

# Two-Particle Response (Functions)

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Sept 1 (2023)  
Centre Port-Royal, Paris



SIMONS FOUNDATION

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# TPRF

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FLATIRON  
INSTITUTE

Center for Computational  
Quantum Physics

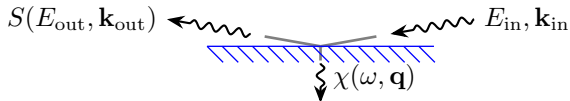
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# Why two-particles?

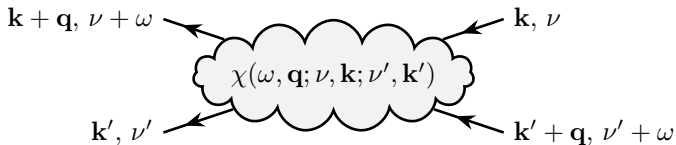
Scattering experiment

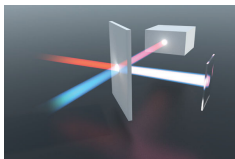


$$\omega = E_{in} - E_{out}, \quad \mathbf{q} = \mathbf{k}_{in} - \mathbf{k}_{out}$$

$$S(E_{out}, \mathbf{k}_{out}) \propto \chi(\omega, \mathbf{q}) = \sum_{\mathbf{k}\mathbf{k}'} \sum_{\nu\nu'} \chi(\omega, \mathbf{q}; \nu, \mathbf{k}; \nu', \mathbf{k}').$$

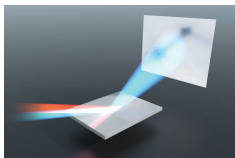
Generalized susceptibility  $\chi$





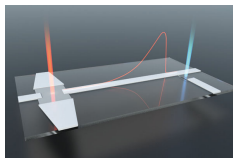
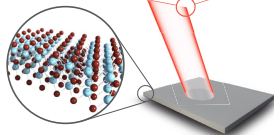
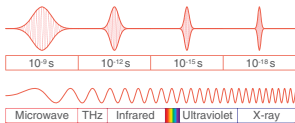
### Optical probes

- Probes dielectric properties
- Flexible in implementation (spectral range, detection scheme, environment)
- fs time resolution



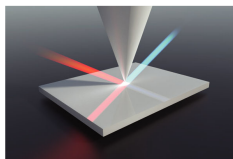
### Scattering probes

- Probes structural dynamics and dynamics of electronic degrees of freedom at elemental resonances
- Access to dispersion relations via finite momentum transfer
- fs time resolution



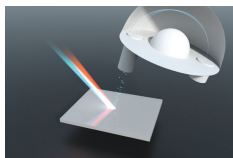
### Transport

- Probes transient photoconductivity
- Integrates well into microstructured devices
- Sub-ps time resolution



### Scanning probes

- Probes optical constants in near-field (SNOM) or tunneling currents (STM)
- fs time resolution
- nm spatial resolution



### ARPES

- Probes time- and momentum-resolved carrier dynamics, and the evolution of electronic spectral functions
- Direct probe of electronic temperature
- Tunability of energy vs. time resolution (down to  $\sim 15$  meV,  $\sim 30$  fs)

# Interacting quantum systems

- ▶ Hamiltonian  $\hat{H}$  in second quantization

$$\hat{H} = \sum_{ij} t_{ij} c_i^\dagger c_j + \sum_{ijkl} U_{ijkl} c_i^\dagger c_j^\dagger c_k c_l$$

- ▶ Hopping matrix  $t_{ij}$
- ▶ Interaction tensor  $U_{ijkl}$



# Green's functions

Single particle Green's function

$$G_{a\bar{b}}(\tau_1, \tau_2) = -\langle \mathcal{T} c_a(\tau_1) c_{\bar{b}}^\dagger(\tau_2) \rangle,$$

- ▶ create a particle in state  $b$  at time  $\tau_2$  and
- ▶ annihilate a particle in state  $a$  at time  $\tau_1$ .

Two-particle Green's function

$$G_{\bar{a}b\bar{c}d}^{(2)}(\tau_1, \tau_2, \tau_3, \tau_4) = \langle \mathcal{T} c_a^\dagger(\tau_1) c_b(\tau_2) c_c^\dagger(\tau_3) c_d(\tau_4) \rangle$$

higher order processes.

N-particle Green's function

$$G_{a_1 \dots a_{2N}}^{(N)}(\tau_1, \dots, \tau_{2N}) = \langle \mathcal{T} c_{a_1}^\dagger(\tau_1) c_{a_2}(\tau_2) \dots c_{a_{2N-1}}^\dagger(\tau_{2N-1}) c_{a_{2N}}(\tau_{2N}) \rangle$$



## External field linear response

Add an external field  $F$  to the system coupling to the operator  $\hat{B}$

$$\hat{H}_F = \hat{H} + F\hat{B}$$

The linear response of the operator  $\hat{A}$

$$\left. \frac{\partial \langle \hat{A} \rangle}{\partial F} \right|_{F=0} = \chi_{AB}$$

is given by the static **susceptibility**  $\chi_{AB} = \chi_{AB}(\omega = 0)$ .

Where  $\chi_{AB}(\omega)$  is the time dependent generalization for  $F(t) = F e^{i\omega t}$ .



## Generalized susceptibility $\chi_{AB} \rightarrow \chi_{ijkl}$

Assume  $\hat{A}$  and  $\hat{B}$  are quadratic operators

$$\hat{A} = \sum_{ij} A_{ij} c_i^\dagger c_j, \quad \hat{B} = \sum_{ij} B_{ij} c_i^\dagger c_j$$

then  $\chi_{AB}$  is given by the **generalized susceptibility**  $\chi_{ijkl}$  as the sum

$$\chi_{AB}(\omega) = \sum_{ijkl} A_{ij} \chi_{ijkl}(\omega) B_{kl}$$

where  $\chi_{ijkl}(\omega)$  is a tensor in single particle indices  $i, j, k, l$ .





## Generalized susceptibility $\chi_{ijkl} \rightarrow \chi_{ijkl}(\tau_1, \tau_2, \tau_3, \tau_4)$

Pass to imaginary time  $\omega \rightarrow \tau$

$$\chi_{ijkl}(\omega) \rightarrow \chi_{ijkl}(\tau)$$

and write  $\chi_{ijkl}$  as an explicit correlation function

$$\chi_{ijkl}(\tau) = \langle \mathcal{T}(c_i^\dagger c_j)(\tau)(c_k^\dagger c_l) \rangle - \langle c_i^\dagger c_j \rangle \langle c_k^\dagger c_l \rangle$$

Generalized time dependence

$$\begin{aligned} \chi_{ijkl}(\tau_1, \tau_2, \tau_3, \tau_4) &= \langle \mathcal{T} c_i^\dagger(\tau_1) c_j(\tau_2) c_k^\dagger(\tau_3) c_l(\tau_4) \rangle \\ &\quad - \langle c_i^\dagger(\tau_1) c_j(\tau_2) \rangle \langle c_k^\dagger(\tau_3) c_l(\tau_4) \rangle \end{aligned}$$



## Generalized susceptibility $\chi_{ijkl}(\tau_1, \tau_2, \tau_3, \tau_4) \rightarrow \chi_{ijkl}(\omega, \nu, \nu')$

$$\chi_{ijkl}(\tau_1, \tau_2, \tau_3, \tau_4) = \langle \mathcal{T} c_i^\dagger(\tau_1) c_j(\tau_2) c_k^\dagger(\tau_3) c_l(\tau_4) \rangle - \langle c_i^\dagger(\tau_1) c_j(\tau_2) \rangle \langle c_k^\dagger(\tau_3) c_l(\tau_4) \rangle$$

Connect to the two-particle Green's function

$$G_{\bar{a}\bar{b}\bar{c}\bar{d}}^{(2)}(\tau_1, \tau_2, \tau_3, \tau_4) = \langle \mathcal{T} c_a^\dagger(\tau_1) c_b(\tau_2) c_c^\dagger(\tau_3) c_d(\tau_4) \rangle$$

Rewrite using  $G^{(2)}$  and  $G_{ij}(\tau) = \langle \mathcal{T} c_i(\tau) c_j^\dagger \rangle$  gives

$$\chi_{ijkl}(\tau_1, \tau_2, \tau_3, \tau_4) = G_{\bar{i}\bar{j}\bar{k}\bar{l}}^{(2)}(\tau_1, \tau_2, \tau_3, \tau_4) - G_{ji}(\tau_2 - \tau_1) G_{lk}(\tau_4 - \tau_3)$$

Fourier transform to Matsubara frequency + frequency conservation

$$\chi_{ijkl}(\tau_1, \tau_2, \tau_3, \tau_4) \rightarrow \chi_{ijkl}(i\omega, i\nu, i\nu')$$



## Non-interacting limit

No interaction  $\Rightarrow$  Wick's theorem for the non-interacting  $\chi^{(0)}$

$$\begin{aligned}\chi_{ijkl}^{(0)}(\tau) &= \langle \mathcal{T}(c_i^\dagger c_j)(\tau)(c_k^\dagger c_l) \rangle_0 - \langle c_i^\dagger c_j \rangle_0 \langle c_k^\dagger c_l \rangle_0 \\ &= \langle \mathcal{T}c_l(-\tau)c_i^\dagger \rangle_0 \langle \mathcal{T}c_k(\tau)c_l^\dagger \rangle_0 = G_{li}^{(0)}(-\tau)G_{kj}^{(0)}(\tau)\end{aligned}$$

The direct product in  $\tau$  is the cheapest way to compute  $\chi^{(0)}(\tau)$ .

Implemented for TRIQS lattice Green's functions in TPRF:

▶ `triqs_tprf.lattice_utils.imtime_bubble_chi0_wk`



## Lindhard expression for $\chi^{(0)}$

Insert the non-interacting Green's function

$$G_{ij}^{(0)}(i\nu_n) = [i\nu_n \cdot \mathbf{1} - t]_{ij}^{-1} = \sum_a U_{ia}^\dagger \frac{1}{i\nu_n - \epsilon_a} U_{aj}$$

with  $t_{ij} = \sum_a U_{ia}^\dagger \epsilon_a U_{aj}$ .

Fourier transform to Matsubara frequency  $i\omega_n$

$$\chi_{ijkl}^{(0)}(\tau) = G_{kj}^{(0)}(\tau) G_{li}^{(0)}(-\tau) \rightarrow \chi_{ijkl}^{(0)}(i\omega_n) = T \sum_{\nu_m} G_{kj}^{(0)}(i\nu_m) G_{li}^{(0)}(i\nu_m + i\omega_n)$$

Insert  $G^{(0)}(i\nu_m)$  and sum over  $m$

$$\begin{aligned} \chi_{ijkl}^{(0)}(i\omega_n) &= \sum_{ab} U_{ka}^\dagger U_{aj} U_{lb}^\dagger U_{bi} T \sum_{\nu_m} \frac{1}{i\nu_m - \epsilon_a} \frac{1}{i\nu_m + i\omega_n - \epsilon_b} \\ &= \sum_{ab} U_{ka}^\dagger U_{aj} U_{lb}^\dagger U_{bi} \frac{f(\epsilon_a) - f(\epsilon_b)}{i\omega_n - \epsilon_a + \epsilon_b} \end{aligned}$$



## Example: Electrons on the square lattice

Nearest neighbour hopping  $t$

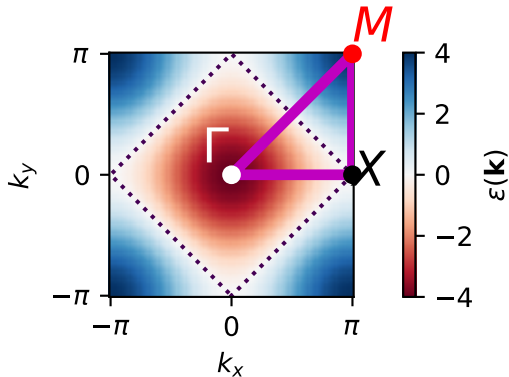
$$\hat{H} = -t \sum_{\langle ij \rangle} c_i^\dagger c_j$$

Fourier transform to  $k$ -space

$$\hat{H} = \sum_{\mathbf{k}} \epsilon(\mathbf{k}) c_{\mathbf{k}}^\dagger c_{\mathbf{k}}$$

where

$$\epsilon(\mathbf{k}) = -2t \sum_{n \in \{x,y\}} \cos(k_n)$$



High symmetry path  $\Gamma - X - M - \Gamma$

## Example: Electrons on the square lattice

Nearest neighbour hopping  $t$

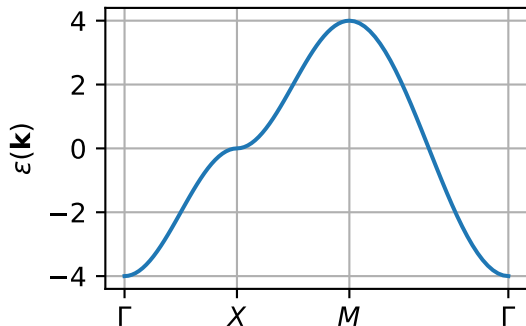
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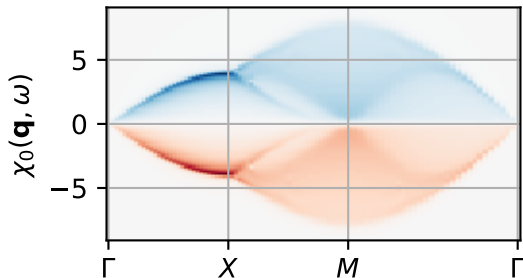
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High symmetry path  $\Gamma - X - M - \Gamma$

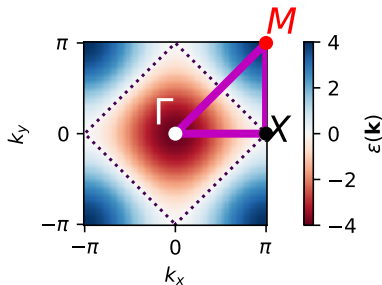
## Non-interacting susceptibility $\chi^{(0)}$

$$\chi^{(0)}(\mathbf{r}, \tau) = G^{(0)}(\mathbf{r}, \tau)G^{(0)}(-\mathbf{r}, -\tau) \rightarrow \chi^{(0)}(\mathbf{q}, \omega) = \sum_{\mathbf{k}} \frac{f(\epsilon_{\mathbf{k}}) - f(\epsilon_{\mathbf{q}+\mathbf{k}})}{\omega - \epsilon_{\mathbf{k}} + \epsilon_{\mathbf{k}+\mathbf{q}} + i\delta}$$

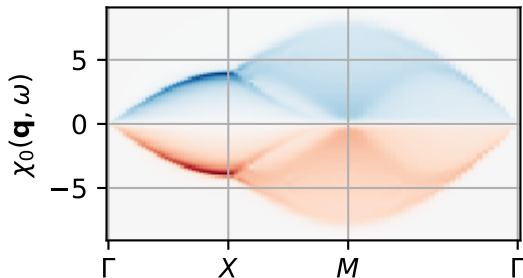


# Non-interacting susceptibility $\chi^{(0)}$

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Fermi surface nesting





## Interacting systems

Bethe-Salpeter equation

$$\chi = \chi_0 + \chi_0 \Gamma \chi \quad \rightarrow \quad (1 - \chi_0 \Gamma) \chi = \chi_0 \quad \rightarrow \quad \chi = (1 - \chi_0 \Gamma)^{-1} \chi_0$$

with the vertex function  $\Gamma$  and  $\chi_0 = GG$  (NB!  $\chi_0 \neq G^{(0)}G^{(0)}$ ).

In general  $\Gamma = \Gamma_{ijkl}(i\omega, i\nu, i\nu')$  and multiplication is a **matrix product**

$$\chi^{(0)} \Gamma = \sum_{\nu''} \sum_{ab} \chi_{ijab}^{(0)}(i\omega, i\nu, i\nu'') \Gamma_{baki}(i\omega, i\nu'', i\nu')$$

Compare with the Dyson equation for  $G$

$$G = G^{(0)} + G^{(0)} \Sigma G$$

with self-energy  $\Sigma$ .



# Random Phase Approximation (RPA)

First order approximation of the vertex function  $\Gamma = \Gamma_{ijkl}(i\omega, i\nu, i\nu')$

Hubbard model with local interaction  $U$  (NB! no frequency dependence)

$$\Gamma \propto U$$

Gives  $\chi_{RPA}$  from the Bethe-Salpeter equation

$$\chi_{RPA} = \chi_0 + \chi_0 U \chi_0 + \chi_0 U \chi_0 U \chi_0 + \chi_0 U \chi_0 U \chi_0 U \chi_0 + \dots = \chi_0 + \chi_0 U \chi_{RPA}$$

Surprisingly good!?

Captures excitations like

- ▶ **Plasmons**, collective charge fluctuations
- ▶ **Magnons**, anti-ferromagnetic and ferromagnetic instabilities



## Square lattice

Hubbard interaction

$$U = 2$$

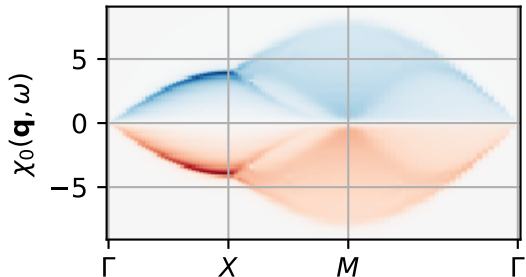
Half-filling  $\langle n \rangle = 1$

Bare susceptibility

$$\chi_0 = GG$$

RPA susceptibility

$$\chi_{RPA} = \frac{\chi_0}{1 - U\chi_0}$$



## Square lattice

Hubbard interaction

$$U = 2$$

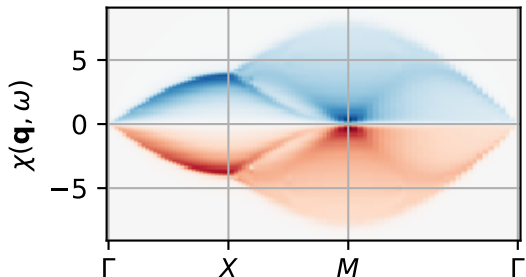
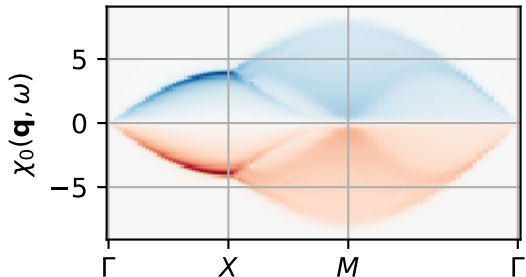
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Bare susceptibility

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RPA susceptibility

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# Single band Hubbard and spin rotational invariance

- ▶  $\chi_{ijkl}(\mathbf{q}, \omega)$  with  $i, j, k, l \in \{\uparrow, \downarrow\}$
- ▶ Spin rotational invariance  $\Leftrightarrow SU(2)$  symmetry
- ▶ Completely determined by two **components**

$$\chi_{ch} = \chi_{NN} = \sum_{ijkl} N_{ij} \chi_{ijkl} N_{kl}, \quad N_{ij} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$$

$$\chi_{sp} = \chi_{S_z S_z} = \sum_{ijkl} S_{ij}^{(z)} \chi_{ijkl} S_{kl}^{(z)}, \quad S_{ij}^{(z)} = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

Let  $\chi_{iijj} = \chi_{ij}$  then

$$\chi_{ch} = \chi_{\uparrow\uparrow} + \chi_{\uparrow\downarrow} + \chi_{\downarrow\uparrow} + \chi_{\downarrow\downarrow}$$

$$\chi_{sp} = \chi_{\uparrow\uparrow} - \chi_{\uparrow\downarrow} - \chi_{\downarrow\uparrow} + \chi_{\downarrow\downarrow}$$

## RPA bubble diagrams for $\chi_{\uparrow\uparrow}$ and $\chi_{\uparrow\downarrow}$

$$\chi_{\sigma\sigma}^{(0)} = \chi_{\sigma}^{(0)} = G_{\sigma}G_{\sigma}, \quad \chi_{\uparrow}^{(0)} = \chi_{\downarrow}^{(0)}, \quad \chi^{(0)} = \chi_{\uparrow}^{(0)} + \chi_{\downarrow}^{(0)}$$

$$\begin{aligned} \chi_{\uparrow\uparrow} \approx & \chi_{\uparrow}^{(0)} + \chi_{\uparrow}^{(0)}(-U)\chi_{\downarrow}^{(0)}(-U)\chi_{\uparrow}^{(0)} + \\ & + \chi_{\uparrow}^{(0)}(-U)\chi_{\downarrow}^{(0)}(-U)\chi_{\uparrow}^{(0)}(-U)\chi^{(0)}(-U)\chi_{\uparrow}^{(0)} + \dots \end{aligned}$$

$$\chi_{\uparrow\uparrow} \approx \frac{\chi^{(0)}}{2} + (-U)^2 \left(\frac{\chi^{(0)}}{2}\right)^3 + (-U)^4 \left(\frac{\chi^{(0)}}{2}\right)^5 + \dots$$

$$\begin{aligned} \chi_{\uparrow\downarrow} \approx & \chi_{\uparrow}^{(0)}(-U)\chi_{\downarrow}^{(0)} + \chi_{\uparrow}^{(0)}(-U)\chi_{\downarrow}^{(0)}(-U)\chi_{\uparrow}^{(0)}(-U)\chi^{(0)} + \\ & + \chi_{\uparrow}^{(0)}(-U)\chi_{\downarrow}^{(0)}(-U)\chi_{\uparrow}^{(0)}(-U)\chi^{(0)}(-U)\chi_{\uparrow}^{(0)}(-U)\chi^{(0)} + \dots \end{aligned}$$

$$\chi_{\uparrow\downarrow} \approx (-U) \left(\frac{\chi^{(0)}}{2}\right)^2 + (-U)^3 \left(\frac{\chi^{(0)}}{2}\right)^4 + (-U)^5 \left(\frac{\chi^{(0)}}{2}\right)^6 + \dots$$

## RPA bubble diagrams for $\chi_{ch}$ and $\chi_{sp}$

$$\chi_{ch} = \chi_{\uparrow\uparrow} + \chi_{\uparrow\downarrow} + \chi_{\downarrow\uparrow} + \chi_{\downarrow\downarrow} = 2(\chi_{\uparrow\uparrow} + \chi_{\uparrow\downarrow}) \approx \frac{\chi^{(0)}}{1 + \frac{U}{2}\chi^{(0)}}$$

$$\chi_{sp} = \chi_{\uparrow\uparrow} - \chi_{\uparrow\downarrow} - \chi_{\downarrow\uparrow} + \chi_{\downarrow\downarrow} = 2(\chi_{\uparrow\uparrow} - \chi_{\uparrow\downarrow}) \approx \frac{\chi^{(0)}}{1 - \frac{U}{2}\chi^{(0)}}$$

The bubble diagrams break the conservation laws

$$\frac{T}{N_q} \sum_{\mathbf{q}, \omega_n} \chi_{ch}(\mathbf{q}, i\omega_n) = \langle (\hat{n}_\uparrow + \hat{n}_\downarrow)^2 \rangle - \langle \hat{n}_\uparrow + \hat{n}_\downarrow \rangle^2$$

$$\frac{T}{N_q} \sum_{\mathbf{q}, \omega_n} \chi_{sp}(\mathbf{q}, i\omega_n) = \langle (\hat{n}_\uparrow - \hat{n}_\downarrow)^2 \rangle - \langle \hat{n}_\uparrow - \hat{n}_\downarrow \rangle^2$$

## Two-particle self-consistent approach (TPSC)

The two-particle self-consistent (TPSC) approach uses

$$\chi_{ch} = \frac{\chi^{(0)}}{1 + \frac{U_{ch}}{2} \chi^{(0)}}, \quad \chi_{sp} = \frac{\chi^{(0)}}{1 - \frac{U_{sp}}{2} \chi^{(0)}}$$

with  $U_{ch}$  and  $U_{sp}$  determined using the conservation laws.

Gives an approximation valid for weak coupling that obeys

- ▶ the Pauli principle and
- ▶ the Mermin-Wagner theorem  
(on the absence of magnetism in 2D at finite temperature)

Treated in detail by the TRIQS/tutorials

- ▶ <https://github.com/TRIQS/tutorials/>





# Square lattice in magnetic field

Local Hubbard  
interaction  $U$

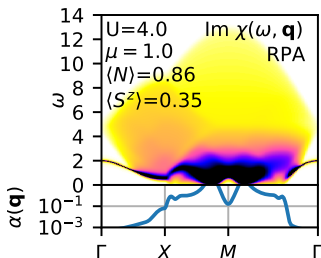
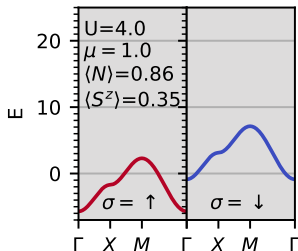
Magnetic field  $B = 2$

First order

▶ Hartree + RPA

Non-perturbative

▶ DMFT + BSE



# Square lattice in magnetic field

Local Hubbard  
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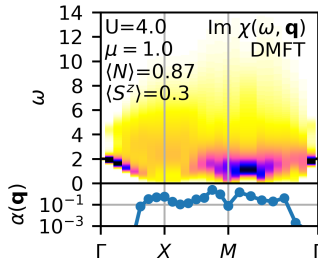
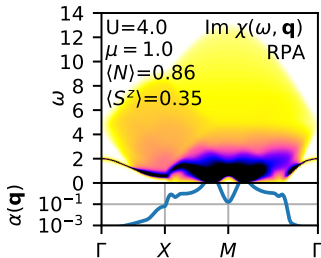
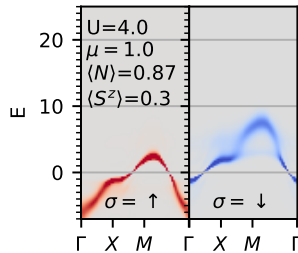
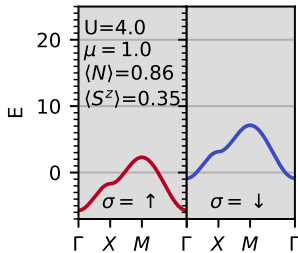
Magnetic field  $B = 2$

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# Bethe-Salpeter equation in DMFT

Bethe-Salpeter equation

$$\chi = \chi^{(0)} + \chi^{(0)} * \Gamma * \chi$$

Approximate

$$\Gamma(\mathbf{q}, \omega; \mathbf{k}, \nu, \mathbf{k}', \nu') \approx \Gamma_{imp}(\omega, \nu, \nu')$$

From the inverse of the DMFT impurity problem Bethe-Salpeter equation

$$[\Gamma_{imp}(\omega)]_{\nu\nu'} = [\chi_{imp}(\omega)]_{\nu\nu'}^{-1} - [\chi_{imp}^{(0)}(\omega)]_{\nu\nu'}^{-1}$$

Insert in the lattice Bethe-Salpeter equation

$$\chi(\mathbf{q}, \omega) = \chi_0 + \chi_0 * \Gamma_{imp} * \chi$$



# Software

- ▶ **TRIQS**

Toolbox for Research on  
Interacting Quantum Systems  
[github.com/TRIQS/triqs](https://github.com/TRIQS/triqs)

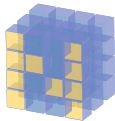
- ▶ **TRIQS/cthyb**

Continuous Time Hybridization Expansion  
Quantum Monte Carlo (*CTHYB*)  
[github.com/TRIQS/cthyb](https://github.com/TRIQS/cthyb)

- ▶ **TRIQS/tprf**

Two-Particle Response Function (*TPRF*) toolbox  
[github.com/TRIQS/tprf](https://github.com/TRIQS/tprf)

- ▶ **Python, Numpy,  
Scipy, Matplotlib**



# Computing susceptibilities in DMFT

There are two approaches

1. Static susceptibilities  $\chi(\mathbf{Q})$  from DMFT calculations in applied field
  - ▶ Possible for a single  $\mathbf{Q}$  vector at a time
  - ▶ Non-zero  $\mathbf{Q}$  requires super-cell calculations
  
1. Dynamical susceptibilities  $\chi(\mathbf{Q}, i\omega)$  from the Bethe-Salpeter Equation (BSE)
  - ▶ Requires two-particle response functions
  - ▶ Requires solving large matrix-equations (BSE)

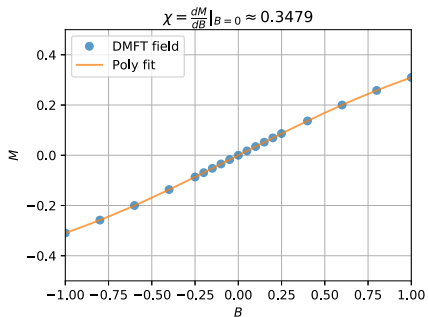
Consider the single-band Hubbard model on the square lattice with nearest neighbour hopping at half-filling with  $t = 1$ ,  $U = 10$ ,  $\beta = 1$ .

# 1. DMFT calculations in applied field

- ▶ Many self-consistent DMFT calculations
- ▶ Sweeping applied magnetic field  $B$
- ▶ Measure induced magnetization  $M(B)$
- ▶ A homogeneous  $B$  field gives the  $\mathbf{Q} = \mathbf{0}$  response as

$$\chi_{\text{Field}} = \chi(\mathbf{0}) = \left. \frac{dM}{dB} \right|_{B \rightarrow 0} \approx 0.3479$$

- ▶ For technical details see **TRIQS/tprf** tutorial



## 2. DMFT susceptibilities from the Bethe-Salpeter Equation

Compute the impurity two-particle ( $G^{(2)}$ ) and single-particle ( $G$ ) Green's functions

$$G_{abcd}^{(2)}(\omega, \nu, \nu') \equiv \langle \mathcal{T} c_a^\dagger(\nu) c_b(\omega + \nu) c_c^\dagger(\omega + \nu') c_d(\nu') \rangle, \quad G_{ab}(\nu) \equiv -\langle \mathcal{T} c_a(\nu) c_b^\dagger \rangle,$$

where  $abcd$  are spin-orbital indices and  $\omega$ ,  $\nu$  and  $\nu'$  are Matsubara frequencies. Here we will sample both using **TRIQS/cthyb**.

From  $G^{(2)}$  and  $G$  construct the full  $\chi$  and bare  $\chi^{(0)}$  generalized susceptibilities

$$\begin{aligned} \chi_{abcd}(\omega, \nu, \nu') &= G_{abcd}^{(2)}(\omega, \nu, \nu') - \beta \delta_{0, \omega} G_{ba}(\nu) G_{dc}(\nu'), \\ \chi_{abcd}^{(0)}(\omega, \nu, \nu') &= -\beta \delta_{\nu, \nu'} G_{da}(\nu) G_{bc}(\omega + \nu). \end{aligned}$$

Tools for constructing  $\chi$  and  $\chi^{(0)}$  are available in **TRIQS/tprf**.

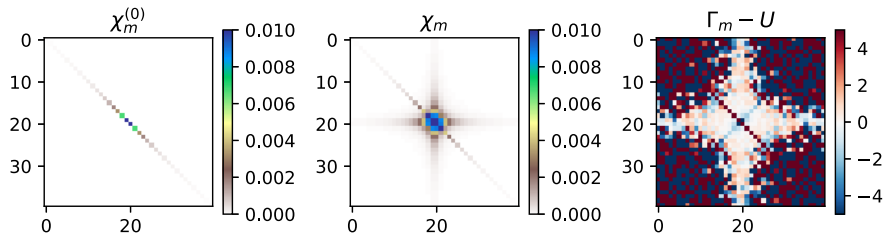
## 2. DMFT susceptibilities from the Bethe-Salpeter Equation

Solve Bethe-Salpeter Equation (BSE) for the impurity vertex function  $\Gamma$

$$\chi = \chi^{(0)} + \chi^{(0)}\Gamma\chi \quad \Rightarrow \quad \Gamma_{AB}(\omega) = [\chi^{(0)}(\omega)]_{AB}^{-1} - [\chi(\omega)]_{AB}^{-1}$$

by matrix inversion with index grouping

$$\chi_{abcd}(\omega, \nu, \nu') = \chi_{\{\nu ab\}\{\nu' dc\}}(\omega) = \chi_{AB}(\omega).$$



Example: Hubbard model on square lattice using **TRIQS/cthyb** and **TRIQS/tprf**.

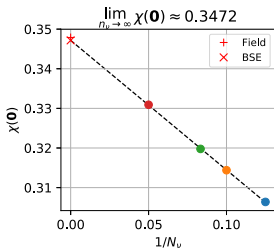
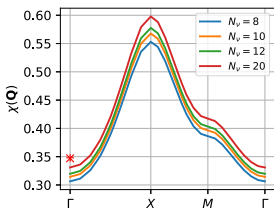


## 2. DMFT susceptibilities from the Bethe-Salpeter Equation

Lattice susceptibility from the BSE in  
**TRIQS/tprf**

$$\chi(\mathbf{Q}, \omega) = \left[ \mathbf{1} - \chi^{(0)}(\mathbf{Q}, \omega) \Gamma(\omega) \right]^{-1} \chi^{(0)}(\mathbf{Q}, \omega),$$

using the DMFT local vertex  $\Gamma(\mathbf{Q}, \omega) \approx \Gamma(\omega)$ .



- ▶ Linear convergence with  $N_\nu$
- ▶ Extrapolate to  $1/N_\nu \rightarrow 0$
- ▶ Compare with applied field @  $\mathbf{Q} = \mathbf{0}$

$$\chi_{\text{BSE}}(\mathbf{0}) \approx 0.3472$$

$$\chi_{\text{Field}}(\mathbf{0}) \approx 0.3479$$

- ▶ Quantitative agreement
- ▶ Thermodynamic consistency

Hafermann et al. PRB 90 235105 (2014)

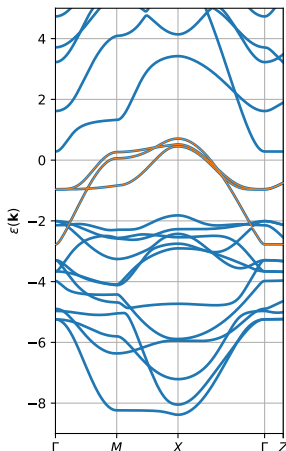
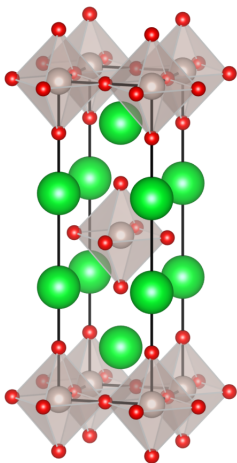
Real materials application example

DFT+DMFT+BSE



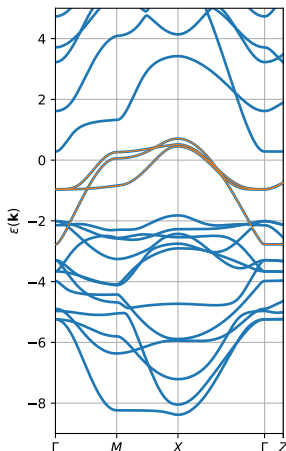
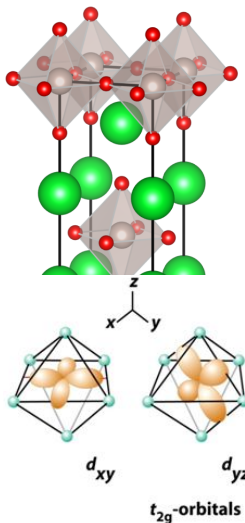
## Sr<sub>2</sub>RuO<sub>4</sub> atomic & electronic structure

- ▶ Planar perovskite (La<sub>2</sub>CuO<sub>4</sub> type)
- ▶ BC-tetragonal sg-139, I4/mmm
- ▶ Three bands with Ru(4d)-*t*<sub>2g</sub> symmetry and 4 electrons
- ▶ *xy* (quasi-2D)
- ▶ *xz*, *yz* (quasi-1D)
- ▶ Strong correlations ARPES & dHvA



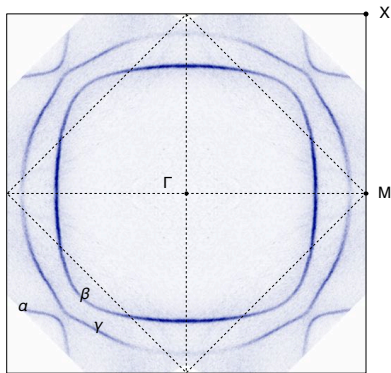
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# Fermi Surfaces

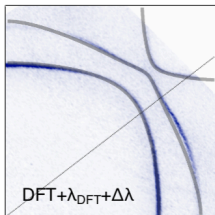
- ▶  $\alpha$  &  $\beta$  sheets, mixtures of  $xz$  and  $yz$
- ▶  $\gamma$  sheet, dominantly  $xy$



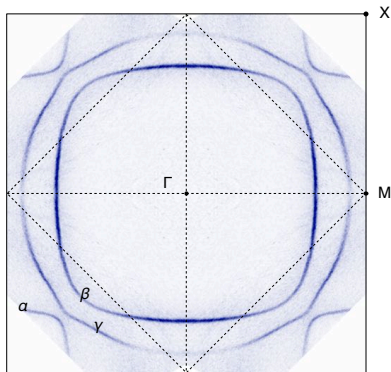
Tamai, et al., PRX 9 021048 (2019)

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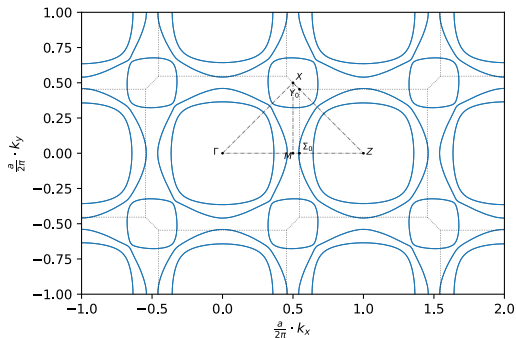
- ▶ Correlation effects from DMFT  
Mravlje, et al. PRL 106, 096401 (2011)  
Zhang, et al. PRL 116, 106402 (2016)  
Kim, et al. PRL 120, 126401 (2018)



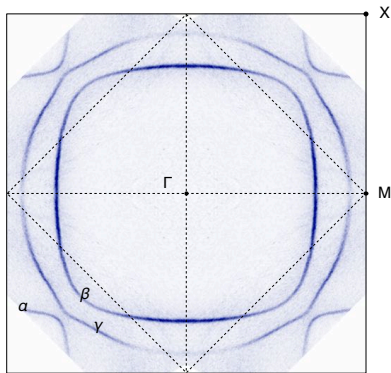
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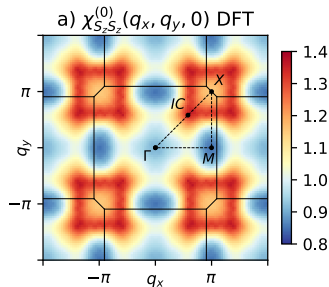
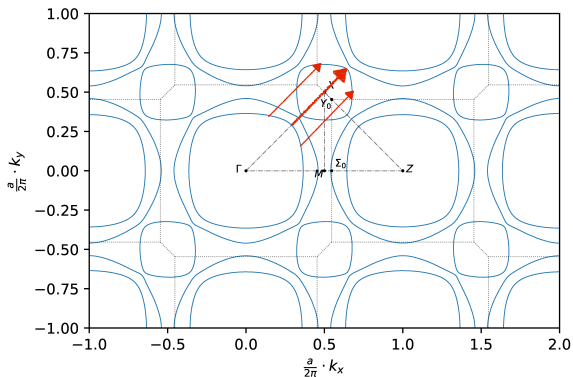


Quizz: Find the “nesting vector”



Tamai, et al., PRX 9 021048 (2019)

# Fermi Surface Nesting



▶ Nesting vector (red)

▶ Bare susceptibility

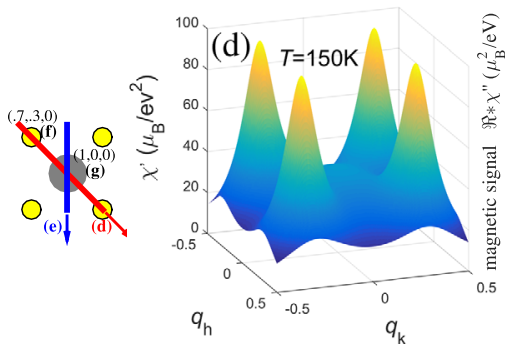
$$\chi^{(0)} = G_0 * G_0$$

Mazin & Singh

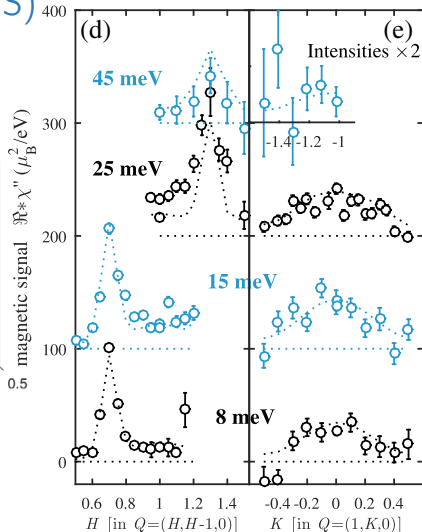
PRL 82 4324 (1999)



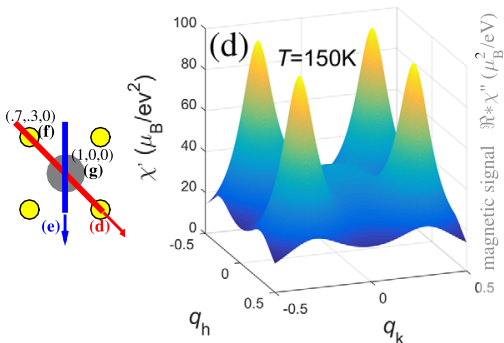
# Inelastic Neutron Scattering (INS)



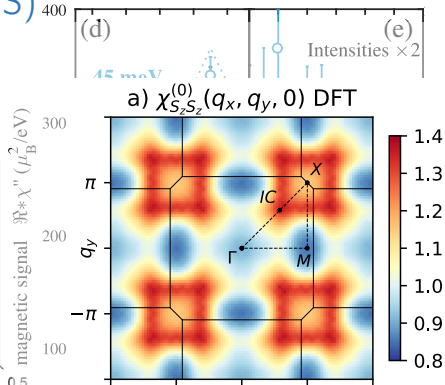
P. Steffens, et al., PRL 122, 047004, (2019)



# Inelastic Neutron Scattering (INS)



P. Steffens, et al., PRL 122, 047004, (2019)



$\chi(\mathbf{Q}_\Gamma) \geq \chi(\mathbf{Q}_X)$   
 $\chi^{(0)}$  is incompatible with INS!

What is the effect strong correlations  
on the magnetic susceptibility in  
 $\text{Sr}_2\text{RuO}_4$ ?

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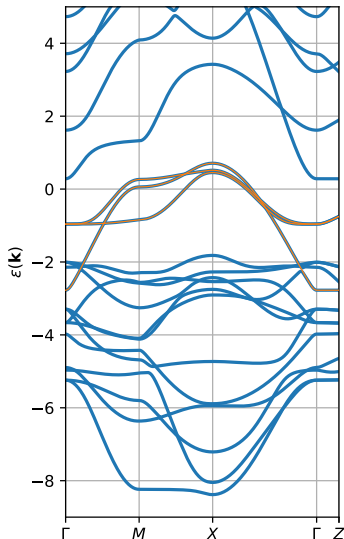
Idea:

Compute the DMFT  
magnetic susceptibility  
and find out!



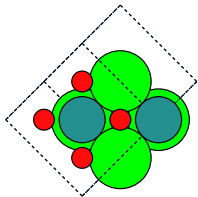
## Application to magnetic susceptibility of $\text{Sr}_2\text{RuO}_4$

- ▶ DFT + Wannierization
- ▶ Three band effective  $t_{2g}$  model
- ▶ Kanamori interaction  
 $U=2.3\text{eV}$ ,  $J=0.4\text{eV}$
- ▶ Dynamical Mean-Field Theory
  
- ▶ Applied field in super-cells  
 $1 \times 1$ ,  $\sqrt{2} \times \sqrt{2}$ ,  $\sqrt{2} \times \sqrt{5}$
- ▶ Dynamical vertex corrections  
 $\Gamma_{abcd}(i\omega, i\nu, i\nu')$
- ▶ Lattice susceptibility  
 $\chi_{abcd}(\mathbf{Q})$  from BSE

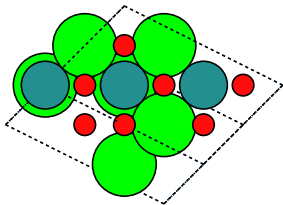


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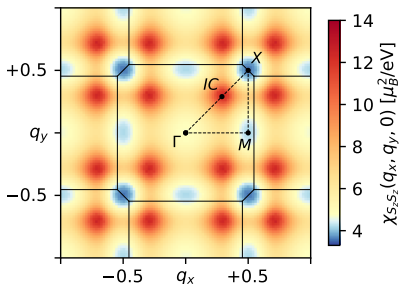


- ▶ Applied field in super-cells  
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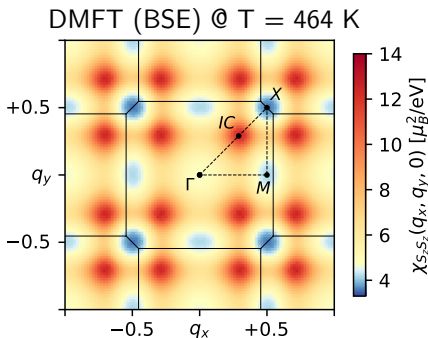


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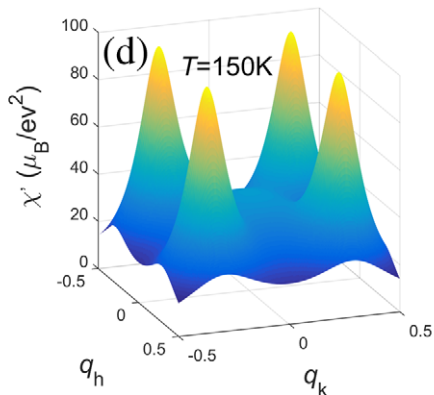
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 $\Gamma_{abcd}(i\omega, i\nu, i\nu')$
- ▶ Lattice susceptibility  
 $\chi_{abcd}(\mathbf{Q})$  from BSE



# Spin susceptibility $\chi_{S_z S_z}(\mathbf{Q})$



► Qualitative agreement  $\Gamma$  vs  $X$ !

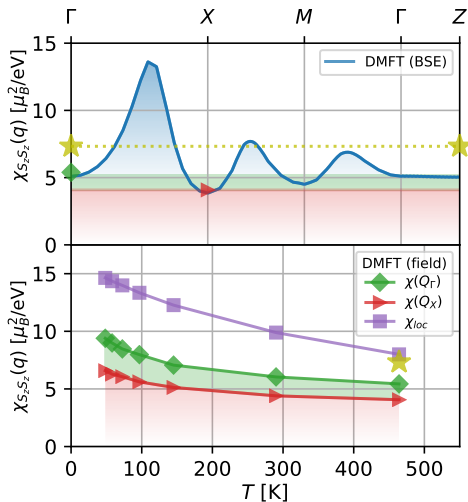


P. Steffens, et al.,  
PRL 122, 047004, (2019)



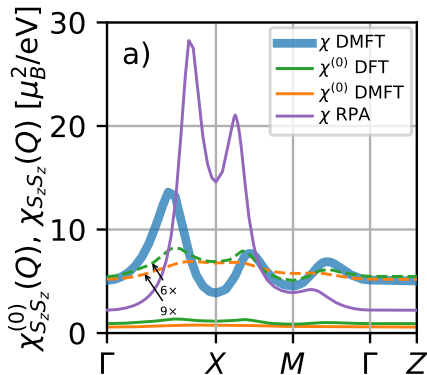
# Spin susceptibility $\chi_{S_z S_z}(\mathbf{Q})$

- ▶ Incommensurate (IC) & ridge response
- ▶ **Quasi Local/Ferromagnetic** Background response (red) >50% of  $\mathbf{Q}$ -average (stars)
- ▶  $\chi(\mathbf{Q}_\Gamma) > \chi(\mathbf{Q}_X)$  (green)
- ▶ Lower temperature ( $T \downarrow$ )
  - ▶ Background  $\uparrow$
  - ▶ Local response  $\uparrow$
  - ▶  $\chi(\mathbf{Q}_\Gamma)/\chi(\mathbf{Q}_X) \sim 4/3$



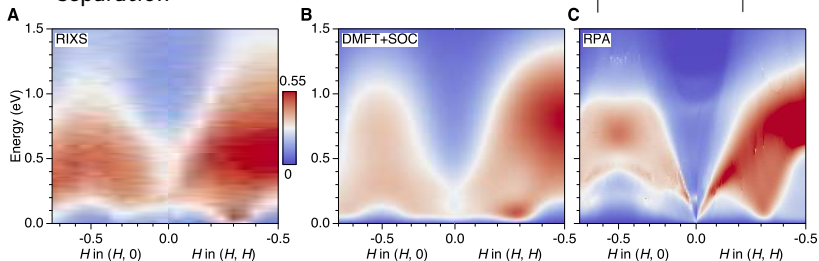
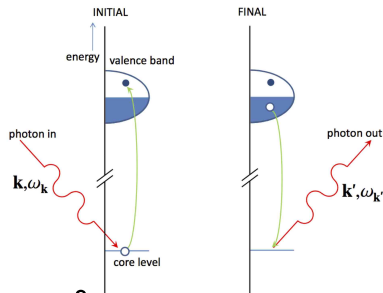
## Comparison to simpler approximations

- ▶ DMFT lattice susceptibility:  
 $\chi$  DMFT
- ▶ Bare DFT bubble:  $\chi^{(0)}$  DFT  
(Density Functional Theory)
- ▶ Bare DMFT bubble:  $\chi^{(0)}$  DMFT
- ▶ Random Phase Approximation:  
 $\chi$  RPA
- ▶ Only  $\chi$  DMFT  
reproduces experiment  
 $\chi(\mathbf{Q}_\Gamma) > \chi(\mathbf{Q}_X)$
- ▶ **DMFT dynamical vertex**  
 $\Gamma(\omega, \nu, \nu')$  effects are essential!



# $\text{Sr}_2\text{RuO}_4$ Resonant Inelastic X-ray Scattering (RIXS)

- ▶ Direct RIXS
- ▶ Photon in photon out
- ▶ Core level  $\leftrightarrow$  valence band
- ▶ Excitation and relaxation
- ▶ Instantaneous approximation
- ▶ Hund's metal spin & orbital separation



# Sr<sub>2</sub>RuO<sub>4</sub> Dual BSE formulation

Compute  $\chi$  using  $\chi_{imp}$  plus correction

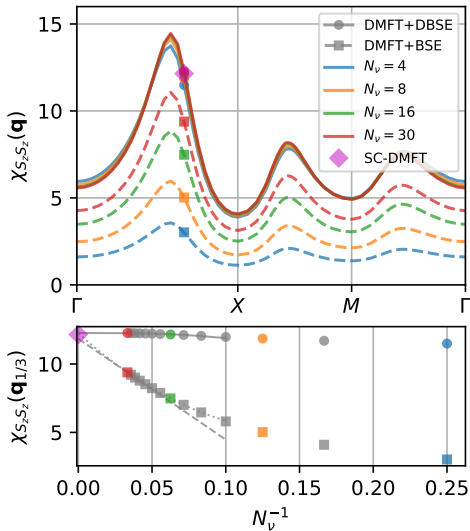
$$\chi = \chi_{imp} + L \frac{\tilde{\chi}_0}{1 - \tilde{\chi}_0 F} L$$

with  $\chi_{imp}$ ,  $F$  and  $L$  from independent measurements.

Improved convergence

- ▶ BSE  $\mathcal{O}(1/N_\nu)$
- ▶ DBSE  $\mathcal{O}(1/N_\nu^3)$

Tutorial and tools available @ <https://triqs.github.io/tprf/unstable/>



# Two-Particle Response Function (TPRF) Collaborators



Nils Wentzell



Alexander Hampel



Stefan Käser



Yann in 't Veld



Olivier Parcollet



Erik van Loon



Philipp Hansmann



Malte Rösner

The End