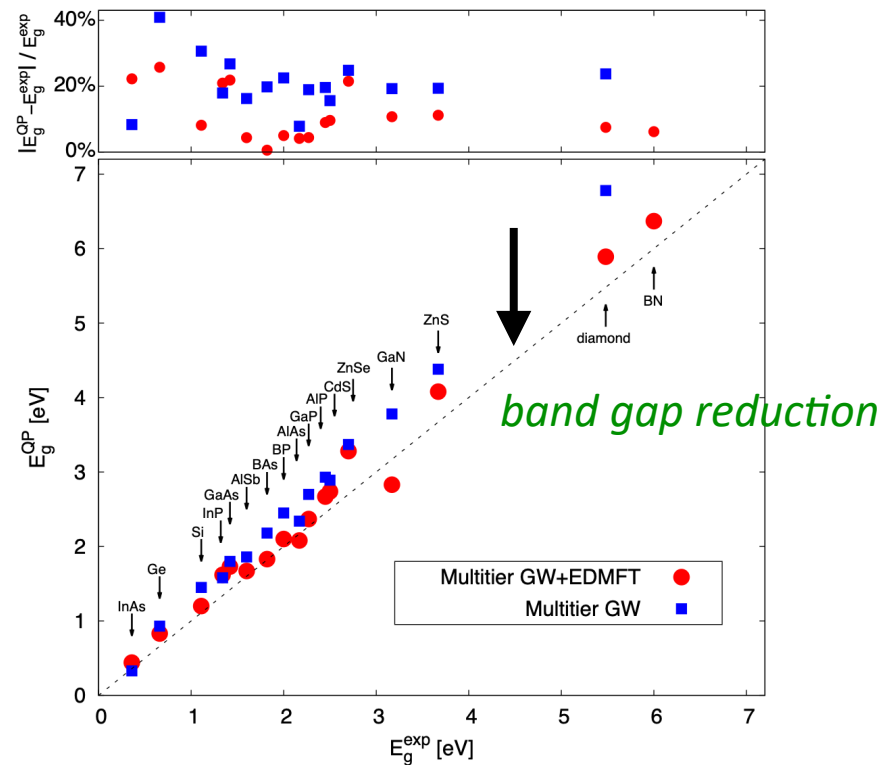


	U	U'
e_g	11.02	8.94
t_{2g}	10.20	8.47

GW+EDMFT example: Semiconductors

Christiansson, Petocchi, Werner, arXiv:2410.19579

- *Multi-tier GW+EDMFT* study on semiconductors and insulators
- $s + p$ Wannier functions on each atomic sites
- Self-consistency within the Wannier space
- $\Delta\Pi^{\text{GW+EDMFT}}$ enhances the screening, resulting in improvements compared to scGW



GW+EDMFT - Summary

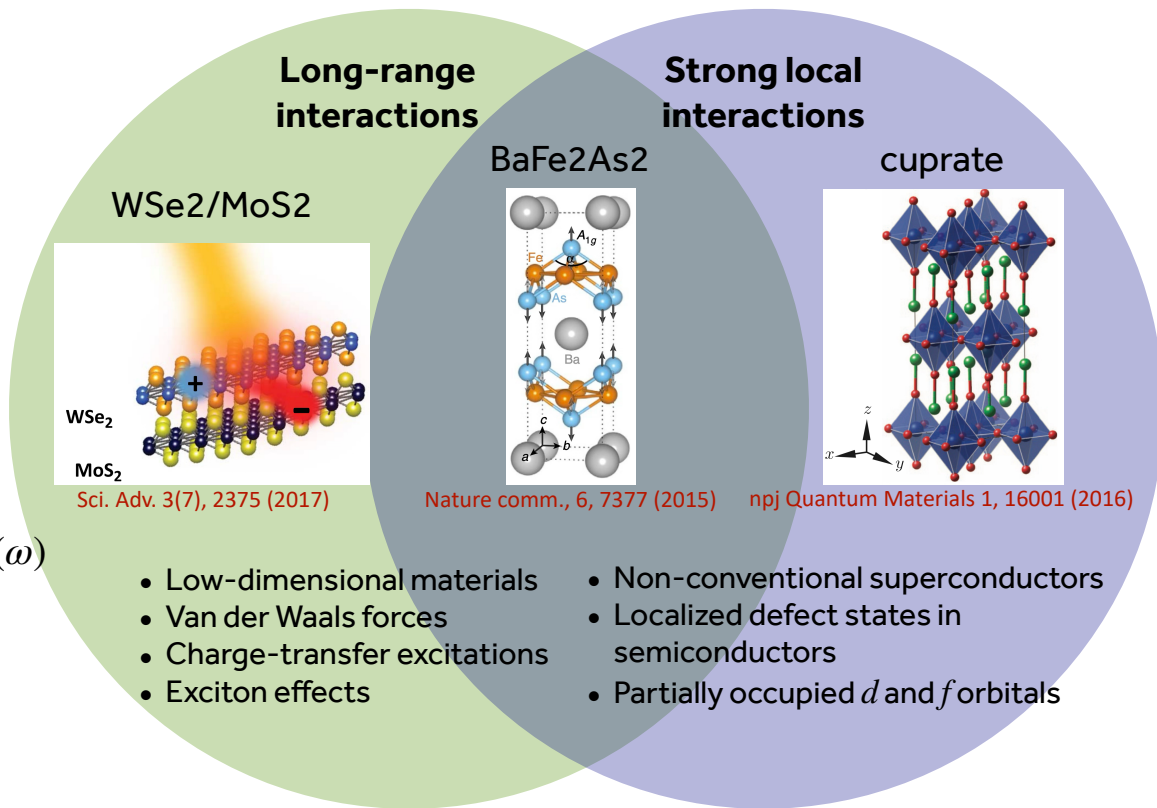
Issues of LDA+DMFT:

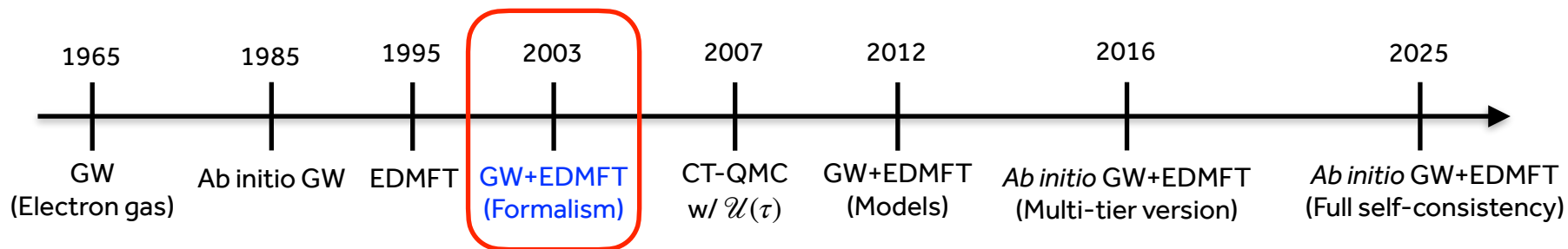
- Ad hoc* Hubbard U
- Ill-defined double counting issue
- Missing long-range correlations



GW+EDMFT

- Self-consistent** bosonic Weiss field $\mathcal{U}(\omega)$
- Double counting rigorously defined via $\Psi[G, W]$ **functional**
- Long-range correlations at **GW** level





Formalism

S. Biermann *et al.*, Phys. Rev. Lett. 90, 086402 (2003)

P. Sun *et al.*, Phys. Rev. B 66, 085120 (2002)

Models

Phys. Rev. B **87**, 125149 (2013)

Ab initio multi-tier GW+EDMFT

PRM **1**, 043803 (2017)

Ab initio GW+EDMFT

Comput. Phys. Commun. 308 109447 (2025)

Ab initio Many-Body Simulations: Numerical Challenges

Computing and storing *ab initio* self-consistent screened interactions in GW+EDMFT:

$$W = V + V \Pi V + V \Pi V \Pi V + \dots$$

W V V Π V V Π V Π V

$$W_{ijkl}^{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4}(i\omega) = \iint d\mathbf{r} d\mathbf{r}' \phi_i^{\mathbf{k}_1*}(\mathbf{r}) \phi_j^{\mathbf{k}_2}(\mathbf{r}) W(\mathbf{r}, \mathbf{r}'; i\omega) \phi_k^{\mathbf{k}_3*}(\mathbf{r}') \phi_l^{\mathbf{k}_4}(\mathbf{r}')$$

V : bare interactions
 Π : polarizability

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1. Explicit Coulomb interaction → high **computational cost** and **memory** usage as the system size scales

$$V_{ijkl}^{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4} \sim O(N_k^3 N_{\text{orb}}^4)$$

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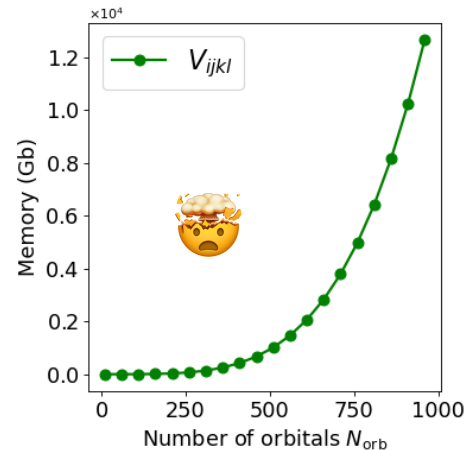
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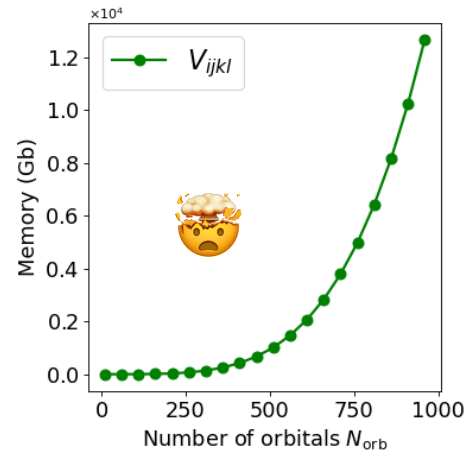
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V : bare interactions

Π : polarizability

2. Representing **dynamic** correlation functions

$$W_{ijkl}^{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3\mathbf{k}_4}(i\omega) \sim O(N_\omega N_k^3 N_{\text{orb}}^4) \longrightarrow O(N_\omega) \times$$



Ab initio Many-Body Simulations: Numerical Challenges

Computing and storing *ab initio* self-consistent screened interactions in GW+EDMFT:

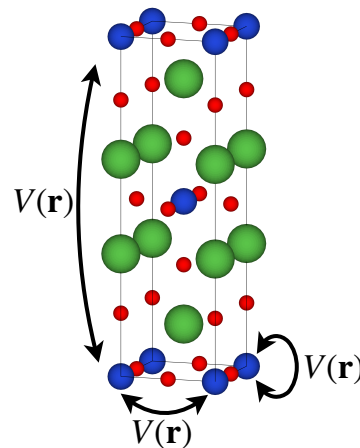
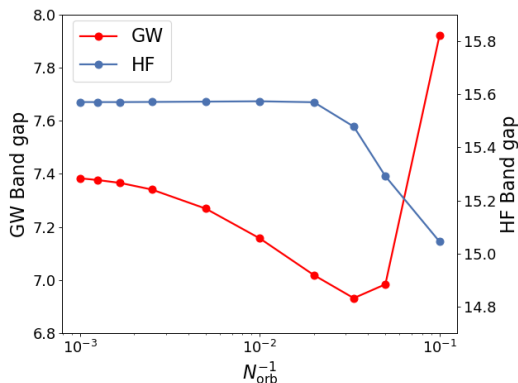
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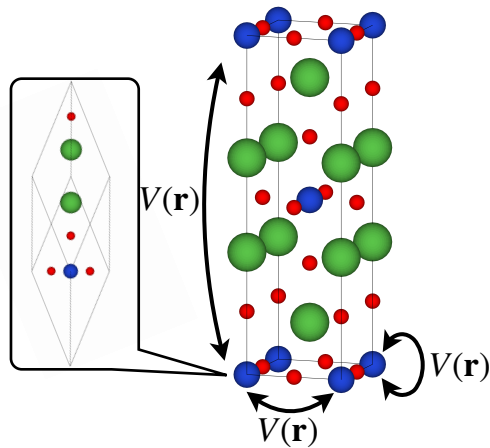
V : bare interactions
 Π : polarizability

3. **Slow convergence** in both **basis-set** and **finite-size** errors.



Ab initio MB Electronic Structure - Summary

Quantum materials



- All electrons
- Bare interaction $\sim O(10)$ eV
- Methods: mainly DFT

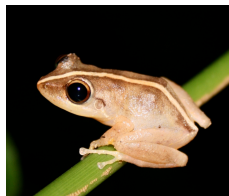
Scalable frameworks in N_{orb} and N_k , together with efficient software, are essential to make many-body materials simulations practical



Outline

- *Ab Initio* Many-Body Electronic Structure
- CoQuí: **Correlated Quantum interface**
 - Tensor hypercontraction for Coulomb Hamiltonian
 - *Low-scaling electronic structure methods*

What is CoQuí?



CoQuí, **C**orrelated **Q**uantum **I**nterface, is a C++/MPI scientific package for *ab initio* electronic structure **beyond density functional theory**

Goals:

- **Make** many-body methods practical and scalable for large-scale materials simulations
- **Bridge** first-principles simulations and model physics
- **Provide** a flexible platform to test novel algorithms in a first-principles context
- Github repository:
<https://github.com/AbInitioQHub/coqui>
- Discussions page:
<https://github.com/AbInitioQHub/coqui/discussions>
- Tutorials:
<https://github.com/AbInitioQHub/coqui-tutorial>

CoQuí Community

Initiated and hosted at CCQ, Flatiron Institute since 2022



Main Dev.



Features: GF2, SOSEX, G3W2



TRIQS core libraries: HDF5 C++ interface, NDA, clair/c2py, and ModEST (for embedding)



CoQuí Numerical Setup

CoQuí Numerical Setup

Input:

- Crystal metadata
(unit cell info, k-points, etc.)
- Single-particle Bloch basis $\phi_i^{\mathbf{k}}(\mathbf{r})$



CoQuí Numerical Setup

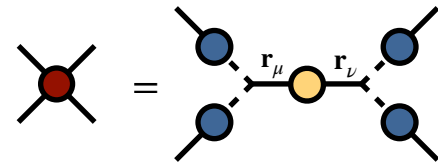


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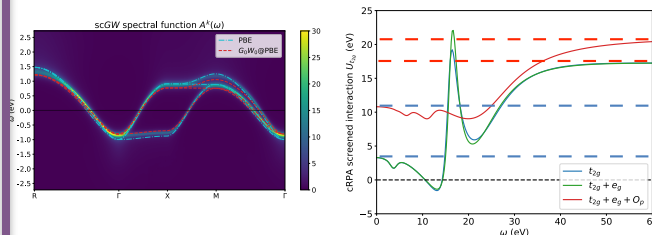
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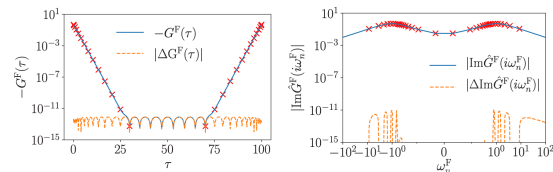
THC compression for many-body Hamiltonian



Low-scaling
electronic structure
beyond DFT



Compact representation
of correlation functions



CoQuí Numerical Setup

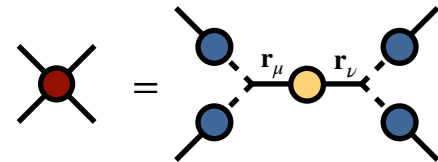


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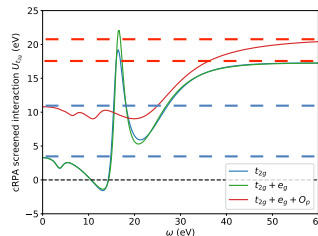
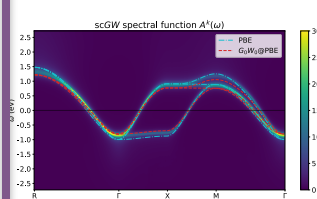
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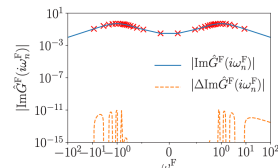
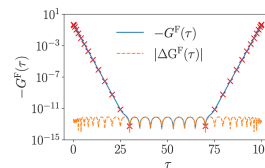
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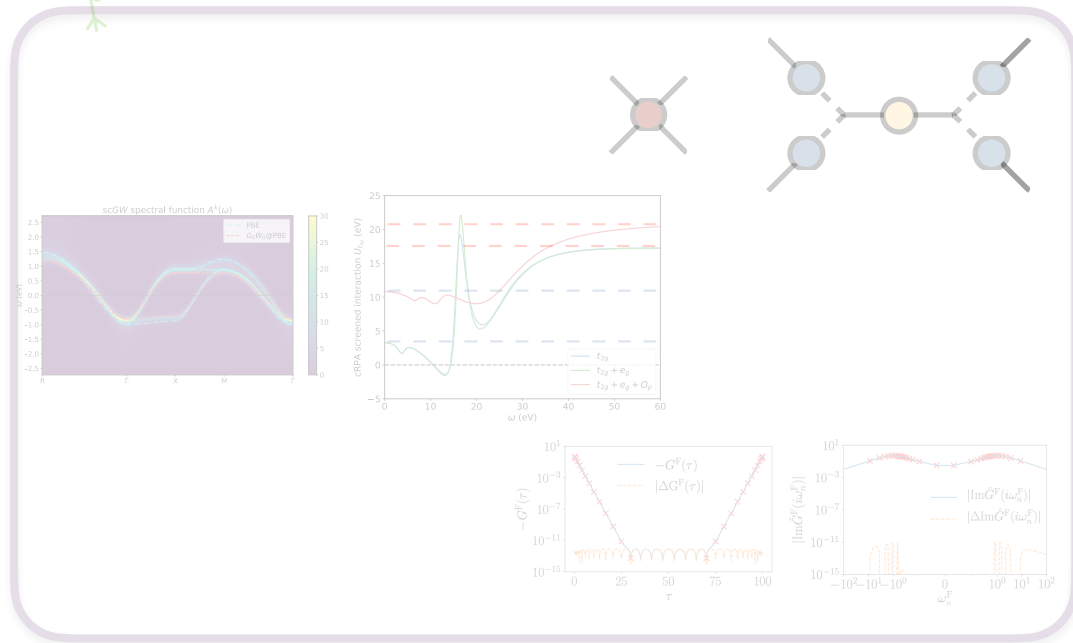
Numerical Pillars of CoQuí's efficiency

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*How do we prepare the
Bloch basis?*



From DFT to CoQuí



From KS-DFT...

$$\left[-\frac{1}{2}\nabla^2 + v_{\text{ext}}(\mathbf{r}) + v_{\text{H}}(\mathbf{r}) + v_{\text{xc}}(\mathbf{r}) \right] \phi_i^{\mathbf{k}}(\mathbf{r}) = \epsilon_i^{\mathbf{k}} \phi_i^{\mathbf{k}}(\mathbf{r})$$

We know...

$\{\epsilon_i^{\mathbf{k}}, \phi_i^{\mathbf{k}}(\mathbf{r})\}$ are *auxiliary single-particle states* to describe the the *KS non-interacting* system.

From DFT to CoQuí



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Key insight:

Use KS orbitals $\phi_i^{\mathbf{k}}(\mathbf{r})$ as a *material-adapted* single-particle *basis*

From DFT to CoQuí



Converters make external DFT results readable in CoQuí



CoQuí

"Agnostic to DFT codes"

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All CoQuí functionality originates from the second quantized Hamiltonian in the user-provided basis:

$$H^{\text{bare}} = \sum_{ij} \sum_{\mathbf{k}} (H_0)_{ij}^{\mathbf{k}} c_i^{\mathbf{k}\dagger} c_j^{\mathbf{k}} + \frac{1}{2} \sum_{ijkl} \sum_{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3\mathbf{k}_4} V_{ijkl}^{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3\mathbf{k}_4} c_i^{\mathbf{k}_1\dagger} c_k^{\mathbf{k}_3\dagger} c_l^{\mathbf{k}_4} c_j^{\mathbf{k}_2}$$

"Bare" Coulomb interactions:

$$V_{ijkl}^{\mathbf{k}_1\mathbf{k}_2\mathbf{k}_3\mathbf{k}_4} = \iint d\mathbf{r} d\mathbf{r}' \phi_i^{\mathbf{k}_1*}(\mathbf{r}) \phi_j^{\mathbf{k}_2}(\mathbf{r}) \frac{1}{|\mathbf{r} - \mathbf{r}'|} \phi_k^{\mathbf{k}_3*}(\mathbf{r}') \phi_l^{\mathbf{k}_4}(\mathbf{r}') \sim O(10) \text{ eV}$$

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CoQuí

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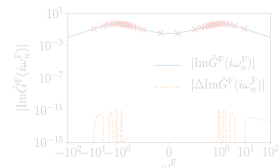
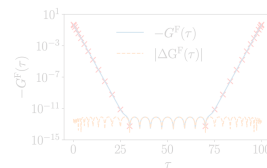
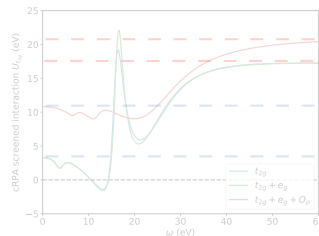
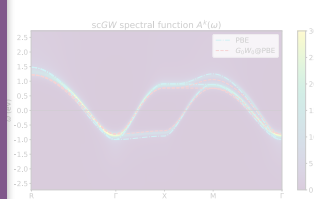
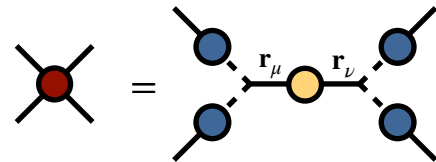
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Memory cost quickly becomes unmanageable...

CoQuí Numerical Setup



THC compression for many-body Hamiltonian



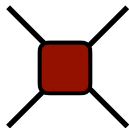
Numerical Pillars of CoQuí's efficiency

Tensor Hypercontraction (THC)

- **Systematic compression of bare Coulomb integrals:**

$$V_{ijkl}^{\mathbf{k}_i \mathbf{k}_j \mathbf{k}_k \mathbf{k}_l} = \int d\mathbf{r} \int d\mathbf{r}' \phi_i^{\mathbf{k}_i*}(\mathbf{r}) \phi_j^{\mathbf{k}_j}(\mathbf{r}) \frac{1}{|\mathbf{r} - \mathbf{r}'|} \phi_k^{\mathbf{k}_k*}(\mathbf{r}') \phi_l^{\mathbf{k}_l}(\mathbf{r}')$$

Building blocks for microscopic many-body theories



- Memory: $O(N_k^3 N_{\text{orb}}^4)$

ISDF:

Lu and Ying, JCP 302, 329-335 (2015)

Lu and Ying, Ann. Math. Sci. Appl. 1, 321 (2016)

Momentum-dependent ISDF:

Yeh and Morales, JCTC 19, 6197–6207 (2023)

Symmetry-adapted ISDF:

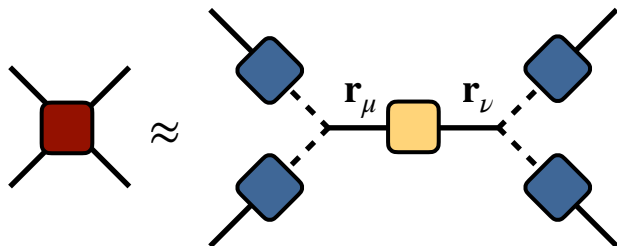
Yeh and Morales, JCTC, 20, 8, 3184–3198 (2024)

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Building blocks for microscopic many-body theories



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ISDF:

Lu and Ying, JCP 302, 329-335 (2015)

Lu and Ying, Ann. Math. Sci. Appl. 1, 321 (2016)

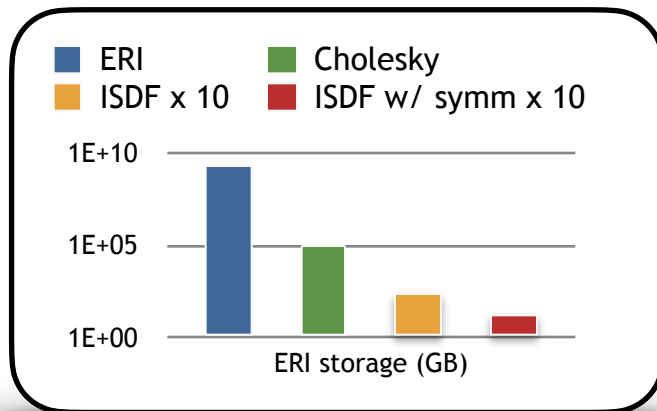
Momentum-dependent ISDF:

Yeh and Morales, JCTC 19, 6197-6207 (2023)

Symmetry-adapted ISDF:

Yeh and Morales, JCTC, 20, 8, 3184-3198 (2024)

$$\mathbf{q} = \mathbf{k}_i - \mathbf{k}_j = \mathbf{k}_l - \mathbf{k}_k$$

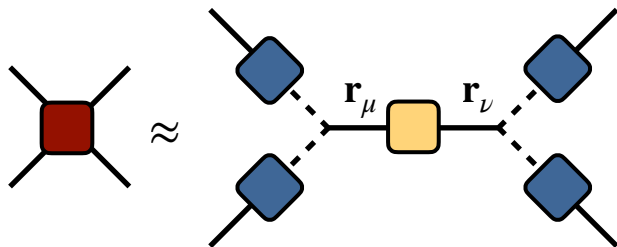


Tensor Hypercontraction (THC)

- **Systematic compression of bare Coulomb integrals:**

$$V_{ijkl}^{\mathbf{k}_i \mathbf{k}_j \mathbf{k}_k \mathbf{k}_l} = \int d\mathbf{r} \int d\mathbf{r}' \phi_i^{\mathbf{k}_i*}(\mathbf{r}) \phi_j^{\mathbf{k}_j}(\mathbf{r}) \frac{1}{|\mathbf{r} - \mathbf{r}'|} \phi_k^{\mathbf{k}_k*}(\mathbf{r}') \phi_l^{\mathbf{k}_l}(\mathbf{r}') \approx \sum_{\mu\nu}^{N_\mu} \phi_i^{\mathbf{k}_i*}(\mathbf{r}_\mu) \phi_j^{\mathbf{k}_j}(\mathbf{r}_\mu) V_{\mu\nu}^{\mathbf{q}} \phi_k^{\mathbf{k}_k*}(\mathbf{r}_\nu) \phi_l^{\mathbf{k}_l}(\mathbf{r}_\nu)$$

Building blocks for microscopic many-body theories



$$\mathbf{q} = \mathbf{k}_i - \mathbf{k}_j = \mathbf{k}_l - \mathbf{k}_k$$

- Memory: $O(N_k^3 N_{\text{orb}}^4) \rightarrow O(N_k N_{\text{orb}}^2)$
- Utilize the low-rank structure of $\phi_i^{\mathbf{k}_i*}(\mathbf{r}) \phi_j^{\mathbf{k}_j}(\mathbf{r})$
 - Accuracy is controlled by the size of $\{\mathbf{r}_\mu\}_{\mu=1}^{N_\mu}$, $N_\mu = \alpha N_{\text{orb}} \ll O(N_r)$
 - $N_\mu \approx 10 N_{\text{orb}}$ for 10^{-3} a.u. accuracy

ISDF:

Lu and Ying, JCP 302, 329-335 (2015)

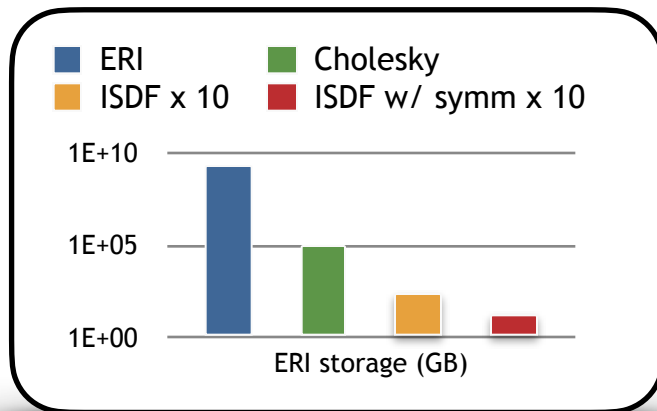
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Yeh and Morales, JCTC, 20, 8, 3184–3198 (2024)



Reformulation of GW in the ISDF representation

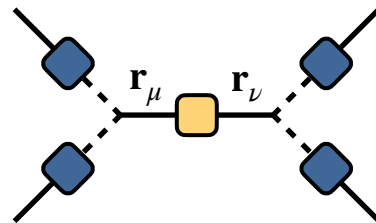
Yeh and Morales, JCTC, 20, 8, 3184–3198 (2024)

GW approximation: $\Sigma^{\text{GW}}(\tau) = -G(\tau)W(\tau)$

Tensor hypercontraction

$V =$ 

$$\approx \sum_{\mu\nu} \phi_i^{\mathbf{k}_i^*}(\mathbf{r}_\mu) \phi_j^{\mathbf{k}_j}(\mathbf{r}_\mu) V_{\mu\nu}^{\mathbf{q}} \phi_k^{\mathbf{k}_k^*}(\mathbf{r}_\nu) \phi_l^{\mathbf{k}_l}(\mathbf{r}_\nu)$$



Reformulation of GW in the ISDF representation

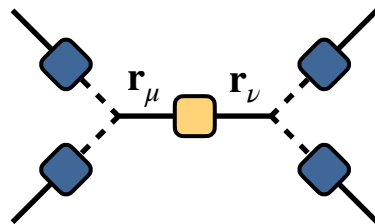
Yeh and Morales, JCTC, 20, 8, 3184–3198 (2024)

GW approximation: $\Sigma^{\text{GW}}(\tau) = -G(\tau)W(\tau)$

Tensor hypercontraction

$$V = \text{wavy line}$$

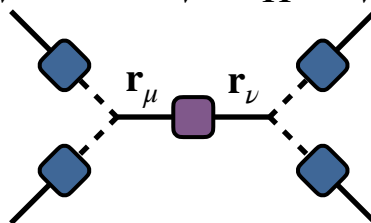
$$\approx \sum_{\mu\nu} \phi_i^{\mathbf{k}_i^*}(\mathbf{r}_\mu) \phi_j^{\mathbf{k}_j}(\mathbf{r}_\mu) V_{\mu\nu}^{\mathbf{q}} \phi_k^{\mathbf{k}_k^*}(\mathbf{r}_\nu) \phi_l^{\mathbf{k}_l}(\mathbf{r}_\nu)$$



RPA long-range screening:

$$W = V + V \Pi V + V \Pi V \Pi V + \dots$$

$$\approx \sum_{\mu\nu} \phi_i^{\mathbf{k}_i^*}(\mathbf{r}_\mu) \phi_j^{\mathbf{k}_j}(\mathbf{r}_\mu) W_{\mu\nu}^{\mathbf{q}}(\tau) \phi_k^{\mathbf{k}_k^*}(\mathbf{r}_\nu) \phi_l^{\mathbf{k}_l}(\mathbf{r}_\nu)$$



Reformulation of GW in the ISDF representation

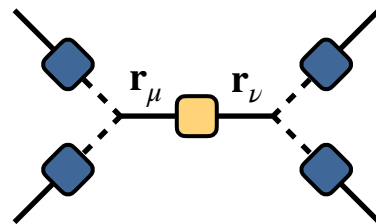
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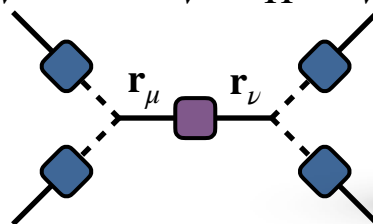
$$\approx \sum_{\mu\nu}^{N_\mu} \phi_i^{\mathbf{k}_i^*}(\mathbf{r}_\mu) \phi_j^{\mathbf{k}_j}(\mathbf{r}_\mu) V_{\mu\nu}^{\mathbf{q}} \phi_k^{\mathbf{k}_k^*}(\mathbf{r}_\nu) \phi_l^{\mathbf{k}_l}(\mathbf{r}_\nu)$$



RPA long-range screening:

$$W = V + V \Pi V + V \Pi V + \dots$$

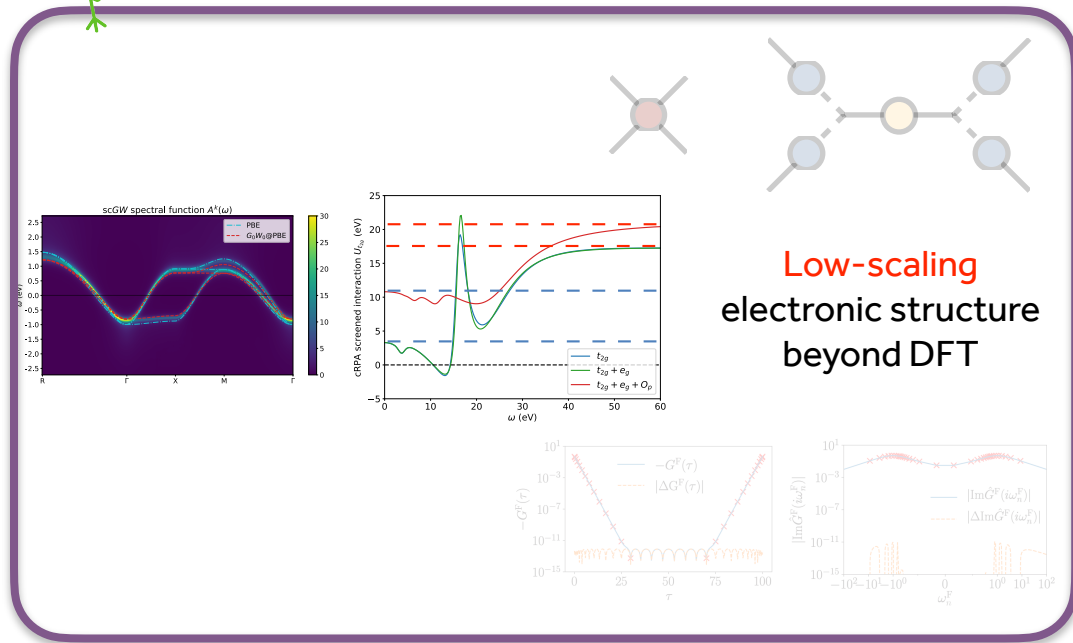
$$\approx \sum_{\mu\nu}^{N_\mu} \phi_i^{\mathbf{k}_i^*}(\mathbf{r}_\mu) \phi_j^{\mathbf{k}_j}(\mathbf{r}_\mu) W_{\mu\nu}^{\mathbf{q}}(\tau) \phi_k^{\mathbf{k}_k^*}(\mathbf{r}_\nu) \phi_l^{\mathbf{k}_l}(\mathbf{r}_\nu)$$



THC-GW:

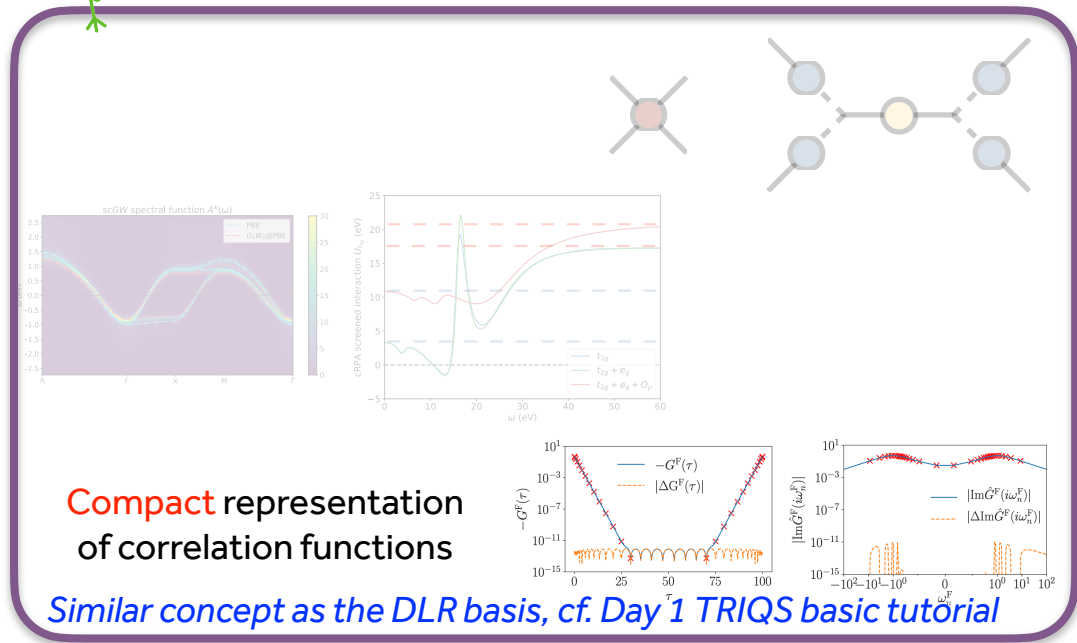
Low-scaling algorithm at $O(N_\tau N_\mu^3 N_{k_{\text{ibz}}})$ cost by taking advantages of THC

CoQuí Numerical Setup



Numerical Pillars of CoQuí's efficiency

CoQuí Numerical Setup



Numerical Pillars of CoQuí's efficiency

CoQuí Summary



CoQuí is a package for electronic structure package beyond DFT, built on

- **THC compression** for Coulomb Hamiltonian
- **Low-scaling algorithms** for many-body electronic structure solvers
- **Compact representations** of correlation functions

*CoQuí is not limited to GW+EDMFT — it is a **general, scalable platform** for many-body electronic structure methods beyond DFT*

What can CoQuí do right now?

c.f. CoQuí repository

Compressed Representation for Many-Body Hamiltonians

- THC representation for two-electron Coulomb integrals [[ref1](#), [ref2](#)].
- Cholesky decomposition for two-electron Coulomb integrals.

Many-Body Perturbation Theory

- Hartree-Fock [[ref](#)]
- RPA correlation energy [[ref](#)]
- GW approximation [[ref](#)]
- Second-order exchange (SOX) diagram [[ref](#)]
- Self-consistency with quasiparticle approximation
- Self-consistency with full frequency dependence

Downfolding for effective low-energy Hamiltonians

- Maximally localized Wannier functions via Wannier90 interface
- Constrained RPA to calculate screened interactions [[ref](#)]
- Local effective low-energy Hamiltonian for further correlated calculations [[ref](#)]

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Compressed Representation for Many-Body Hamiltonians

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- RPA correlation energy [[ref](#)]
- GW approximation [[ref](#)] [Tutorial 2](#)
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Downfolding for effective low-energy Hamiltonians

- Maximally localized Wannier functions via Wannier90 interface [Tutorial 1](#)
- Constrained RPA to calculate screened interactions [[ref](#)] [Tutorial 3](#)
- Local effective low-energy Hamiltonian for further correlated calculations [[ref](#)]

[Tutorial 4](#)

Thank you.



Additional slides

CoQuí interface: Python v.s. Input Files

Python interface

```
# hf.py
# Declare the target system
mf_params = {
    "prefix": "svo",
    "outdir": "./out"
}
svo_mf = coqui.make_mf(mpi, params=mf_params, mf_type="qe")

# Build the Coulomb Hamiltonian
eri_params = {
    "ecut": 40,
    "thresh": 1e-3,
}
svo_thc = coqui.make_thc_coulomb(mf=svo_mf, params=eri_params)

# Hartree-Fock
hf_params = {
    "beta": 300, "wmax": 3.0,
    "output": "svo_hf",
}
coqui.run_hf(params=hf_params, h_int=svo_thc)
```

Input-file-driven interface

```
# hf.toml
[mean_field.qe]
name      = "svo_mf"
prefix    = "svo"
outdir    = "./out"

[interaction.thc]
name       = "svo_thc"
mean_field = "svo_mf"
ecut       = 40
thresh     = 1e-3

[hf]
interaction = "svo_thc"
beta        = 300
wmax        = 3.0
output      = "svo_hf"
```

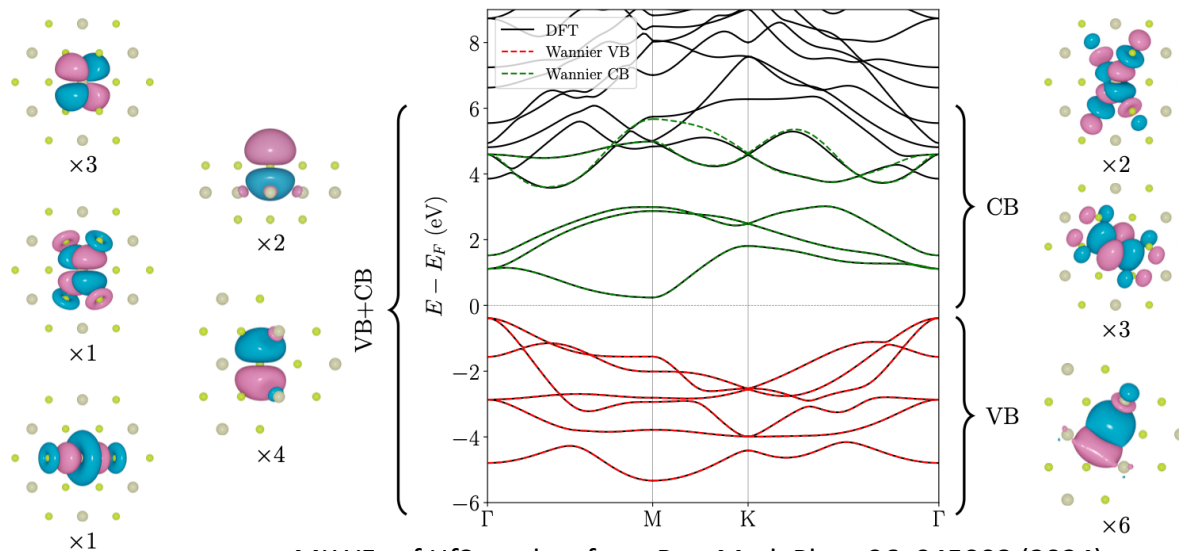
CoQuí Feature: Wannier90 interface

N. Marzari and D. Vanderbilt,
PRB 56, 12847 (1997)

$$\text{Output: MLWFs} \leftarrow |\chi_a^{\mathbf{R}}\rangle = \frac{V}{2\pi^3} \int_{\text{BZ}} \left[\sum_i U_{ai}^{\mathbf{k}} |\phi_i^{\mathbf{k}}\rangle \right] e^{-i\mathbf{k}\cdot\mathbf{R}} d\mathbf{k}$$

Iteratively optimized for
maximal localization

Input: Ab initio KS orbitals



MLWFs of HfSe₂ taken from Rev. Mod. Phys. 96, 045008 (2024)

CoQuí Feature: Wannier90 interface

N. Marzari and D. Vanderbilt,
PRB 56, 12847 (1997)

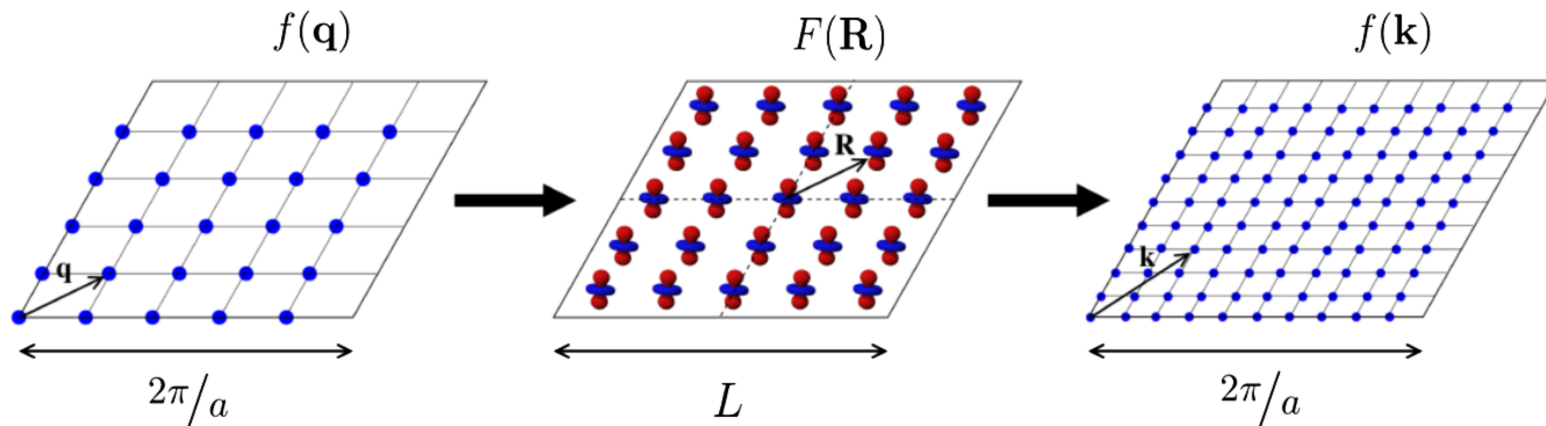
$$\text{Output: MLWFs} \leftarrow |\chi_a^{\mathbf{R}}\rangle = \frac{V}{2\pi^3} \int_{\text{BZ}} \left[\sum_i U_{ai}^{\mathbf{k}} |\phi_i^{\mathbf{k}}\rangle \right] e^{-\mathbf{k}\mathbf{R}} d\mathbf{k}$$

Iteratively optimized for
maximal localization

Input: Ab initio KS orbitals

- **Wannier interpolation** for many-body solutions at **fine k-resolution**

N. Marzari, *et. al.*
RMP. 84, 1419 (2012)



CoQuí Feature: Wannier90 interface

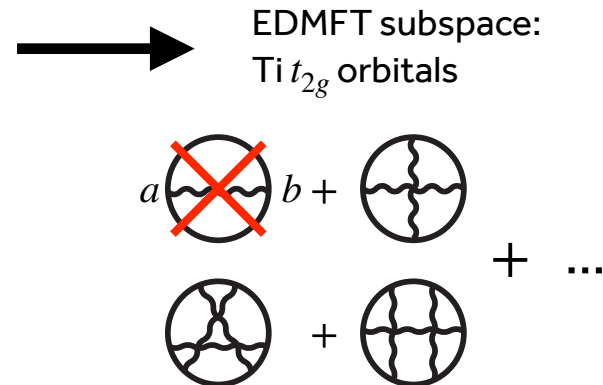
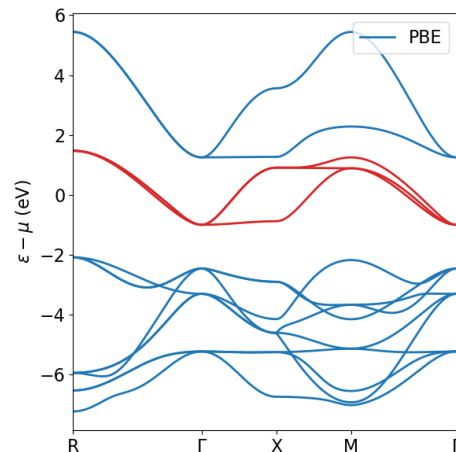
N. Marzari and D. Vanderbilt,
PRB 56, 12847 (1997)

$$\text{Output: MLWFs} \leftarrow |\chi_a^{\mathbf{R}}\rangle = \frac{V}{2\pi^3} \int_{\text{BZ}} \left[\sum_i U_{ai}^{\mathbf{k}} |\phi_i^{\mathbf{k}}\rangle \right] e^{-i\mathbf{k}\cdot\mathbf{R}} d\mathbf{k}$$

Iteratively optimized for
maximal localization

Input: Ab initio KS orbitals

- Physically motivated subspaces for embedding methods such as DMFT



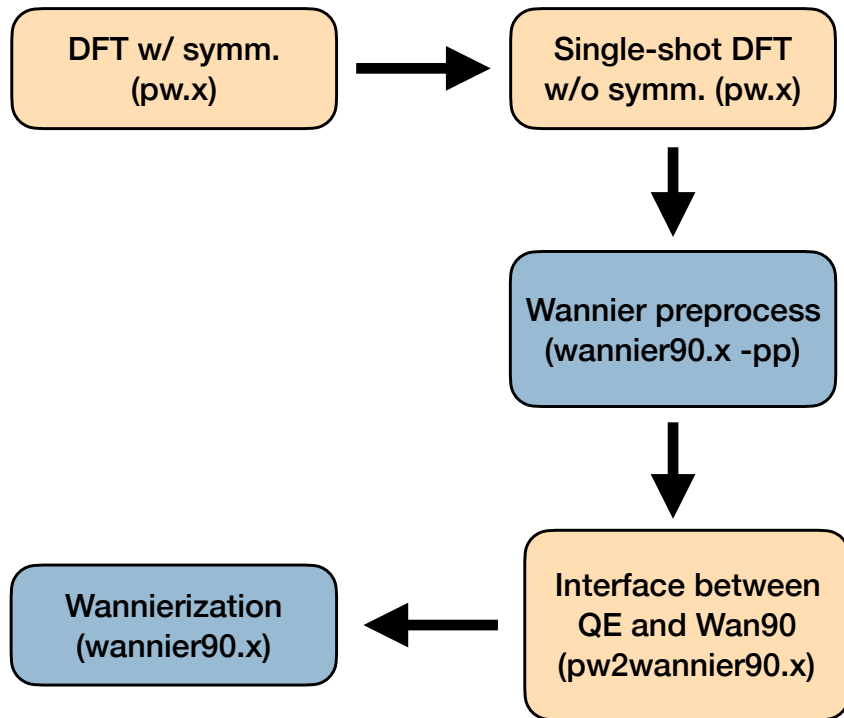
CoQuí Feature: Wannier90 interface



+

WANNIER90

Interface



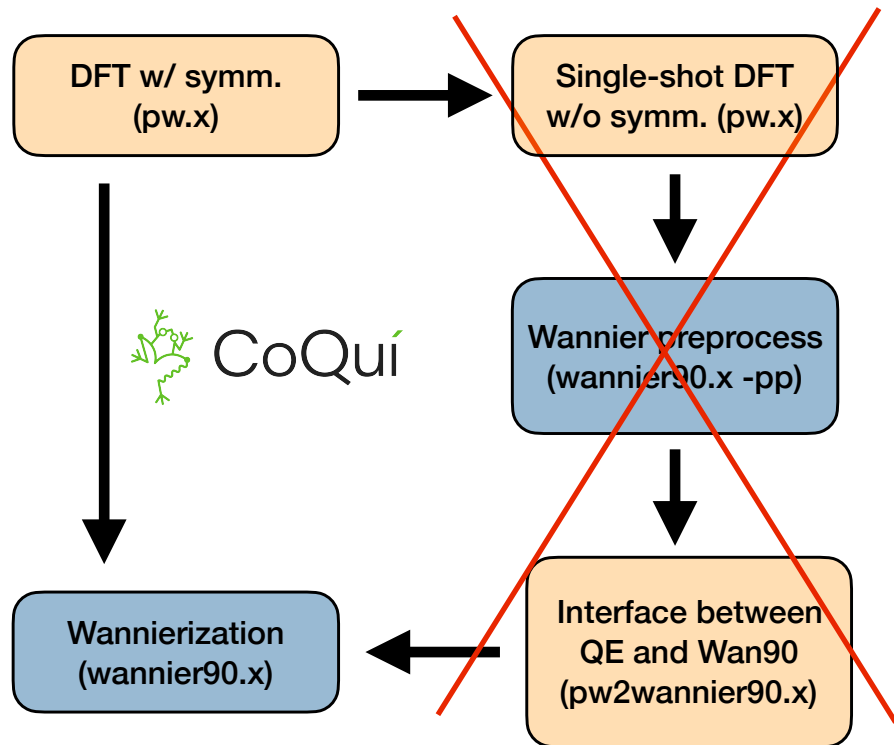
CoQuí Feature: Wannier90 interface



+

WANNIER90

Interface



Tutorial 1

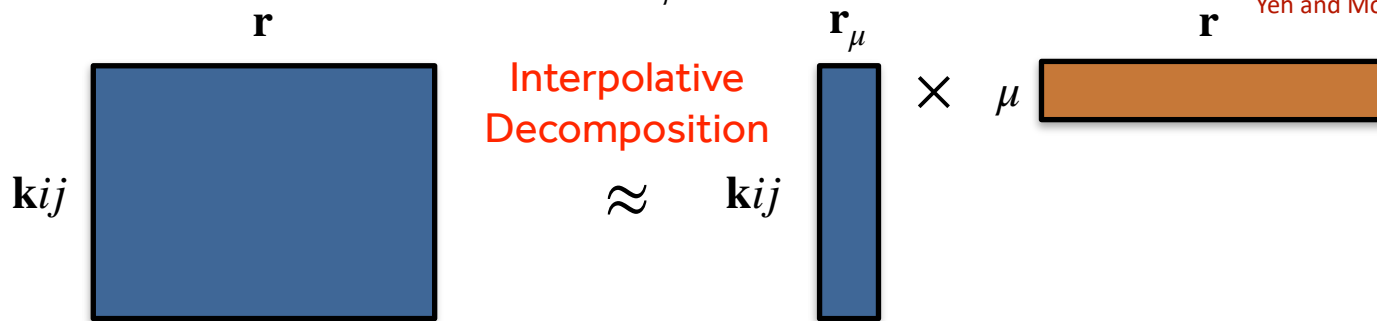
```
# construct MF from a dictionary
mf_params = {
    "prefix": "svo",
    "outdir": "./out",
}
svo_mf = coqui.make_mf(mpi, params=mf_params, mf_type="qe")

# construct MLWFs via calling Wannier90 in the library mode
w90_params = {
    "prefix": "svo", # equivalent to wannier90's seedname
}
coqui.wannier90(mf=svo_mf, params=w90_params)
```

Interpolative Separable Density Fitting (ISDF)

Given a crystal momentum $\mathbf{q}$:

$$\phi_i^{\mathbf{k}-\mathbf{q}^*}(\mathbf{r})\phi_j^{\mathbf{k}}(\mathbf{r}) \approx \sum_{\mu}^{N_{\mu}} \left[\phi_i^{\mathbf{k}-\mathbf{q}^*}(\mathbf{r}_{\mu})\phi_j^{\mathbf{k}}(\mathbf{r}_{\mu}) \right] \zeta_{\mu}^{\mathbf{q}}(\mathbf{r})$$



ISDF:

Lu and Ying, JCP 302, 329-335 (2015)

Lu and Ying, Ann. Math. Sci. Appl. 1, 321 (2016)

Momentum-dependent ISDF:

Yeh and Morales, JCTC 19, 6197-6207 (2023)

Symmetry-adapted ISDF:

Yeh and Morales, JCTC, 20, 8, 3184-3198 (2024)

- The column space $\{\mathbf{r}\}$ is represented by selected columns at **the interpolating points** $\{\mathbf{r}_{\mu}\}_{\mu=1}^{N_{\mu}}$
- $\phi_i^{\mathbf{k}-\mathbf{q}^*}(\mathbf{r})\phi_j^{\mathbf{k}}(\mathbf{r})$ at arbitrary $\mathbf{r}$ is reconstructed through interpolation using the **interpolating vectors** $\{\zeta_{\mu}^{\mathbf{q}}(\mathbf{r})\}_{\mu=1}^{N_{\mu}}$
- **Fully separable** in orbital and $\mathbf{k}$ -point indices
- Accuracy is controlled by the size of $\{\mathbf{r}_{\mu}\}_{\mu=1}^{N_{\mu}}$, $N_{\mu} = \alpha N_{\text{orb}} \ll O(N_r)$

Interpolative Separable Density Fitting (ISDF)

$$\phi_i^{\mathbf{k}-\mathbf{q}*}(\mathbf{r})\phi_j^{\mathbf{k}}(\mathbf{r}) \approx \sum_{\mu}^{N_{\mu}} \left[\phi_i^{\mathbf{k}-\mathbf{q}*}(\mathbf{r}_{\mu})\phi_j^{\mathbf{k}}(\mathbf{r}_{\mu}) \right] \zeta_{\mu}^{\mathbf{q}}(\mathbf{r})$$

ISDF:

Lu and Ying, JCP 302, 329-335 (2015)

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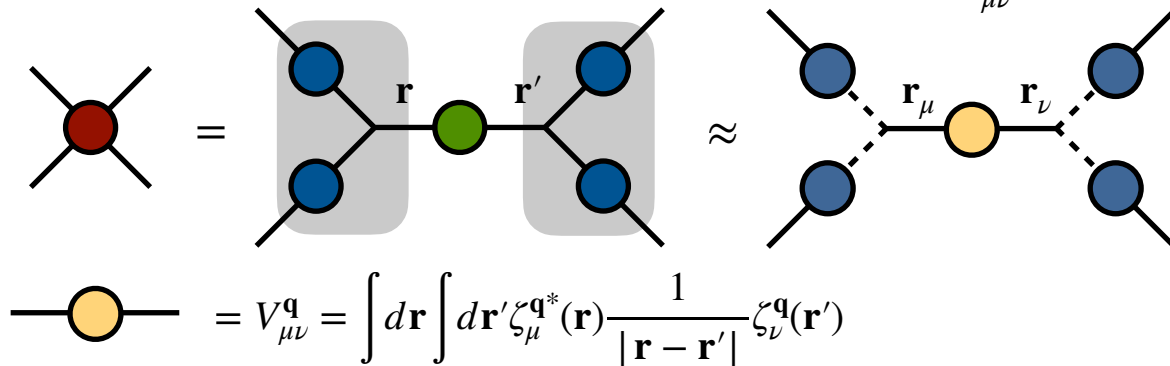
Symmetry-adapted ISDF:

Yeh and Morales, JCTC, 20, 8, 3184-3198 (2024)

• Tensor hypercontraction (THC) representation of ERIs:

$$\mathbf{q} = \mathbf{k}_i - \mathbf{k}_j = \mathbf{k}_l - \mathbf{k}_k$$

$$V_{ijkl}^{\mathbf{k}_i\mathbf{k}_j\mathbf{k}_k\mathbf{k}_l} = \int d\mathbf{r} \int d\mathbf{r}' \phi_i^{\mathbf{k}_i*}(\mathbf{r})\phi_j^{\mathbf{k}_j}(\mathbf{r}) \frac{1}{|\mathbf{r} - \mathbf{r}'|} \phi_k^{\mathbf{k}_k*}(\mathbf{r}')\phi_l^{\mathbf{k}_l}(\mathbf{r}') \approx \sum_{\mu\nu}^{N_{\mu}} \phi_i^{\mathbf{k}_i*}(\mathbf{r}_{\mu})\phi_j^{\mathbf{k}_j}(\mathbf{r}_{\mu}) V_{\mu\nu}^{\mathbf{q}} \phi_k^{\mathbf{k}_k*}(\mathbf{r}_{\nu})\phi_l^{\mathbf{k}_l}(\mathbf{r}_{\nu})$$



Reformulation of GW in the ISDF representation

$$\Sigma_{ij}^{\mathbf{k}}(\tau) = - \sum_{\mathbf{q}} \sum_{ab} G_{ab}^{\mathbf{k}-\mathbf{q}}(\tau) W_{i a b j}^{\mathbf{k}, \mathbf{k}-\mathbf{q}, \mathbf{k}-\mathbf{q}, \mathbf{k}}(\tau)$$

$$W_{i a b j}^{\mathbf{k}, \mathbf{k}-\mathbf{q}, \mathbf{k}-\mathbf{q}, \mathbf{k}}(\tau) \approx \sum_{\mu\nu}^{N_\mu} \phi_i^{\mathbf{k}*}(\mathbf{r}_\mu) \phi_a^{\mathbf{k}-\mathbf{q}}(\mathbf{r}_\mu) W_{\mu\nu}^{\mathbf{q}}(\tau) \phi_b^{\mathbf{k}-\mathbf{q}*}(\mathbf{r}_\nu) \phi_j^{\mathbf{k}}(\mathbf{r}_\nu)$$

Yeh and Morales, JCTC, 20, 8, 3184–3198 (2024)

$O(N_\tau N_\mu^3 N_{k_{\text{ibz}}})$ cost

w/ space-group symmetries

- Fast algorithms for $\Sigma_{ij}^{\mathbf{k}}(\tau)$ and $W_{\mu\nu}^{\mathbf{q}}(\tau)$

$$\Sigma_{\mu\nu}^{\mathbf{R}}(\tau) = - G^{\mathbf{R}}(\mathbf{r}_\mu, \mathbf{r}_\nu; \tau) W_{\mu\nu}^{\mathbf{R}}(\tau)$$

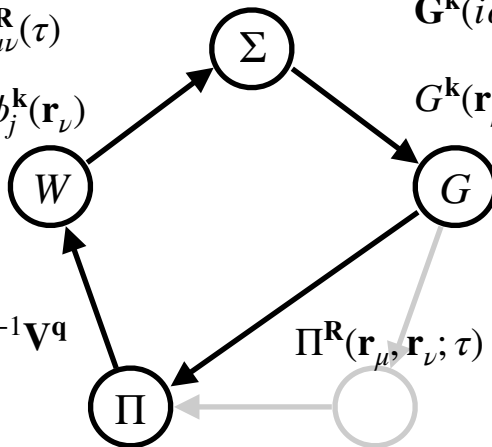
$$\Sigma_{ij}^{\mathbf{k}}(\tau) = \sum_{\mu\nu} \phi_i^{\mathbf{k}*}(\mathbf{r}_\nu) \Sigma_{\mu\nu}^{\mathbf{k}}(\tau) \phi_j^{\mathbf{k}}(\mathbf{r}_\nu)$$

$$\mathbf{G}^{\mathbf{k}}(i\omega_n) = [\mathbf{G}_0^{-1}(i\omega_n) - \Sigma(i\omega_n)]^{-1}$$

$$G^{\mathbf{k}}(\mathbf{r}_\mu, \mathbf{r}_\nu; \tau) = \sum_{ij} \phi_i^{\mathbf{k}}(\mathbf{r}_\mu) G_{ij}^{\mathbf{k}}(\tau) \phi_j^{\mathbf{k}*}(\mathbf{r}_\nu)$$

$$\mathbf{W}^{\mathbf{q}}(i\Omega_n) = [\mathbf{I} - \mathbf{V}^{\mathbf{q}} \Pi^{\mathbf{q}}(i\Omega_n)]^{-1} \mathbf{V}^{\mathbf{q}}$$

$$\Pi^{\mathbf{R}}(\mathbf{r}_\mu, \mathbf{r}_\nu; \tau) = G^{\mathbf{R}}(\mathbf{r}_\mu, \mathbf{r}_\nu; \tau) G^{-\mathbf{R}}(\mathbf{r}_\nu, \mathbf{r}_\mu; -\tau)$$



Compact Representation of Correlation Functions

Cf. Day 1, MB refresher & TRIQS basic tutorial

Spectral representation of correlation functions:

$$G(\tau) = \int_{-\omega_{max}}^{\omega_{max}} d\omega \left[\frac{e^{-\tau\omega}}{e^{-\beta\omega} \pm 1} \right] \rho(\omega) = \int_{-\omega_{max}}^{\omega_{max}} d\omega K(\tau, \omega) \rho(\omega) \approx \sum_{l=1}^r K(\tau, \omega_l) g_l$$

 Analytical continuation issue



Key insight:
 ill-defined nature of AC =
 low-rank structure of $K(\tau, \omega)$

Different low-rank approx. to $K(\tau, \omega)$:

- SVD in CoQuí
- Interpolative decomposition in TRIQS

Despite the choice of low-rank approx. to $K(\tau, \omega)$, the controlled parameters are always:

1. ω_{max} = range of the integrals
2. ϵ = accuracy for the low-rank approx.

Compact Representation of Correlation Functions

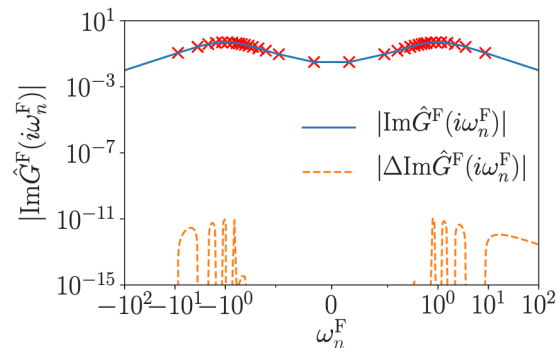
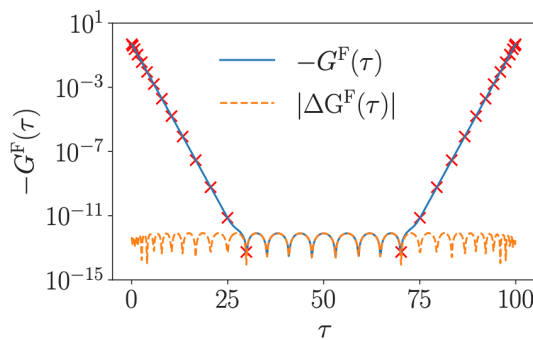
Cf. Day 1, MB refresher & TRIQS basic tutorial

Spectral representation of correlation functions:

Key insight:

ill-defined nature of AC =
low-rank structure of $K(\tau, \omega)$

$$G(\tau) = \int_{-\omega_{max}}^{\omega_{max}} d\omega \left[\frac{e^{-\tau\omega}}{e^{-\beta\omega} \pm 1} \right] \rho(\omega) = \int_{-\omega_{max}}^{\omega_{max}} d\omega K(\tau, \omega) \rho(\omega) \approx \sum_{l=1}^r K(\tau, \omega_l) g_l$$



τ and $i\omega_n$ samplings from the Intermediate Representation

Constrained RPA (cRPA)

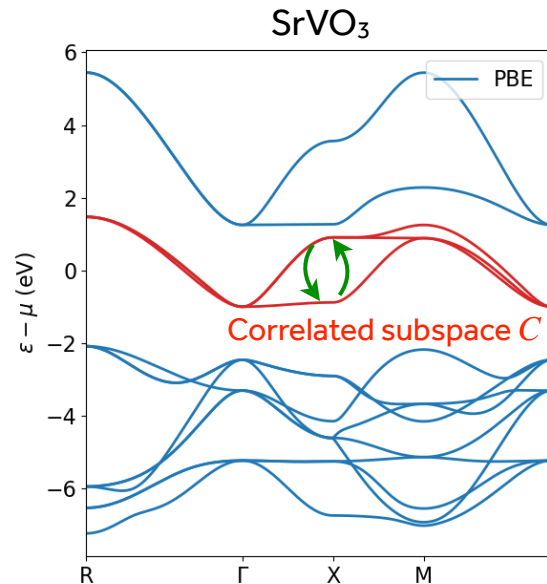
- Effective screened interactions for the correlated subspace C from first principles:

$$W^{\text{cRPA}} = V + V \tilde{\Pi} V + V \tilde{\Pi} V \tilde{\Pi} V + \dots$$

Modified non-interacting polarizability:

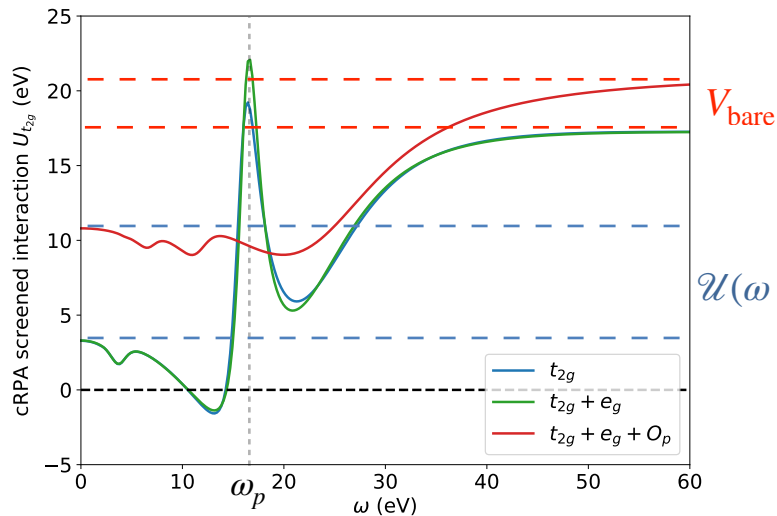
$$\tilde{\Pi}(\mathbf{r}, \mathbf{r}'; \omega) = \sum_n^{\text{occ}'} \sum_m^{\text{unocc}'} \left\{ \frac{\phi_n(\mathbf{r}') \phi_n^*(\mathbf{r}) \phi_m(\mathbf{r}) \phi_m^*(\mathbf{r}')}{\omega - \epsilon_m + \epsilon_n + i\delta} - \frac{\phi_n(\mathbf{r}) \phi_n^*(\mathbf{r}') \phi_m(\mathbf{r}') \phi_m^*(\mathbf{r})}{\omega + \epsilon_m - \epsilon_n - i\delta} \right\}$$

$$\sum_n^{\text{occ}'} \sum_m^{\text{unocc}'} = \sum_n^{\text{occ}} \sum_m^{\text{unocc}} - \sum_{n \in C}^{\text{occ}} \sum_{m \in C}^{\text{unocc}}$$



Constrained RPA (cRPA)

- Dynamical screened interactions for SrVO_3 $V t_{2g}$ orbitals



- Frequency dependence $\leftrightarrow$ Couplings of bosonic degrees of freedom e.g. particle-hole excitations and plasmons

- Characteristic energy scale ~ 15 eV at the **plasmonic excitation**

- **Two-step renormalizations:**

1. Renormalization through static $\mathcal{U}$
2. Further renormalized by the screening bosons.

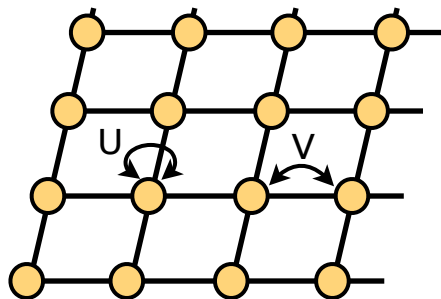
- The effective static $\mathcal{U}$ needs to be **slightly larger than $\mathcal{U}(\mathbf{w}=\mathbf{0})$** for correct mass renormalization.
 $\rightarrow$ However, this sometimes put satellites at incorrect positions!

Extended Dynamical Mean-Field Theory (EDMFT)

- Originally for Hubbard model with **inter-site interaction**

Sengupta and Georges, PRB 52, 10 295 (1995)

Si and Smith, PRL 77, 3391 (1996)

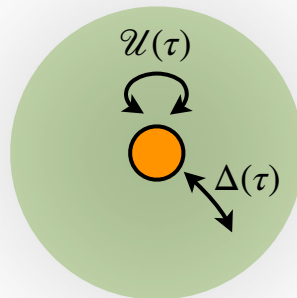


EDMFT "local"
approximation

$$\Sigma^{\mathbf{k}} = \Sigma^{\text{imp}}$$

$$\Pi^{\mathbf{q}} = \Pi^{\text{imp}}$$

- Impurity problem with **dynamic interactions**



$\mathcal{U}(\tau)$: Local dynamic
screened interactions

$\Delta(\tau)$: Embedded in
an effective bath

- $\mathcal{U}(\tau)$ induced by screening from the **inter-site interaction** V

[Similar to inter-site hopping " t " induces $\Delta(\tau)$]

- Δ and $\mathcal{U}$ are determined **self-consistently** to mimic the lattice environment.

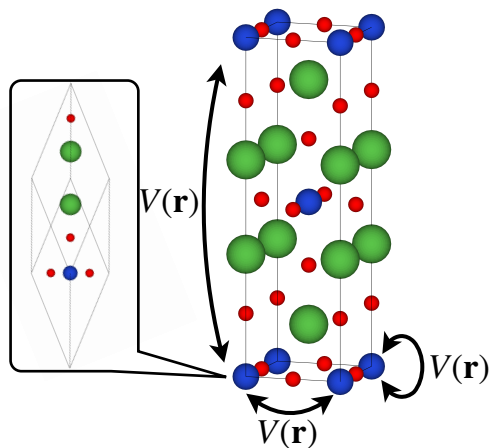


$$G_{\text{loc}} = G_{\text{imp}} = [\mathcal{G}[\Delta]^{-1} - \Sigma_{\text{imp}}]^{-1}$$

$$W_{\text{loc}} = W_{\text{imp}} = [\mathcal{U}^{-1} - \Pi_{\text{imp}}]^{-1}$$

- CT-HYB w/ retarded interaction** $\mathcal{U}(\tau)$: Werner and Millis, PRL 99, 146404 (2007)

Extended Dynamical Mean-Field Theory (EDMFT)

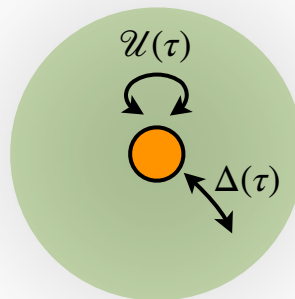


- Impurity problem with **dynamic interactions**

EDMFT "local" approximation

$$\Sigma^{\mathbf{k}} = \Sigma^{\text{imp}}$$

$$\Pi^{\mathbf{q}} = \Pi^{\text{imp}}$$



$\mathcal{U}(\tau)$: Local dynamic screened interactions
 $\Delta(\tau)$: Embedded in an effective bath

- Only a **small subset** of electronic degrees of freedom fits into the **local** EDMFT ansatz
- Non-trivial screening processes:
 - a. **Long-range Coulomb interaction** $V(\mathbf{r}) = \frac{1}{\mathbf{r}}$
 - b. Renormalization from **all-electron** degrees of freedom

Ab initio Many-Body Simulations: Numerical Challenges

Computing and storing **ab initio self-consistent screened interactions** is challenging:

$$W = V + V \Pi V + V \Pi V \Pi V + \dots$$

V: bare interactions

Π : polarizability

$$W_{ijkl}^{\mathbf{k}_1 \mathbf{k}_2 \mathbf{k}_3 \mathbf{k}_4}(i\omega) = \iint d\mathbf{r} d\mathbf{r}' \phi_i^{\mathbf{k}_1*}(\mathbf{r}) \phi_j^{\mathbf{k}_2}(\mathbf{r}) W(\mathbf{r}, \mathbf{r}'; i\omega) \phi_k^{\mathbf{k}_3*}(\mathbf{r}') \phi_l^{\mathbf{k}_4}(\mathbf{r}') \sim O(N_\omega N_k^3 N_{\text{orb}}^4)$$

- Goes **beyond Lindblad** form of Π_0 : $G \approx G_0$

~~$$\tilde{\Pi}(\mathbf{r}, \mathbf{r}'; \omega) = \sum_n^{\text{occ}} \sum_m^{\text{unocc}} \left\{ \frac{\phi_n(\mathbf{r}') \phi_n^*(\mathbf{r}) \phi_m(\mathbf{r}) \phi_m^*(\mathbf{r}')}{\omega - \epsilon_m + \epsilon_n + i\delta} - \frac{\phi_n(\mathbf{r}) \phi_n^*(\mathbf{r}') \phi_m(\mathbf{r}') \phi_m^*(\mathbf{r})}{\omega + \epsilon_m - \epsilon_n - i\delta} \right\}$$~~



$$\Pi = G * G$$

GW+EDMFT — Functional derivations

S. Biermann *et al.*, Phys. Rev. Lett. 90, 086402 (2003)

P. Sun *et al.*, Phys. Rev. B 66, 085120 (2002)

The Almbladh variational free-energy functional of an interacting system:

$$F[G, W] = \text{Tr}[\ln G] - \text{Tr}[(G_H^{-1} - G^{-1})G] - \frac{1}{2}\text{Tr}[\ln W] + \frac{1}{2}\text{Tr}[(V^{-1} - W^{-1})W] + \Psi[G, W]$$

• **Stationary condition:** $\Sigma = \frac{\delta \Psi}{\delta G} = G_H^{-1} - G^{-1}$ **Sum of all one-particle irreducible diagrams**

$\Pi[G, W] = -2 \frac{\delta \Psi}{\delta W} = V^{-1} - W^{-1}$ **Sum of all two-particle irreducible diagrams**

“Self-consistent solution (G, Σ, Π, W) of skeleton diagrams” = Stationary solution

double counting is rigorously defined

$$\Psi \approx \Psi^{\text{GW+EDMFT}}[G, W] = (\mathbf{k}, i) \text{ [bubble diagram] } \mathbf{k}, j + \text{ [diagram with crossed wavy line]} + \text{ [diagram with wavy line and bubble]} + \dots$$

GW+EDMFT — Functional derivations

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$\Pi[G, W] = -2 \frac{\delta \Psi}{\delta W} = V^{-1} - W^{-1}$ *Sum of all **two-particle** irreducible diagrams*

"Self-consistent solution (G, Σ, Π, W) of skeleton

dou

$\Psi \approx \Psi^{\text{GW+EDMFT}}[G, W] = (\mathbf{k}, i)$



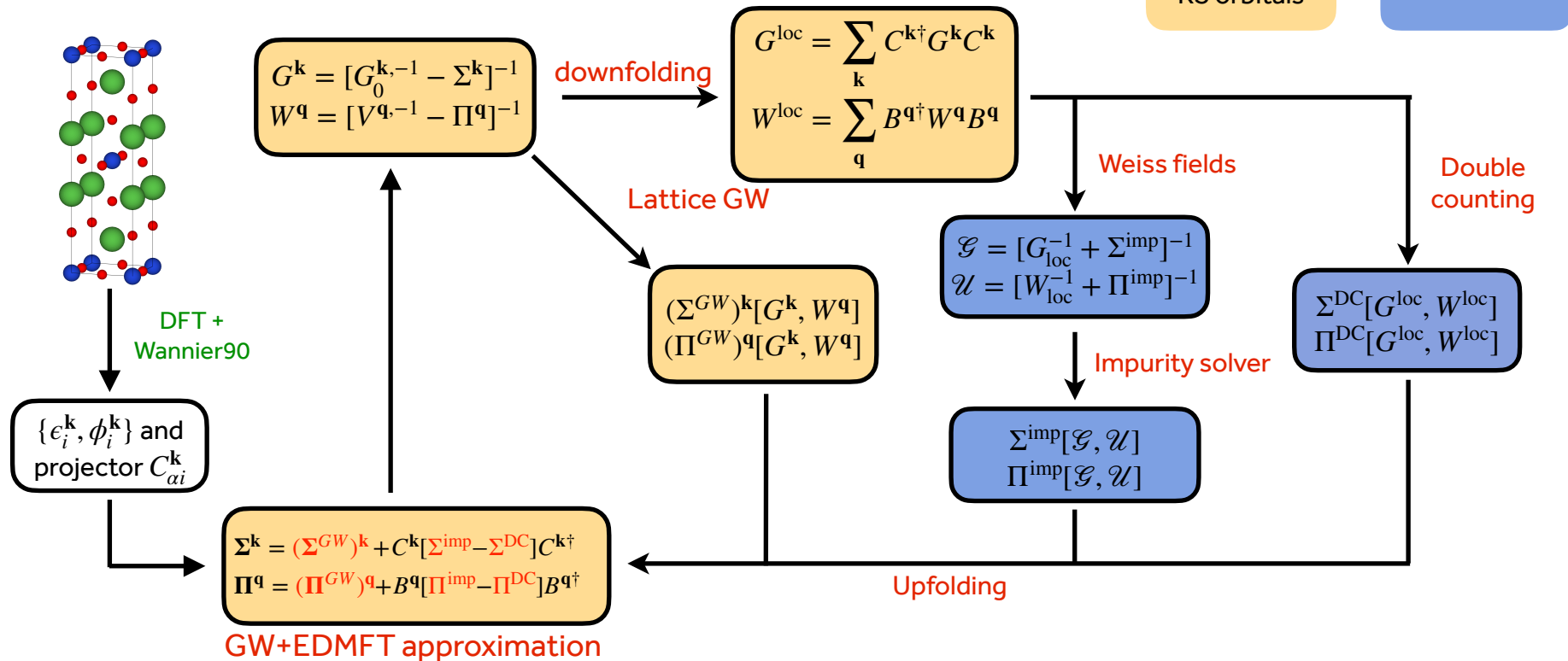
$\mathbf{k}, j$

Many-body analog of how DFT approximates the Hohenberg-Kohn energy functional

$$E^{\text{KS}}[\rho(\mathbf{r})] = T[\rho(\mathbf{r})] + E_{\text{ext}}[\rho(\mathbf{r})] + E_H[\rho(\mathbf{r})] + E_{\text{xc}}[\rho(\mathbf{r})]$$

Ab initio GW+EDMFT

Three nested self-consistency loops in different energy scales



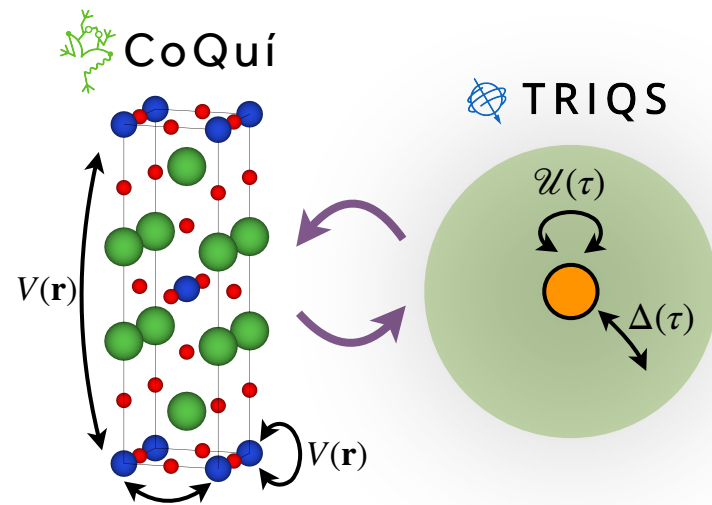
Ab initio GW+EDMFT is now available via the integration between CoQuí and TRIQS!

GW+EDMFT addresses several limitations of LDA+DMFT:

- a. Self-consistently determines impurity interactions
- b. Well-defined double counting
- c. Captures long-range correlations at the RPA level

Screening depends sensitively on

- a. The **reference state** (e.g. Metallic v.s. insulating starting point)
- b. The **orbital character** where the local vertex correction $\Delta\Pi_{GW+EDMFT}$
 - **enhances screening** for orbitals **far from half filling**
 - **suppresses screening** for orbitals **near half filling**



Many-Body Perturbation Theory

• Luttinger-Ward functional for free energy

$$\Phi[G] = -\frac{1}{2} \text{ (bubble) } -\frac{1}{2} \text{ (self-energy) } -\frac{1}{4} \text{ (polarization) } -\frac{1}{4} \text{ (polarization) } -\frac{1}{6} \text{ (triangle) } + \dots$$

$$\Sigma = \frac{\delta \Phi[G]}{\delta G}$$

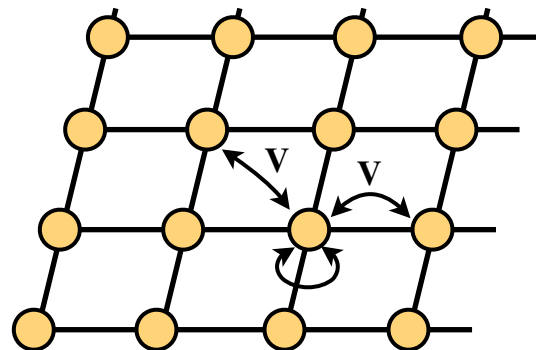
Baym and Kadanoff, Phys. Rev. 124, 287 (1961)
Baym, Phys. Rev. 127, 1391 (1962)

Dyson equation: $G^{-1} = G_0^{-1} - \Sigma$

G/G_0 : interacting/non-interacting Green's function

$E_{xc}[\rho] \leftrightarrow \Phi[G]$: many-body correlations

● : unit cell (multi-orbitals)



$E_{xc}[\rho]$: LDA, PBE, etc

$$v_{xc}(\mathbf{r}) = \frac{\delta E_{xc}[\rho]}{\delta \rho}$$

$$\rho(\mathbf{r}) = \sum_i^{N_e} |\phi_i(\mathbf{r})|^2$$

Hohenberg and Kohn, Phys. Rev. 136, B864 (1964).
Kohn and Sham, Phys. Rev. 140, A1133 (1965).

$$\left[-\frac{1}{2} \nabla^2 + v_{\text{ext}}(\mathbf{r}) + v_H(\mathbf{r}) + v_{xc}(\mathbf{r}) \right] \phi_i(\mathbf{r}) = \epsilon_i \phi_i(\mathbf{r})$$